UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION ATOMIC SAFETY AND LICENSING BOARD

In the matter of the U.S. Department of Energy High-Level Waste Repository Docket No. 63-001-HLW

APRIL 1, 2009

TRANSCRIPT OF PROCEEDINGS

Oral Argument On the Admissibility of Contentions

Before the Administrative Judges:

CAB-02

Michael M. Gibson, Chairman

Alan S. Rosenthal

Nicholas G. Trikouros

1	APPEARANCES
2	For the Nuclear Regulatory Commission Staff?
3	Mitzi Young, Esq. Andrea Silvia, Esq.
4	Dan Lenehan, Esq. Daniel H. Fruchter, Esq.
5	For the Nuclear Energy Institute:
6	
7	Jay E.Silberg, Esq. David A. Repka, Esq.
8 9	For the Department of Energy:
10	Paul Zaffuts, Esq. Don Silverman, Esq. Alex Polansky, Esq.
11	Tom Schmutz, Esq.
12	For the State of Nevada:
13 14	Martin Malsch, Esq John Lawrence, Esq. Charles Fitzpatrick, Esq.
15	
16	For the Nevada Counties of Churchill, Esmeralda, Lander and Mineral:
17	Robert List, Esq. Jennifer Gores, Esq.
18	Jenniter Gores, Esq.
19	For the State of California:
20	Tim Sullivan, Esq Susan Durbin, Esq
21	For the Caliente Hot Springs Resort:
22	John Huston, Esq.
23	oomi macon, Esq.
24	
25	

1	APPEARANCES (Continued)
2	For the Native Community Action Council:
3	Rovianne Leigh
4	Scott Williams, Esq.
5	For the Nevada County of White Pine:
6	Dr. Michael Baughman
7	Richard Sears, Esq.
8	For the Nevada County of Clark:
9	Alan Robbins, Esq. Debra Roby, Esq.
10	Debla Roby, Esq.
11	For the Timbisha Shoshone Tribe:
12	Darcie Houck, Esq. Ed Beanan
13	Ed Bearlain
14	For the Nevada County of Nye:
15	Rob Anderson, Esq. Jeff VanNiel, Esq.
16	ocii vainvici, isq.
17	For the California County of Inyo:
18	Gregory James, Esq.
19	For the Timbisha Shoshone Yucca Mountain
20	Oversight Program:
21	Doug Poland, Esq.
22	Hannah Renfro
23	For the Nevada Counties of Lincoln and Eureka:
24	Diane Curran, Esq.
25	Baird Whegart, Esq.

- 1 PROCEEDINGS
- JUDGE GIBSON: Thank you. We are back on
- 3 the record for oral argument before the Atomic Safety
- 4 and Licensing Board. My name is Michael Gibson. I
- 5 am Chair of Construction Authorization Board No. 2.
- 6 With me, on my right, is Judge Alan Rosenthal, who,
- 7 like me, is a lawyer. On my left is Judge Nicholas
- 8 Trikouros, who is a technical judge.
- 9 In the interest of having a clean record --
- 10 and I know that we've had some counsel switch in and
- 11 out, I would like for us to have announcements of
- 12 counsel again like we did yesterday, and let's start
- 13 here on the left with the NRC staff.
- 14 >>MR. LENEHAN: Daniel Lenehan, NRC staff.
- >>MS. SILVIA: Andrea Silvia NRC staff.
- >>MS. YOUNG: Mitzi Young, NRC staff.
- 17 >>MR. SILBERG: Jay Silberg, representing
- 18 Nuclear Energy Institute.
- 19 >>MR. REPKA: David Repka, representing
- 20 Nuclear Energy Institute.
- 21 >>MR. ZAFFUTS: Paul Zaffuts, representing
- 22 the Department of Energy.
- >>MR. SILVERMAN: Don Silverman,
- 24 representing the Department of Energy.
- >>MR. POLANSKY: Alex Polansky,

- 1 representing the Department of Energy.
- 3 of Nevada.
- 4 >>MR. LAWRENCE: John Lawrence, State of
- 5 Nevada.
- 6 >>MR. FITZPATRICK: Charles Fitzpatrick,
- 7 State of Nevada.
- 8 >>MR. LIST: Robert List on behalf of the
- 9 four counties of Churchill, Esmeralda, Lander and
- 10 Mineral.
- 11 >>MS. GORES: Jennifer Gores on behalf of
- 12 the Four Counties.
- 13 >>MR. SULLIVAN: Tim Sullivan with the
- 14 California Attorney General's Office on behalf of the
- 15 State of California.
- 16 >>MS. DURBIN: Susan Durbin, California
- 17 Attorney General's Office, State of California.
- 18 >>MR. HUSTON: John Huston for Caliente Hot
- 19 Springs Resort.
- 21 Lincoln County.
- >>MS. CURRAN: Good morning. I'm Diane
- 23 Curran, representing Eureka County.
- 24 >>MR. POLAND: Good morning, Your Honor.
- 25 Doug Poland on behalf of the Timbisha Shoshone Yucca

- 1 Mountain Oversight Program Non-Profit Corporation.
- 3 also for the Timbisha Shoshone Yucca Mountain
- 4 Oversight Program Non-Profit Corporation.
- 5 >>MR. JAMES: Greg James representing Inyo
- 6 County, and to my left, we've invited the State of
- 7 California to share counsel table.
- 8 >>MR. FELDMAN: Kevin Feldman, State of
- 9 California.
- 10 >>MR. VanNIEL: Jeff VanNiel, representing
- 11 Nye County.
- 12 >>MR. ANDERSON: Robert Anderson on behalf
- 13 of Nye County.
- 14 >>MS. HOUCK: Good morning. Darcie Houck
- on behalf of the Timbisha Shoshone Tribe, and with me
- 16 is Ed Beanan, a member of the tribal council.
- 17 >>MR. ROBBINS: Good morning. Alan Robbins
- 18 on behalf of Clark County, Nevada.
- 19 >>MS. ROBY: Good morning. Debra Roby on
- 20 behalf of Clark County, Nevada.
- 21 >>MR. SEARS: Good morning, Sears White,
- 22 Pine County, Nevada.
- 23 >>MR. BAUGHMAN: Good Morning, Your Honor.
- 24 Dr. Mike Baughman, representing White Pine County.
- >>MR. WILLIAMS: Scott Williams, Your

- 1 Honor, on behalf of the Native Community Action
- 2 Council.
- 3 >>MS. LEIGH: Good morning, Your Honor.
- 4 Rovianne Leigh also on behalf of the Native Community
- 5 Action Council.
- 6 >>JUDGE GIBSON: Thank you.
- 7 Our subject today, as it was yesterday,
- 8 concerns standing and contention admissibility to
- 9 challenge the Department of Energy's application for
- 10 a license to construct a high-level waste repository
- 11 at Yucca Mountain, Nevada.
- 12 Yesterday, Construction Authorization Board
- 13 No. 3 devoted the first day of this proceeding to a
- 14 number of issues, including standing for NEI, as well
- 15 as the standards by which to evaluate certain groups
- 16 of contentions and whether they could be admitted as
- 17 set forth in Appendix A to our March 18 Order.
- 18 As was done yesterday, we will dispense
- 19 with opening statements. We have read all 12,500
- 20 plus pages of your 300 and plus contentions. And we
- 21 are familiar with the basic arguments that you've
- 22 made.
- 23 Instead, what we are seeking today is a
- 24 refinement of the positions that you all have already
- 25 enunciated in those papers. And we have a number of

- 1 areas that we wish to explore with you today.
- 2 Hopefully, we have set them out with
- 3 sufficient notice in Appendix B to our March 18
- 4 Order.
- Now, if time permits, at the end of the
- 6 day, we will attempt to afford each of you an
- 7 opportunity to apprise us of what you believe remains
- 8 to be said about the topics that we cover today, but
- 9 I want to add a caveat to that, and that is, we're
- 10 not looking for closing arguments, summations of the
- 11 evidence you've already submitted. As I've said,
- 12 we've already read your paper.
- 13 What I would encourage you to do instead is
- 14 not to hold back anything that you want to say till
- 15 your closing argument, because that's not what it is.
- 16 I would encourage you to let us know that you wish to
- 17 participate so that we can have a robust dialogue
- 18 about the issues that we are trying -- that we are
- 19 grappling with this Board, and to allow other people
- 20 to respond to what you say so that we can try to
- 21 fine-tune those issues.
- 22 But if there truly is something that we
- 23 overlook during the course of the day, then I
- 24 would -- again, we'll try to give everybody, perhaps
- 25 a minute, to let us know what you think that we

- 1 didn't cover today that really bears on the issues
- 2 that are set forth in Appendix B to our March 18
- 3 Order.
- 4 We also will make a little bit of a
- 5 departure, I think, from what was done yesterday.
- 6 What I would like to do is for us to go 50 minutes.
- 7 I would like to break at 9:50. I would like to take
- 8 a 15-minute break. I would like to go another
- 9 50 minutes, take a 15-minute break, break at noon for
- 10 an hour and a half. I would like to go from 1:30 to
- 11 2:30, take a 15-minute break. Go from 2:45 to 3:45,
- 12 take a 15-minute break, and then go from 4:00 to
- 13 5:00.
- 14 So I would -- I promise you, we will try to
- 15 stick to that schedule as closely as possible.
- 16 Knowing that, I would ask each of you to try to do
- 17 what you can to stay in your seats and whatever
- 18 until -- so that you won't disrupt other people by
- 19 getting up and leaving the room or moving from one
- 20 place to another.
- 21 I would also be remiss if I do not remind
- 22 you that tomorrow, Construction Authorization Board
- 23 No. 1 will be sitting here, and that not only will
- 24 they expect you to address the issues that are set
- 25 forth in Appendix C to our March 18 Order, but, in

- 1 addition, as Judge Ryerson noted yesterday, they
- 2 expect each of you to be able to apprise it of the
- 3 contentions that you believe are affected by the
- 4 Nuclear Regulatory Commission's recent revisions to
- 5 10 CFR Part 63. So please don't forget that's your
- 6 homework tonight.
- 7 Before we proceed to oral argument, I
- 8 believe that Judge Rosenthal wanted to make an
- 9 observation, and after that we will proceed to oral
- 10 argument.
- 11 >>JUDGE ROSENTHAL: Thank you, Judge
- 12 Gibson. I have a brief prepared statement. It was
- 13 prepared prior to yesterday's proceeding, but there
- 14 was a colloquy between Judge Farrar and DOE counsel
- 15 that I think is -- has a tie to my statement.
- I wish to stress that this statement, its
- 17 content is mine alone. I do not presume to speak for
- 18 my colleagues on this Board or my colleagues on the
- 19 other two construction authorization boards.
- 20 For that reason I do not intend to
- 21 entertain any commentary following my statement. The
- 22 statement will just stand, as it's presented, and
- 23 we'll then turn to the issues of the day.
- 24 This is the statement: As the parties to
- 25 the proceeding are likely aware, I became a member of

- 1 this Board very recently. Upon joining it, I
- 2 discovered to my amazement that the Department of
- 3 Energy was taking the position that not a single one
- 4 of the 100 -- of the 229 separate contentions filed
- 5 by the State of Nevada was admissible.
- 6 In addition, to my further amazement, I
- 7 learned that the Nuclear Regulatory Commission staff
- 8 had told the Boards that, in its view, only a very
- 9 small number of those 229 contentions met the
- 10 standards for admission contained in the Commission's
- 11 rules of practice, more particularly, Section
- 12 2.309(f)(1).
- 13 That amazement stemmed from the fact that,
- 14 on the face of it, it seemed most unlikely that
- 15 experienced Nevada counsel, which included a former
- 16 deputy general counsel of this agency were unable to
- 17 come up with even one acceptable contention relating
- 18 to this extraordinarily and unique proposed facility.
- 19 Put another way, I found it difficult
- 20 offhand to believe that Nevada counsel were so
- 21 unfamiliar with the requirements of Section
- 22 2.309(f)(1) that they simple were unable to fashion a
- 23 single contention that met those requirements.
- Now, it might turn out that despite this
- 25 initial reaction, at day's end it will be determined

- 1 by the members of the three boards, myself included,
- 2 that, in fact, none of Nevada's contentions is
- 3 admissible.
- 4 In that connection, DOE and the NRC staff
- 5 can be assured that each of their objections to the
- 6 admissibility of contentions will have received full
- 7 consideration by the time of our decision.
- 8 Should, however, upon that full
- 9 consideration, we conclude that a significant number
- 10 of the Nevada contentions are clearly admissible,
- 11 with the consequence that the objection to their
- 12 admission was wholly insubstantial, for me at least,
- 13 both DOE and the NRC staff will have lost
- 14 credibility.
- 15 Obviously DOE has an interest in fending
- 16 off at the threshold as much of the opposition to its
- 17 Yucca Mountain proposal as responsibly can be done.
- 18 It is not responsible conduct, however, to
- 19 interpose objections that are devoid of substance on
- 20 an apparent invocation of the old adage, nothing
- 21 ventured, nothing gained.
- 22 Insofar as concerns the NRC staff, unlike
- 23 DOE, it is the regulator, not the promoter of the
- 24 proposal. That being the case, it would be even more
- 25 unseemly for it to interpose to the admission of

- 1 contentions, objections that are plainly without
- 2 substance.
- Indeed, in such circumstances, the staff
- 4 would, to its detriment, create the impression that
- 5 it is not a disinterested participant in the
- 6 licensing process but rather a spear carrier for DOE.
- 7 Once such impression has been garnered,
- 8 there would remain little reason to credit anything
- 9 that the staff might have to offer. That is the end
- 10 of my statement. I will now turn it back to Judge
- 11 Gibson, and we can move forward with the
- 12 consideration of the issues that are before this
- 13 Board.
- 14 >>JUDGE GIBSON: Thank you, Judge
- 15 Rosenthal.
- 16 Before we get to the items that are set
- 17 forth in Appendix B to the March 18 Order, I want to
- 18 be sure and remind each of you that, when you speak,
- 19 please say your name and who you represent. We have
- 20 a very good court reporter here, but as you can
- 21 imagine the job they're trying to do is almost
- 22 incomprehensible to remember everybody's name and who
- 23 they represent. So just -- if you could just be sure
- 24 and say your name and who you represent before you
- 25 speak.

- 1 The second thing is, as there was one
- 2 follow-up question I had to something that came up
- 3 yesterday. And I believe this would be addressed to
- 4 counsel for DOE.
- 5 I believe -- obviously, you all have taken
- 6 the position that there's a number of petitioners
- 7 here who have asserted transportation-based
- 8 contentions. And your argument, as I understand it,
- 9 is that -- you all went through this yesterday --
- 10 that it is outside the permissible scope of this
- 11 proceedings to hear the -- for us to hear that
- 12 matter, that exclusive jurisdiction rests in the
- 13 courts of appeal, and that whatever decision has been
- 14 reached under legal doctrines of res judicata,
- 15 collateral estoppel, and merger, that they basically
- 16 are going to prevent us from hearing the case.
- 17 My question doesn't have anything to do
- 18 with the substance of that argument. If you need to
- 19 bring your other counsel forward, I appreciate the
- 20 fact that you all may not be prepared to address this
- 21 today. But I don't think that it actually requires
- 22 any substantive response on his part.
- 23 The question really is simply this: I'm
- 24 going to ask you to make some assumptions that I know
- 25 are going to be incredibly painful for you. But

- 1 assume with me, if you would, that you were wrong,
- 2 and, in fact, that we could hear transportation
- 3 contentions in this proceeding. And assume with me
- 4 something that I know is equally painful for you, and
- 5 that is that for those petitioners who have a -- all
- 6 the petitioners who have asserted a
- 7 transportation-based contention, at least one of
- 8 their contentions is going to be admissible.
- 9 Now, my question is just simply this --
- 10 assume with me that both those things are true, are
- 11 there any parties that have transportation-based
- 12 claims whose standing you would still oppose in the
- 13 event both of those assumptions turned out to be
- 14 true?
- 16 Judge Gibson, give me just one moment. I think I
- 17 know the answer to the question. I'd like to very
- 18 briefly confer.
- 19 >>JUDGE GIBSON: Gladly.
- 21 the counsel table? I'm Tom Schmutz, representing
- 22 DOE.
- 23 >>JUDGE GIBSON: Oh, yes, yes. I know I
- 24 threw you a curve. It's fine.
- >>MR. SCHMUTZ: That's all right.

- 1 >>MR. SILVERMAN: I think I had it right.
- 2 I'm sorry, Your Honor.
- I mean, the question is, assume
- 4 transportation NEPA contentions can be heard, and
- 5 that for any party that may have alleged one, one
- 6 is -- at least one is admissible, would there be any
- 7 other basis for not admitting that party? Yes, the
- 8 standing issue. And the party that comes to mind
- 9 would be the State of California, where we've made
- 10 independent arguments as to the standing of that
- 11 state.
- 12 >>JUDGE GIBSON: Okay. And with respect to
- any others who have raised transportation-based
- 14 claims, assuming that we can hear transportation
- 15 contentions, and assume that a contention is
- 16 admitted, is there -- are there other base -- are
- 17 there other grounds that you would be opposing
- 18 standing with respect to those parties, or is
- 19 California the only one?
- 21 parties that -- the only parties that we have
- 22 contested standing on are the State of California,
- 23 the Nuclear Energy Institute; we have the two
- 24 purported representatives of the Timbisha Shoshone,
- 25 and we have said that whichever one is the AIT,

- 1 affected Indian tribe, does have standing, but we
- 2 have argued that beyond that they do not, have not
- 3 shown that. I believe we made the similar argument
- 4 with respect to NCAC, that they lack standing. And
- 5 we probably did it with respect to Caliente Hot
- 6 Springs Resort as well is my recollection.
- 7 >>JUDGE GIBSON: Okay. That's helpful.
- 8 >>MR. SILVERMAN: That's the group, I
- 9 think, because I think the AULGs that are recognized,
- 10 we have not contested standing.
- >>JUDGE GIBSON: Okay. That's helpful.
- 12 >>MR. SILVERMAN: There is the LSN
- 13 compliance issue which we think is a gateway also.
- 14 >>JUDGE GIBSON: Sure, fair enough. Fair
- 15 enough. Okay. I just wanted to try to get that
- 16 clarified because it's a little hard to keep all
- 17 these parts in -- that are moving at the same time in
- 18 line. Thank you.
- 19 >>MR. SILVERMAN: Thank you.
- >>JUDGE GIBSON: While I've got you,
- 21 Counsel for DOE, I would like to start today talking
- 22 about the issue of reasonable expectation and
- 23 reasonable assurance in part -- in 10 CFR Part 63.
- Now, if I understand correctly, the
- 25 reasonable assurance concept is associated with

- 1 preclosure safety issues and the reasonable
- 2 expectation concept is associated with post-closure
- 3 activity; is that correct?
- 4 >> MR. POLANSKY: This is Alex Polansk for
- 5 the Department. Yes, Your Honor, that appears to the
- 6 way 63.31(a) and the safety findings are set up.
- 7 >>JUDGE GIBSON: Thank you. After reading
- 8 your papers, it appears to me that you're asserting
- 9 that a goodly number of Nevada's contentions fail the
- 10 materiality threshold of 309(f)(4), and that
- 11 specifically my understanding is, you're asserting
- 12 that, even if those contentions were otherwise
- 13 admissible, Nevada has failed to establish that such
- 14 a contention that would impact the ultimate decision
- of the Nuclear Regulatory Commission, whether to
- 16 authorize construction at Yucca Mountain.
- 17 Is that a fair statement?
- 18 >>MR. POLANSKY: This is Alex Polansky for
- 19 the Department. Yes, Your Honor, and there was some
- 20 lengthy discussion on that yesterday as well.
- 21 >>JUDGE GIBSON: I appreciate that. You
- 22 know, these things sometimes bleed into each other.
- 23 And I realize that, as today, sometimes we may have
- 24 not the designated hitter up to talk about that
- 25 issue, but hopefully we'll be able to get through all

- 1 this.
- Now, one of the reasons that I understand
- 3 you to be asserting that this fails the materiality
- 4 threshold is that Nevada's petition, at least in
- 5 certain cases, fails to demonstrate that the license
- 6 application of the Department of Energy fails to meet
- 7 the reasonable assurance standard with respect to
- 8 preclosure obligations and does not meet the
- 9 reasonable expectation standards with respect to
- 10 post-closure obligations.
- 11 Now, you are asserting, if I understand
- 12 correctly, that these two terms, reasonable
- 13 expectation, reasonable assurance mean two different
- 14 things; is that correct?
- >>MR. POLANSKY: Under 63.31(a) the
- 16 Commission's ultimate safety finding is the same.
- 17 For reasonable assurance, it's that you can receive
- 18 and possess radioactive materials. Another
- 19 reasonable expectation is that you can dispose of
- 20 those materials. But the test is or the finding is,
- 21 can you do that without unreasonable risk to the
- 22 health and safety of the public.
- 23 So the Commission finding is the same. The
- 24 rules, we think, are very clear, just on their face,
- 25 that the methodology that the Commission must use to

- 1 reach those findings is different.
- 2 >>JUDGE GIBSON: Well, maybe I didn't ask
- 3 my question right, but I meant to ask: Do those two
- 4 terms mean two different things?
- 5 >>MR. POLANSKY: Your Honor, I don't know
- 6 that I can answer that question in the abstract
- 7 because the regulations are there and the
- 8 regulations, for example, in interpreting what
- 9 reasonable expectation is, set forth a number of very
- 10 specific considerations that the Commission should,
- 11 for lack of a better word, consider.
- In 63.101, in describing the purpose and
- 13 nature of the findings, it says specifically that for
- 14 reasonable expectation that proof that the geologic
- 15 repository will conform with the objectives for
- 16 post-closure performance is not to be had in the
- 17 ordinary sense of the word because of the
- 18 uncertainties inherent in the understanding of the
- 19 evolution of geologic setting biosphere and engineer
- 20 barrier systems.
- 21 Similarly, it adknowleges that
- 22 demonstrating compliance will involve the use of
- 23 complex predictive models that are supported by
- 24 limited data from the field and laboratory tests,
- 25 analogue studies, et cetera.

- 1 It then further goes on to have a separate
- 2 section, which its title is Reasonable Expectation in
- 3 63.304, which sets forth four items that set -- that
- 4 identify characteristics of what reasonable
- 5 expectations includes.
- 6 And those are that it requires less than
- 7 absolute proof, because absolute proof is impossible
- 8 to obtain because of the uncertainty in projecting
- 9 long-term performance.
- 10 Two, it accounts for inherenting greater
- 11 uncertainties in making long-term projections of
- 12 performance for the Yucca Mountain disposal system.
- 13 Three, it doesn't exclude important
- 14 parameters from assessments and analyses simply
- 15 because they are difficult to precisely quantify to a
- 16 high degree of confidence.
- 17 And finally, it focuses performance
- 18 assessments and analyses on the full range of
- 19 defensible and reasonable parameter distributions
- 20 rather than only upon extreme physical situations and
- 21 parameter values.
- 22 So in the abstract, to say reasonable
- 23 assurance and reasonable expectation are the same, we
- 24 believe the safety finding is the same, but we
- 25 believe you cannot ignore the plain language of the

- 1 subsequent regulations which extrapolate on the
- 2 characteristics of what a reasonable expectation is
- 3 and what the burden of an applicant is to demonstrate
- 4 reasonable expectation, and, therefore, what the
- 5 staff and the Commission's job is to interpret
- 6 whether they have met that burden.
- 7 >>JUDGE GIBSON: Okay. Well, maybe we'll
- 8 come back to this question. Maybe we can -- do we
- 9 have the -- could you get the DOE Answer to Nevada
- 10 petition on page 40? I'm going to go over a couple
- 11 of the points that I think you just made,
- 12 Mr. Polansky.
- If I understand correctly, you're saying
- 14 that it would require a different level and type of
- 15 proof, reasonable expectation would than reasonable
- 16 assurance?
- 17 >>MR. POLANSKY: I don't know that proof is
- 18 the word I would select, Your Honor. I look at it as
- 19 a methodology that needs to -- a framework.
- 21 "proof" appears in the last line of this page;
- 22 doesn't it? This is from your --
- 23 >>MR. POLANSKY: Yes. And that's directly
- 24 from the regulation; that it requires less than
- 25 absolute proof, because absolute proof is impossible

- 1 to obtain, yes.
- 2 >>JUDGE GIBSON: Okay. And I believe --
- 3 again, I think this is consistent with what you said
- 4 earlier; it is cautious but reasonable. Is that in
- 5 the prior paragraph on this page? Yeah. There we
- 6 go.
- We've got "conservative means the use of
- 8 cautious but reasonable assumptions consistent with
- 9 present knowledge."
- 10 And, again, this is how we can describe --
- 11 I won't argue with you what it means, but whether it
- means something different, the reasonable assurance,
- 13 but this is sort of how we describe it; is that
- 14 right? It's from your -- from your pleading.
- >>MR. POLANSKY: Yes.
- >>JUDGE GIBSON: Okay.
- 17 >>MR. POLANSKY: I think our pleading is
- 18 taken directly from the regulation in that particular
- 19 instance, Your Honor.
- 21 previous answer was as well. If we could go to
- 22 page 39.
- 23 I believe we have this language again from
- 24 your pleading, "To merely assert the existence of
- 25 such uncertainties without specifying their impact on

- 1 a finding NRC must make in its issuance of the
- 2 construction authorization, amounts to an improper
- 3 challenge to Part 63, which explicitly recognizes
- 4 that such uncertainties exist and cannot be
- 5 eliminated."
- 6 So we have these unavoidable uncertainties
- 7 that are inherent in making long-term predictions
- 8 about post-closure performance. And what we're
- 9 trying to do is to figure out how -- what is this
- 10 term, if we don't describe what it means, which seems
- 11 to be a hard thing for you to do. At least we can
- 12 try to describe what its significance is for the
- 13 decision-making that NRC needs to make.
- 14 In doing that, you have invoked EPA and its
- 15 use of the term "reasonable expectation."
- 16 Could we get 41 of the DOE answer, please?
- 17 A little bit further up, if you could, please. Okay.
- 18 "Given the obligation of the Commission
- 19 under" -- this is from your pleading on page 41.
- 20 "Given the obligation of the Commission to
- 21 modify its technical requirements and criteria to be
- 22 consistent with the radiological protection standards
- 23 promulgated by EPA, the proper application of the
- 24 reasonable expectation standard must take into
- 25 account the statements by EPA in promulgating the

- 1 standards required by EPACT."
- Now, for everybody here who may not be
- 3 familiar with that, could you please let us know what
- 4 EPACT is, Mr. Polansky?
- 5 >>MR. POLANSKY: The Energy Policy Act of
- 6 1992.
- 7 >>JUDGE GIBSON: Okay. Now, the basic idea
- 8 is that reasonable assurance is a standard that the
- 9 NRC uses in reactor licensing cases, and reasonable
- 10 expectation is not a term that they use in those
- 11 reactor licensing cases. And your reading of this is
- 12 that the reasonable expectation would be something at
- 13 least less restrictive or less stringent than the
- 14 reasonable assurance standard that the NRC uses in
- 15 reactor licensing cases; is that correct?
- >>MR. POLANSKY: Your Honor, this is
- 17 Mr. Polansky. I don't know that it is a lesser
- 18 standard. It is a different methodology. The safety
- 19 finding, as I said before, is the same. And I think,
- 20 if I could go to one of the documents, the federal
- 21 register notices that we cite on the subsequent page,
- 22 on page 42 at the top.
- 23 >>JUDGE GIBSON: What fair register that?
- >>MR. POLANSKY: This is the final rule,
- 25 it's 66 Fed Reg 32.101. It is the only citation to a

- 1 Fed Reg in footnote 27, and it goes directly to the
- 2 sentence that you had brought up before.
- 3 >>JUDGE GIBSON: Okay.
- 4 >>MR. POLANSKY: And in looking at what EPA
- 5 is saying --
- 6 >>JUDGE GIBSON: Did you say 32.101?
- 7 >>MR. POLANSKY: 32.101 is where we --
- 8 >>JUDGE GIBSON: I think we may actually
- 9 have that. So for the benefit of everyone here,
- 10 could we call that up? I believe that's maybe the
- 11 last one.
- 12 >>MR. WELKE: 74? 75?
- >>JUDGE GIBSON: This would be 66 Fed Reg
- 14 32.101. Could you call that up, please, Mr. Welke?
- >>MR. POLANSKY: The exact page I'll be
- 16 referencing is the next page 32.102. 32.101 is the
- 17 page which has the heading which is entitled "What
- 18 Level of Expectation Will Meet Our Standard."
- 19 >>JUDGE GIBSON: Do you have 102 or not? I
- 20 don't think we have that page. Okay. It's okay. Go
- 21 ahead. I'm sorry. We don't have that page --
- >>MR. POLANSKY: Okay.
- 23 >>JUDGE GIBSON: -- available.
- 24 >>MR. POLANSKY: I don't know if it would
- 25 help, but the previous footnote, Footnote 26, if it's

- 1 a hyperlink, the first citation they reference is
- 2 32.101 to pages 103. So maybe you have it from
- 3 there. No. Okay.
- 4 The EPA was asked to clarify its meaning of
- 5 what reasonable expectation was. And on page 32.102
- 6 it says, "We'll clarify our meaning here.
- 7 Performance projections for deep geological disposal
- 8 require the extrapolation of parameter values (site
- 9 characteristics related to performance and
- 10 performance calculations) (projections of
- 11 radionuclide releases in transport from the
- 12 repository) over very long time frames that make
- 13 these projections fundamentally not confirmable."
- 14 And I would focus on that language,
- 15 "fundamentally not confirmable." In contrast to the
- 16 situation of reactor licensing where projections of
- 17 performance are only made for a period of decades,
- 18 and confirmation of these projections is possible
- 19 through continuing observation.
- "In this sense, a reasonable expectation
- 21 approach to repository licensing would be necessarily
- 22 less stringent than an approach to reactor licensing.
- 23 We, therefore, must agree that these comments that
- 24 reasonable expectation requires less rigorous proof
- 25 than NRC's reasonable assurance approach."

- 1 We don't interpret it as a lesser standard.
- 2 It is a different standard simply because you cannot
- 3 physically confirm through observation during the
- 4 life of the facility that the uncertainties and
- 5 assumptions that you have made will be verified.
- 6 >>JUDGE GIBSON: So one is fundamentally
- 7 not confirmable?
- 8 >>MR. POLANSKY: Yes.
- 9 >>JUDGE GIBSON: And one is?
- 11 difference. And that's why uncertainties have to be
- 12 taken into account. And as we said on page 39,
- 13 therefore -- and this is in our opening, not
- 14 attacking any particular contention, but a contention
- 15 that merely asserts that there are uncertainties out
- 16 there. That's not a legitimate contention because
- 17 the rule expects uncertainties and directs DOE to
- 18 take into account uncertainties.
- 19 >>JUDGE GIBSON: Okay. I think we'll come
- 20 back to you. I want to check in with NRC staff
- 21 counsel. Hopefully this won't be quite as abstract
- 22 as what we've just been talking.
- 23 You all were -- I want to sort of review
- 24 with you the history of these terms in terms of
- 25 rulemaking. And my understanding is that in 1999 the

- 1 Commission first planned to impose the standard of
- 2 reasonable assurance on post-closure safety; is that
- 3 correct?
- 4 >>JUDGE GIBSON: I don't think your
- 5 mic's on.
- 6 >>MS. YOUNG: Mitzi Young for the NRC
- 7 staff. That's correct.
- 8 >>JUDGE GIBSON: Thank you. And that was
- 9 in the rule that you proposed on February 22 of 1999?
- 11 >>JUDGE GIBSON: And I -- the cite I have
- 12 for that is 64 Fed Reg 8640. Does that sound right?
- >MS. YOUNG: Correct.
- 14 >>JUDGE GIBSON: Does that look like what
- 15 you all said? We've got that displayed.
- >MS. YOUNG: That's the proposed
- 17 regulation, 63.31, findings for construction
- 18 authorization.
- 19 >>JUDGE GIBSON: And the idea at that time
- 20 in 1999 was that you all were going -- were proposing
- 21 to use the reasonable assurance standard for
- 22 post-closure; is that correct?
- >>MS. YOUNG: That's correct.
- >>JUDGE GIBSON: Okay. Now, let's just
- 25 keep with the history here. Later the same year in a

- 1 final rule that was issued in November of 1999, the
- 2 Commission changed this language to replace the term
- 3 "reasonable assurance" with the term "reasonable
- 4 expectation; " is that correct?
- 5 >>MS. YOUNG: Mitzi Young again. That's
- 6 correct.
- 7 >>JUDGE GIBSON: Okay. Now, I have, in
- 8 some rulemaking that was done, I guess, like two
- 9 years later -- do we have 66 Fed Reg 55740?
- 10 Okay. In some rulemaking that was done a
- 11 couple years later, NRC, as I understand it, was
- 12 explaining in like, 2000 -- was this 2001?
- >>MS. YOUNG: November 2nd, 2001.
- 14 >>JUDGE GIBSON: It was trying to explain
- 15 what it had done two years prior. And it said that
- 16 the change from reasonable assurance to reasonable
- 17 expectation was to avoid any misunderstanding and to
- 18 achieve consistency with the final EPA standards; is
- 19 that correct?
- 21 >>JUDGE GIBSON: Okay. Okay. Now, once
- 22 this was done, Nevada then challenged the reasonable
- 23 expectation standard in the DC Circuit. Is that
- 24 correct?
- 25 >>MS. YOUNG: Yes, I believe that was the

- 1 case, EPA versus NEI or --
- 2 >>JUDGE GIBSON: Something like that, huh?
- 3 >>MS. YOUNG: Right. Or NEI vs EPI.
- 4 >>JUDGE GIBSON: Okay. Now, I also will
- 5 get back with you shortly, but, Counsel for Nevada,
- 6 let's see if we can pick up the story from there.
- When you challenged this reasonable
- 8 expectation standard in the DC Circuit, was that in
- 9 the NEI v. EPA case?
- >>JUDGE GIBSON: Now, when you challenged
- 12 that standard, do I understand correctly that you
- 13 argued that the National Waste Policy Act did not
- 14 authorize this reasonable expectation standard, but
- instead required a reasonable assurance standard?
- 16 >>MR. MALSCH: You know, I don't remember
- 17 making precisely that argument. I do remember
- 18 arguing that there was no rational explanation for
- 19 the departure from prior precedent in which the
- 20 Commission said, in '99, that it would apply a
- 21 reasonable assurance standard for post-closure
- 22 safety.
- 23 And I do know we raised a concern in our
- 24 brief that the reasonable expectation standard could
- 25 be read in a way to authorize issuance of a license

- 1 based upon less than a preponderance of the evidence.
- 2 But fortunately, the issue basically went
- 3 away when the Commission -- Commission -- counsel for
- 4 the Commission assured the Court that there was no
- 5 consequential difference between reasonable
- 6 expectation and reasonable assurance, and that the
- 7 two standards for post-closure safety were
- 8 substantively identical.
- 9 >>JUDGE GIBSON: Okay. You anticipated my
- 10 next question. But I appreciate that clarification.
- 11 As we promised, we'll break. It is 10 till 10:00,
- 12 and we will pick back up at 10:05. We will be in
- 13 recess until then.
- 14 (A recess was taken)
- 15 >>JUDGE GIBSON: Counsel for Nevada, when
- 16 we recessed -- incidentally I apologize. I was
- 17 looking at the clock at the back of the room and
- 18 apparently it's a few minutes fast. So I'm sorry
- 19 about that. I'll try to -- try to realize that one's
- 20 fast when we break next time.
- 21 Counsel for Nevada, I believe when we
- 22 recessed, we were talking about the NEI v. EPA case
- and what transpired there.
- I want to, if I could, look at the June 6,
- 25 2003 brief that the staff filed in the DC Circuit.

- 1 Do you have that; I believe pages 47 to 48?
- Now, if we could -- I believe the header
- 3 here -- and this is, I believe, the staff's brief
- 4 that was filed. "As applied to a repository,
- 5 reasonable expectation and reasonable assurance are
- 6 virtually indistinguishable." And then they say,
- 7 "And thus, the reasonable expectation standard is not
- 8 too vague and does not reduce the applicant's burden
- 9 of proof."
- 10 How did you -- how did you respond to
- 11 this -- I'm just curious -- in the DC Circuit when
- 12 this header came up? I think there's also a
- 13 statement later in the next page that says something
- 14 like, "As applies to Yucca Mountain, there's no
- 15 consequential difference between the two standards,
- 16 given the nature of the determinations at issue."
- 17 Now, you had challenged this. So I'm just
- 18 curious, what transpired?
- 19 >>MR. MALSCH: Marty Malsch for Nevada.
- >>JUDGE GIBSON: Thank you.
- 21 >>MR. MALSCH: My recollection is that we,
- 22 in our reply brief, advised the Court of Appeals that
- 23 in view of the NRC's -- we may have called it
- 24 concession, that there really wasn't much of an issue
- 25 here. And I think that is reflected in the Court's

- 1 decision, because my recollection is that in NEI v.
- 2 EPA, there was no court decision on the merits of
- 3 this original controversy.
- 4 >>JUDGE GIBSON: Yeah. In fact, let's --
- 5 I've got a -- could we go to the NEI v. EPA excerpt?
- 6 I actually pulled this off. It was a little hard to
- 7 read the two column -- not that. There's actually
- 8 a -- there we go. Here we go.
- 9 This paragraph right here, the whole
- 10 thing's not highlighted, but it says -- explaining
- 11 what NRC explained in the brief we just looked at,
- 12 then it says, "Moreover, during oral argument,
- 13 Counsel for NRC confirmed that the two standards are
- 14 substantively identical."
- Now, is that your recollection that there
- 16 was a concession in oral argument that they're
- 17 substantially identical?
- 18 >>MR. MALSCH: That is my recollection,
- 19 Your Honor.
- 20 >>JUDGE GIBSON: Okay. And by virtue of
- 21 that, the Court said that you deemed the
- 22 representation sufficient to satisfy its claim.
- 23 >>MR. MALSCH: That is correct. We were
- 24 taking the Commission at its word.
- 25 >>JUDGE GIBSON: And so back to where you

- 1 left it when the NEI v. EPA case was concluded that
- 2 you had basically gotten the concession that you had
- 3 hoped for?
- 4 >>MR. MALSCH: That is correct.
- 5 >>JUDGE GIBSON: Okay. Let's fast forward
- 6 to 2007. You requested a binding interpretation of
- 7 the phrase "reasonable expectation" from the
- 8 Commission; is that correct?
- 9 >>MR. MALSCH: That's correct.
- 10 >>JUDGE GIBSON: Now, having gotten this
- 11 concession in the DC Circuit, were you -- did you
- 12 look at this as sort of, you know, belt and
- 13 suspenders that you'd already -- is that how you
- 14 looked at it, or you were you just being greedy? I
- 15 mean, why did you seek this?
- 16 >>MR. MALSCH: We had a good reason for
- 17 seeking this, Your Honor, and that is because in the
- 18 time period following the decision by the Court of
- 19 Appeals and the time in which we filed our request
- 20 for an opinion, we had been following interactions
- 21 between DOE and NRC staff in which DOE constantly
- 22 harped on some perceived significant difference
- 23 between the two statements of -- statements of the
- 24 finding to be made.
- 25 And so we thought that perhaps DOE hadn't

- 1 gotten the message, and we wanted to secure from the
- 2 Commission a reassurance that what they had told the
- 3 Court of Appeals was still true.
- 4 So it wasn't so much a belt-and-suspenders
- 5 argument; it was asking for a reaffirmation so as to
- 6 remind DOE, who seemed to have forgotten the
- 7 concession, that there was no meaningful distinction,
- 8 and that in preparing their license application, that
- 9 they should bear this lack of meaningful distinction
- 10 in mind.
- >>JUDGE ROSENTHAL: Well, if I may
- 12 interrupt a second.
- >>JUDGE GIBSON: Please. Please.
- 14 >>JUDGE ROSENTHAL: I don't understand why
- 15 that would have been necessary. It seems to me --
- 16 maybe I'm wrong -- that if a federal agency, in this
- 17 case the NRC, makes a particular statement to a court
- 18 with respect to the meaning of particular provisions,
- 19 that it's bound by it. Am I wrong about that?
- 20 >>MR. MALSCH: No. I think you're correct
- 21 Judge Rosenthal. In that representation, it may have
- 22 been unnecessary. But as I say, we certainly would
- 23 not have filed the petition had DOE not been
- 24 constantly harping on some perceived significant
- 25 difference. And they could read the Court of Appeals

- 1 decision as well as I could, and so we were wondering
- 2 what on earth DOE was doing, and so we sought the
- 3 affirmation.
- 4 >>JUDGE ROSENTHAL: If you could indulge me
- 5 just one additional moment?
- 6 >>JUDGE GIBSON: Please.
- 7 >>JUDGE ROSENTHAL: How did DOE interpret
- 8 the statement that was made by the staff to the Court
- 9 and the Court's action on that statement? It seems
- 10 to me from what I've just been told, that the staff
- 11 had made a binding representation to the Court that
- 12 these two standards were substantively identical.
- 13 And if that's the case, then I don't understand at
- 14 all, DOE's position as it, again, reiterated this
- 15 morning, that in operation, there is some
- 16 distinction.
- 17 It seems to me, if these two terms are
- 18 indistinguishable, substantively, that's the end of
- 19 the game. But maybe I'm missing something.
- 20 So I'm interested in how DOE interpreted
- 21 the staff's representation to the court and the
- 22 court's action on it.
- 23 >>MR. POLANSKY: This is Mr. Polansky from
- 24 the Department.
- 25 If we understand Nevada's position, it is a

- 1 concern that the preponderance of the evidence
- 2 standard, the standard of proof would somehow be
- 3 changed by changing the term from reasonable
- 4 assurance to reasonable expectation standard.
- 5 DOE is not saying that the preponderance of
- 6 the evidence standard is different. And we believe
- 7 that the NEI decision and how we've interpreted the
- 8 NRC staff's actions in its briefing during that case
- 9 is that they agree the preponderance of the evidence
- 10 standard is the operable standard.
- 11 The issue is that the methodology for the
- 12 Commission to reach its finding of reasonable
- assurance and reasonable expectation is different.
- 14 And it is, we think, plainly laid out in the
- 15 regulations themselves. To interpret the methodology
- 16 to be identical or substantially have no difference,
- 17 would be to wholesale delete entire regulations out
- 18 of Part 63, which we don't think --
- 19 >>JUDGE ROSENTHAL: I don't know. Maybe
- 20 you have a different interpretation of the term
- 21 "substantively identical" than I do, but, to me, if
- 22 something is "substantively identical", that means
- 23 that even from a standpoint of methodology, there's
- 24 no difference.
- 25 >>MR. POLANSKY: Your Honor, we interpreted

- 1 the dispute over the difference between reasonable
- 2 assurance and reasonable expectation, as I said, to
- 3 be one of the standard of proof, the preponderance of
- 4 the evidence. We believe that standard remains
- 5 intact. We believe that the methodology that the
- 6 Commission needs in order to reach its safety
- 7 findings under 63.31(a) is clearly set forth in the
- 8 regulations, and we don't think there's any dispute
- 9 by Nevada or NRC staff that those regulations apply.
- 10 >>JUDGE GIBSON: Thank you, Judge
- 11 Rosenthal.
- 12 Returning to our chronology, which is a lot
- 13 easier for me to follow than this level of
- 14 abstraction that Judge Rosenthal and Mr. Polansky got
- 15 to.
- 16 I'm curious, what would -- I take it your
- 17 response from the NRC was a denial of your request
- 18 for a binding interpretation of the phrase
- 19 "reasonable expectation"?
- 21 for Nevada.
- 22 Yes. I mean, we would have been frankly
- 23 surprised if the general counsel had issued a binding
- 24 interpretation. NRC general counsels seldom do that.
- 25 There was no harm in asking. But what we did get was

- 1 an informal opinion that reaffirmed the earlier
- 2 position. And we thought that was helpful, at least
- 3 to remind DOE that the Commission's statement before
- 4 the Court of Appeals was still operative.
- 5 >>JUDGE GIBSON: So their response was sort
- of like, you got the belt; so you don't get the
- 7 suspenders?
- 8 >> MR. MALSCH: Perhaps.
- 9 >>JUDGE GIBSON: Okay.
- 10 >>MR. MALSCH: But we were satisfied.
- >>JUDGE GIBSON: Okay. All right. Now,
- 12 let's go back to the NRC staff for a second. Pick up
- 13 here.
- 14 Is that essentially what this letter from
- 15 Karen Cyr at the NRC to Nevada said, was that
- 16 essentially you got the belt; so you don't get the
- 17 suspenders?
- 18 >>MS. YOUNG: Mitzi Young for the NRC
- 19 staff. We wouldn't disagree with that
- 20 interpretation. I think this dispute or
- 21 misunderstanding mostly lied within EPA's
- 22 interpretation of what the words "reasonable
- 23 assurance meant.
- 24 And I mean, the Commission never had any
- 25 other expectation for Part 63 than what's reflected

- 1 in the final requirements now. And just to avoid any
- 2 confusion on terminology, not that there was any
- 3 substantive difference between the two terms, the
- 4 Commission adopted the EPA terminology.
- 5 But it always had stated, I believe, even in
- 6 the proposed rule, that they thought there was
- 7 sufficient flexibility in the reasonable assurance
- 8 standard to accommodate licensing of the repository.
- 9 >>JUDGE GIBSON: Thank you.
- 11 Karen Cyr's --
- 12 >>JUDGE GIBSON: Please.
- >>MS. YOUNG: -- letter was dated May 18,
- 14 2007 that you were referring to.
- 15 >>JUDGE GIBSON: Okay. Now, let's go to
- 16 2009, if we could. My understanding is that the
- 17 Commission issued a final rule implementing the dose
- 18 after 10,000 years, and as part of that rulemaking --
- 19 do we have 74 Fed Reg 10826? There we go.
- The Commission, once again, indicated, as
- 21 noted by the state -- I assume that's the State of
- 22 Nevada -- "NRC and the state have already agreed that
- 23 the two terms are substantially identical, see NEI v.
- 24 EPA." Is that correct?
- >>MS. YOUNG: Mitzi Young. That's correct.

- 1 >>JUDGE GIBSON: Is there any question in
- 2 your mind, Counsel for the NRC that these terms are
- 3 substantially identical?
- 4 >>MS. YOUNG: No question. But you can say
- 5 that Part 63, through its regulations, gives a lot of
- 6 information on what DOE has to do to provide the
- 7 staff reasonable expectation in the post-closure
- 8 phase that the regs will be met.
- 9 So there's no difference in the terms.
- 10 Either reasonable assurance or reasonable expectation
- 11 always has to be judged in the context of what's
- 12 being considered in terms of the proposed action that
- 13 the NRC is considering. They both refer to a level
- 14 of confidence with the NRC's decision-making. That's
- 15 based on fulfillment of the regulatory requirement
- 16 set out in Part 63.
- 17 >>JUDGE GIBSON: Well, you know, you just
- 18 heard Counsel for NRC, and -- I mean Counsel for
- 19 Nevada and Counsel for DOE, and, you know, it sounds
- 20 like, you know, they're not -- they don't certainly
- 21 view these terms as being quite the same.
- 22 Do you -- are you going to pick a dog in
- 23 this fight? Do you have a -- or do you agree with
- 24 DOE's interpretation or do you agree with Nevada's
- 25 interpretation?

- 1 >>MS. YOUNG: We do not agree with DOE's
- 2 interpretation. That's clear.
- 3 >>JUDGE GIBSON: Okay. Okay. Thank you.
- 4 So when it comes to actually drafting a license, then
- 5 you, the NRC, would be -- not be pursuing the
- 6 methodology that Mr. Polansky has been proposing for
- 7 reasonable expectation, but would be utilizing the
- 8 methodology that counsel for Nevada has indicated
- 9 should be used; is that correct?
- 10 >>MS. YOUNG: Mitzi Young for the NRC staff
- 11 again.
- 12 I don't believe counsel for Nevada proposed
- 13 a methodology. I do believe that Mr. Polansky for
- 14 DOE identified the pertinent regulation in terms of
- 15 the reasonable expectation findings. And the staff
- 16 does not dispute that that's the regulation that
- 17 actually elucidates what reasonable expectation is
- 18 with respect to repository.
- 19 >>JUDGE GIBSON: Counsel for Nevada, while
- 20 you have a chance here, do you have a methodology
- 21 that you could describe so that anyone here could
- 22 understand it so that counsel for NRC will understand
- 23 what methodology you're proposing?
- 24 >>MR. MALSCH: We don't propose a
- 25 methodology as such. We do propose in our replies an

- 1 approach to how one applies the reasonable
- 2 expectation standard, which is consistent with the
- 3 reasonable assurance standard.
- 4 And let me just go through each of the
- 5 supposed differences between -- the supposed
- 6 methodological differences offered by EPA or NRC that
- 7 would distinguish the two terms. I mean, we've
- 8 heard -- and go over them one by one. I think, if we
- 9 go over them, we can see where there might be a
- 10 possible difference in methodology between reasonable
- 11 assurance and reasonable expectation, but then I
- 12 think we could conclude that certainly at the
- 13 contention stage, that difference is of no
- 14 consequence.
- I mean, if you just go through the
- 16 differences one by one, you can see that. For
- 17 example, the statement is made that under reasonable
- 18 expectation, one uses cautious but reasonable
- 19 assumptions consistent with present knowledge. We do
- 20 that with reactor --
- 21 >>JUDGE GIBSON: I'm sorry. But before you
- 22 go on, is that set forth somewhere in some document?
- 23 Are you just reading from some notes? I'm just
- 24 curious. I just thought if you had it available, it
- 25 might be worthwhile for us to able to see it. That's

- 1 all. I was just curious.
- >>MR. MALSCH: I don't have that handy. I
- 3 believe that's from one of the preambles. When I get
- 4 to -- perhaps I should just go to the definition,
- 5 63.304, which is where the Commission actually
- 6 defines reasonable expectation. I think that would
- 7 be the more definitive place to look.
- If you look at 63.304, you see that
- 9 reasonable expectation requires less than absolute
- 10 proof. While the Commission has been clear for over
- 11 a quarter century that reasonable assurance does not
- 12 require absolute proof, so that is not a meaningful
- 13 or consequential distinction.
- 14 63.304 next says that reasonable
- 15 expectation accounts for the greater uncertainties in
- 16 making projections of long-term performance. And
- 17 I'll come back to that in a second.
- 18 Thirdly, it says it does not exclude
- 19 important parameters because of -- they are difficult
- 20 to quantify with a high degree of confidence. Well,
- 21 that doesn't distinguish reactor licensing. Reactor
- 22 licensing involves lots of parameters which are
- 23 difficult to quantify. For example, reactor
- 24 licensing involves efforts to develop precise
- 25 sequences of core melt accidents. And many of the

- 1 parameters involved in those sequences are also
- 2 difficult to quantify with a high degree of
- 3 confidence. That doesn't distinguish any methodology
- 4 used in reasonable assurance.
- 5 And then finally 63.304 says it focuses the
- 6 performance assessment on the full range of
- 7 defensible and reasonable parameters. Well, we do
- 8 that in reactor licensing also.
- 9 So the one area where there might be a
- 10 possible methodological distinction is in the part
- 11 where they say that it accounts for greater
- 12 uncertainties in projecting long-term performance.
- Now, that is a theoretical methodological
- 14 difference, but it is, in this case, certainly at the
- 15 contention stage, of no practical significance. And
- 16 that is because, what that seems to be saying is we
- 17 should be allowing for greater amounts of
- 18 uncertainty, because of the inherent uncertainties of
- 19 projecting long-term performance.
- 20 Unfortunately the Commission, while saying
- 21 that there, indeed, was such a thing as too much
- 22 uncertainty, that is to say, an amount of uncertainty
- 23 which would preclude a finding of reasonable
- 24 expectation, it declined to define what that level
- 25 was.

- 1 So at the same time insisting that it be --
- 2 it was very important to properly characterize
- 3 uncertainty.
- 4 So let's go back with that in mind and look
- 5 at these objections to any one of our TSPA
- 6 contentions, where they say we have failed to account
- 7 for reasonable expectation. What they must mean in
- 8 the context of a single contention is that we have
- 9 not shown -- and this is a materiality objection, so
- 10 they have -- they must be arguing that we have not
- 11 shown that our contention, if true, if taken as true,
- 12 would result in some degree of uncertainty which
- 13 exceeded acceptable bounds.
- 14 But there are no acceptable bounds. So
- 15 asking us to do that is like asking the question how
- 16 high is up? It's an unanswerable question.
- 17 The Commission was very clear when it
- 18 declined to define what was an acceptable,
- 19 unacceptable amount of uncertainty. It was very
- 20 clear that it reserved that decision to much
- 21 later further -- much further down the line based
- 22 upon a full record.
- 23 So what the Commission is saying is we
- 24 don't know what an unacceptable degree of uncertainty
- 25 is now. You can't use that concept in ruling on the

- 1 admissibility of contentions. But later on, way down
- 2 the road, we come to a final licensing decision,
- 3 we'll tell you what it is.
- 4 Now, I wanted to add one further thought.
- 5 Remember that DOE made this objection to virtually
- 6 every single one of our TSPA contentions. So what
- 7 they mean -- what they are arguing then necessarily
- 8 is something which we called utterly irresponsible.
- 9 Since they're arguing materiality, they are saying
- 10 that every single one of our contentions, if true,
- 11 would not warrant denial of the license application.
- 12 They must be saying, looking at our
- 13 contentions, that uncertainty doesn't matter. You
- 14 can have an infinite, undefined amount of
- 15 uncertainty, and we still are entitled to get a
- 16 construction authorization. And we maintain that is
- 17 an utterly irresponsible position to take.
- 18 >>JUDGE GIBSON: I suspect that
- 19 Mr. Polansky would not agree that that was utterly
- 20 irresponsible, but I do want to add -- afford him an
- 21 opportunity to respond to what you just said. I
- 22 would ask if you could do it in two minutes, perhaps,
- 23 please.
- 24 >>MR. POLANSKY: Thank you, Your Honor.
- 25 This is Mr. Polansky.

- 1 We started on this discussion and the
- 2 question about whether there is any difference in
- 3 methodology, so let me address that first.
- 4 Reasonable expectation -- we don't agree
- 5 that they are identical up to reasonable assurance in
- 6 their methodology implementation. For example, in
- 7 the reactor world, it is perfectly acceptable under
- 8 most circumstances, to demonstrate that you have a
- 9 bounding analysis.
- And here under 63.304, No. 4, you are not
- 11 allowed to using all bounding analyses, in essence,
- 12 to be 100 percent in every single capacity so
- 13 conservative that you are bounding. The rule asks
- 14 you to focus performance assessments and analyses on
- the full range of defensible and reasonable parameter
- 16 distributions rather than only upon extreme physical
- 17 situations and parameter values.
- 18 Now, that's not to say we cannot select any
- 19 bounding value in certain models or submodels, but if
- 20 we said every single thing is bounding here and,
- 21 therefore, we're fine. We don't believe that that
- 22 meets the probabilistic aspects of the performance
- 23 assessment that is required under Part 63 to
- 24 demonstrate reasonable expectation.
- 25 In addition, as a provision, we haven't

- 1 discussed, which is the one that comes right before,
- 2 it, Section 63.303, which discusses the
- 3 implementation of Subpart L, and how you are to
- 4 achieve your dose limit on reasonable expectation.
- 5 And it was modified slightly in the March 13th rule.
- 6 And it now states --
- 7 >>JUDGE GIBSON: This is the one the
- 8 Commission just issued?
- 9 >>MR. POLANSKY: Yes, Your Honor.
- 10 >>JUDGE GIBSON: That we were just
- 11 referring to?
- >>MR. POLANSKY: Yes.
- >>JUDGE GIBSON: Okay.
- 14 >>MR. POLANSKY: And that section now has
- 15 the arithmetic mean of the estimated doses to be used
- 16 for determining compliance.
- 17 Clearly the arithmetic mean or the mean of
- 18 a value is there because of the great uncertainty
- 19 that you have, and you are running many iterations
- 20 and model runs, and you are getting numbers and
- 21 possibilities above that mean and numbers and
- 22 possibilities below that mean. In essence, you are
- 23 running iterations that take into account all of the
- 24 reasonable uncertainties.
- 25 And some of those uncertainties result in

- 1 very high dose, with low probabilities, and others in
- 2 very low dose with low probabilities, and you get an
- 3 arithmetic mean.
- 4 That, in essence, is incorporating
- 5 63.304.2, which accounts for the inherently greater
- 6 uncertainties in making long-term projections. You
- 7 wouldn't use a mean, I don't think, if you didn't
- 8 have those uncertainties. You would use a single
- 9 value. You may not get there deterministically, but
- 10 you would say here's my dose value, you know; I can't
- 11 go above.
- >>JUDGE TRIKOUROS: Mr. Polansky, when
- 13 would it be acceptable to file a contention that
- 14 claimed that there was uncertainty? Would any such
- 15 contention be viable?
- 16 >>MR. POLANSKY: What we said in our answer
- 17 is generically, upfront, a contention that merely
- 18 says that there is uncertainty or you have unbounded
- 19 uncertainty by itself is not an admissible
- 20 contention. And itself is not material. You have to
- 21 go further. You have to say more.
- 22 >>JUDGE TRIKOUROS: And what would you
- 23 constitute going further? Quantifying the
- 24 uncertainty? Is there a standard that somebody would
- 25 apply to that quantification?

- 1 >>MR. POLANSKY: Judge Trikouros, it is --
- 2 in the contentions that we saw, the -- we did not
- 3 think that the petitioners connected the dots. I
- 4 think Mr. Silverman addressed yesterday that under
- 5 the TSPA, total system performance assessment, which
- 6 is what we're discussing for post-closure and
- 7 reasonable expectation, that there was no attempt at
- 8 all, an essential abandonment of, you know, it's not
- 9 possible to do it and we haven't even tried. And so
- 10 that failure, we believe, doesn't connect the dots to
- 11 demonstrate whether there would be a qualitative or
- 12 quantitative outcome.
- 13 And in performance assessment space, I
- 14 quess the best example would be to look at 63 -- is
- 15 it 114(e) and (f), which state that -- you know, (e),
- 16 you need to provide the technical basis for either
- 17 inclusion or exclusion of specific features, events,
- 18 and processes in the performance assessment. That's
- 19 the TSPA.
- 20 Specific features, events, and processes
- 21 must be evaluated in detail if the magnitude and time
- 22 of the resulting radiological exposures to the REMI,
- 23 the reasonably maximally exposed individual, or
- 24 radionuclide releases to the environment, would be
- 25 significantly changed by their omission.

- Now, DOE, in identifying it's FEPs,
- 2 features, events, and processes, did not run the TSPA
- 3 model for every single one of those in order to
- 4 determine an inclusion or exclusion of those. It
- 5 evaluated them.
- 6 We would have expected, and we did expect,
- 7 that any contention saying that there had to -- that
- 8 there was a change, because you didn't look at this
- 9 issue or this type of corrosion mechanism or whatever
- 10 it was -- that they would have to demonstrate
- 11 materiality to this provision; that there would be --
- 12 it would be significantly changed by their omission;
- that is the dose to the REMI would be significantly
- 14 changed by their omission. And we, frankly, did not
- 15 see that in the contentions.
- 16 >>JUDGE TRIKOUROS: We're going to get into
- 17 that quite a bit today, I think, but I'm not sure if
- 18 this is the appropriate time, because I think we want
- 19 to finish the arguments with respect to reasonable
- 20 expectation and reasonable assurance.
- 21 All right. But let me ask one question in
- 22 that regard.
- 23 >>JUDGE GIBSON: Please. Yes.
- 25 me to say that applying the reasonable expectation

- 1 standard would provide reasonable assurance that the
- 2 post-closure performance criteria would be met, and,
- 3 conversely, if we applied the reasonable assurance
- 4 standard, we would have reasonable expectation that
- 5 the preclosure performance requirements would be met?
- 6 Is that a -- are both of those correct and the same?
- 7 >>MR. POLANSKY: We believe so, because the
- 8 underlying principle, the standard of proof is
- 9 preponderance of the evidence.
- 10 >>JUDGE TRIKOUROS: Does Nevada agree with
- 11 that?
- 12 >>MR. MALSCH: Marty Malsch for Nevada. We
- 13 would agree that this proceeding is governed by the
- 14 Administrative Procedure Act and the standard
- 15 definition of level of proof is preponderance of the
- 16 evidence. I guess the question is the preponderance
- 17 of the evidence showing what?
- 18 And in regard to the comment that our
- 19 contentions didn't connect the dot, I think our
- 20 response is that, if the contention is the first dot,
- 21 the Commission hasn't told us what the second dot is,
- 22 and there's no connection to be made. I would also
- 23 want to add that there is no single Nevada contention
- 24 which merely asserts that uncertainty exists, period.
- 25 >>JUDGE TRIKOUROS: Judge Gibson

- 1 characterized this as trying to nail jello to a tree.
- 2 Does the NRC staff agree that those two statements
- 3 that I made are correct and the same?
- 4 >>MS. YOUNG: Mitzi Young for the NRC
- 5 staff. If I heard you correctly, I would agree with
- 6 your postulation of the two standards.
- 7 >>JUDGE GIBSON: Okay. Well, since we are
- 8 not going to be able to nail this jello to a tree,
- 9 let me ask you this, Ms. Young: I asked you about
- 10 what methodology you would use in terms of preparing
- 11 a license for this facility, and I understand that we
- 12 didn't have a methodology that Nevada can propose.
- 13 Let me ask you with respect to the specific
- 14 question of contention admissibility; you have heard
- 15 the two assertions of these two gentlemen with
- 16 respect to what should be demanded by this Board with
- 17 respect to the admission of these contentions.
- 18 Do you have a preferred view which was
- 19 between Nevada and DOE on that issue?
- 21 staff. Again, I'm not sure I remember everything
- 22 that each of the Counsel said, but it is clear that
- 23 the staff did not, to my recollection, oppose
- 24 contentions based on this issue.
- 25 Materiality in terms of uncertainty being a

- 1 challenge to regulations, we did not oppose that. So
- 2 I would state that our view is closer to what Nevada
- 3 is stating; although Nevada talked about contentions
- 4 being decided at a later date. I'm not sure the
- 5 staff would agree with that. I mean, we have the
- 6 regulations, we have the standards, and the
- 7 petitioner has the obligation to demonstrate that
- 8 their issues satisfy the requirements of
- 9 10 CFR 2.309.
- 10 >>JUDGE GIBSON: Okay. Well, rather than
- 11 get into more tit for tat, let me just say I believe
- 12 that what counsel for Nevada was talking about was,
- 13 he simply said the Commission has given us a dot but
- 14 they haven't given us the second dot. I think that's
- 15 what he was referring to when he was talking about
- 16 how it would be hard for them to describe it with
- 17 more specificity.
- Okay. DOE, let's go back to this -- I want
- 19 to understand how significant, if at all, the EPA
- 20 rulemaking is for the position that you have taken
- 21 with respect to what is required by the NRC.
- 22 And to just give a little context for that
- 23 for those of you who are not familiar, EPA
- 24 promulgates regulations that have to do with the
- 25 standards that must be met, and the NRC is then to

- 1 develop the technical criteria to implement those
- 2 regulations.
- 3 EPA used the term "reasonable expectations"
- 4 in their regulations, and as Ms. Young indicated, the
- 5 Commission then picked up that term.
- 6 Now, I want to understand, is the -- are
- 7 the EPA regulations an integral part of your position
- 8 or are they just out there and something that you
- 9 think that the NRC's going to need to implement?
- 11 I don't think they have a great amount of weight or
- 12 consideration in the discussion we have here. The
- one paragraph that I read to Your Honors earlier
- 14 today, I tend to find just the logical observation
- 15 that you cannot confirm those parameters because
- 16 we're going out 10,000 years as opposed to a 50-year,
- 17 40-year operating license for a nuclear facility. I
- 18 think that's the distinction to keep in mind.
- 19 The NRC has adopted its own regulations in
- 20 Part 63, and as we've already discussed and I've
- 21 walked through, those regulations say what they say,
- 22 and that's what the applicant DOE is trying to meet.
- 23 And we believe that they're plain on their face and
- 24 they can't be read out of the regulations.
- >>JUDGE GIBSON: Okay. If -- could we get

- 1 the 64 Fed Reg 46997? Would you call that up for me,
- 2 please?
- In 1999, EPA in proposing these rules
- 4 basically -- they were -- they have to do with
- 5 reasonable expectation and reasonable assurances,
- 6 said that -- I'm quoting now from the highlighted
- 7 part -- "While the provisions in this rule establish
- 8 minimum requirements for implementation of the
- 9 disposal standards, NRC may establish requirements
- 10 that are more stringent."
- Now, I read that to say that if NRC wants
- 12 to adopt technical criteria that would be based on
- 13 reasonable expectations, it can do so, and by doing
- 14 that, it will -- it will meet the EPA standard. But
- 15 that if the NRC wants to devise technical criteria
- 16 that are more restrictive or stringent, or I guess
- 17 have a more rigorous methodology would be the way you
- 18 would put it, than what EPA has proposed here, then
- 19 that would be okay, because that would be more
- 20 stringent than the EPA standards.
- 21 On the other hand, if NRC were to adopt
- 22 standards that -- technical criteria that were
- 23 looser, less restrictive, had a less rigorous
- 24 methodology than the reasonable expectation
- 25 standards, then that would not comply with the EPA

- 1 rules, the EPA standard, with respect to
- 2 radionuclides.
- Now, I just want to know, do you agree with
- 4 the way that I read that statement?
- 5 >>MR. POLANSKY: This is Mr. Polansky.
- 6 Yes, I do, Your Honor.
- 7 >>JUDGE GIBSON: So if this more rigorous
- 8 methodology that I think is connoted by reasonable
- 9 assurances were to be adopted as the appropriate
- 10 standard for post-closure -- and I'm not saying the
- 11 NRC's done it. Okay. I don't want to go there. I
- 12 just want to say, if they decided to do that, they
- 13 would be -- not be inconsistent with the EPA
- 14 radionuclide standards; is that correct?
- >>MR. POLANSKY: Yes, Your Honor.
- 16 >>JUDGE GIBSON: I knew you'd want to say
- 17 something else. Go ahead. I just wanted to -- at
- 18 least I got a yes out of you. Thank you.
- 19 >>MR. POLANSKY: I am cognizant of some
- 20 inability to tack jello to a tree; so I'm trying to
- 21 make it a little firmer for you.
- I think, from the conversation we've had,
- 23 what DOE could say is that, if NRC had not changed
- 24 the word "reasonable assurance" to "reasonable
- 25 expectation" and had, for example, in Section

- 1 63.304 -- instead of entitling it reasonable
- 2 assurance or reasonable expectation, the methodology
- 3 used for post-closure would still be different than
- 4 the methodology that would be used for preclosure,
- 5 because it's the methodology that we're saying is
- 6 different.
- 7 The standard of proof in Court,
- 8 preponderance of evidence, that's the same. The
- 9 ultimate finding of unreasonable risk to the public
- 10 health and safety, that's the same. It's just that
- 11 the methodology recognizes, and has to, that you are
- 12 looking out thousands or tens of thousands of years
- 13 for your post-closure, and you cannot do that in
- 14 preclosure.
- That being said, you know, we did have the
- 16 exchange with Mr. Malsch that under 63.304, I think
- 17 there are some slight differences. And I use the
- 18 example of a bounding scenario that we could not, in
- 19 every single model and submodel, use bounding
- 20 parameters. That's not what the concept is under
- 21 63.304, No. 4. But besides those subtle differences,
- 22 I hope that's firmed up our position for you.
- 23 >>JUDGE GIBSON: Okay. Thank you. Counsel
- 24 for Nevada, I don't want to leave this without you
- 25 having an opportunity to respond to what Mr. Polansky

- 1 said. I gave him the chance to respond to you.
- 2 >>MR. MALSCH: Let me begin by just
- 3 remarking that we agree with Your Honor's statement.
- 4 And I would just add that the EPA observation and its
- 5 rulemaking that you cited is actually consistent with
- 6 almost identical language in the conference report
- 7 for the Energy Policy Act of 1992. So this was not
- 8 just some generous statement by the EPA. It was
- 9 reflecting the state of the law.
- 10 Secondly, under the Energy Policy Act, the
- 11 EPA rule itself has no direct application in this
- 12 proceeding because, under the statute, the EPA rule
- only has significance insofar as it leads to a second
- 14 NRC rule. And if it were even possible to argue
- 15 theoretically that there was some inconsistency
- 16 between the NRC implementing rule and the EPA rule,
- 17 that would actually be an impermissible challenge to
- 18 an NRC rule, which is not allowed in NRC practice.
- 19 So for a number of reasons, the controlling
- 20 regulation in this case is the NRC rule, not anything
- 21 the EPA might have said or done in its rulemaking.
- 22 With regard to Mr. Polonsky's statement, I
- 23 quess I can't disagree that the differences in
- 24 methodology are, at best, slight. I would say that I
- 25 don't see any problem with establishing compliance

- 1 with an EPA dose standard using only bounding
- 2 estimates.
- I don't think that's precluded so long as
- 4 one also -- in connection with making that proof of
- 5 compliance, also includes a discussion of -- and
- 6 characterization of the uncertainty involved. But I
- 7 think that's almost of academic significance.
- 8 I would also add that, if you look at DOE's
- 9 objections in their Answers, their objections along
- 10 the lines of we have not established no reasonable
- 11 expectation; those objections don't sound in
- 12 methodology. They sound in risk, acceptable levels
- 13 of risk, which I addressed earlier.
- 14 So I don't understand exactly what DOE's
- 15 objections to our contentions are if they're talking
- 16 about methodology and not levels of acceptable risk.
- 17 I've just sort of lost track of what they're trying
- 18 to say in their Answers.
- 19 >>JUDGE GIBSON: Counsel for DOE, I think
- 20 Mr. Malsch's statement raises a question in my mind.
- 21 I hope I can formulate this.
- 22 I guess I'm curious how would -- I realize,
- 23 you know, you don't want to be aiding and abetting
- 24 the enemy here, but how would you, if you were, you
- 25 know, going to be a petitioner in this case, how

- 1 would you draft a contention to challenge DOE's
- 2 license application with respect to this post-closure
- 3 standard that you say fails the materiality
- 4 threshold?
- 5 How would you -- would it be possible to
- 6 draft a contention that, under your standard, would
- 7 be admissible to challenge the post-closure rules --
- 8 or the post-closure regime that you have proposed in
- 9 your application?
- 10 >>MR. POLANSKY: This is Mr. Polansky. It
- 11 certainly would be possible to craft a contention.
- 12 This -- you know, we were accused yesterday of
- 13 creating a fortress to contention admissibility, and
- 14 that's certainly not the case.
- >>JUDGE GIBSON: Well, I think someone was
- 16 just quoting out of a case. I'm not sure they
- 17 accused you of anything. But that's okay.
- 18 >>MR. POLANSKY: Fair enough, Your Honor.
- 19 Under 63.114(e), which is a provision I had read from
- 20 earlier --
- 21 >>JUDGE GIBSON: 63.114(e)?
- >>MR. POLANSKY: (e), yes.
- >>JUDGE GIBSON: Can we call that up,
- 24 Mr. Welke?
- >>MR. POLANSKY: If I were crafting a

- 1 contention, the requirement for materiality for this
- 2 provision, for example, is that the omission of this
- 3 FEP, this feature, event, or process, would be that
- 4 the radiological exposure to their RMEI would be
- 5 significantly changed by its omission.
- 6 So I would have experts and expert opinion
- 7 that had some evaluation that demonstrated that the
- 8 exclusion or omission of this -- and I'd have to find
- 9 a place where it was omitted in the application --
- 10 would have significantly changed the dose to the
- 11 RMEI.
- Now, we had discussion yesterday about, you
- 13 know, replicating the TSPA to do that. You know,
- 14 that's not what DOE is asserting, and that's where
- 15 the impossibility came up yesterday that no one can
- 16 replicate what DOE has done. And by replicate we
- meant exactly model what DOE has done.
- 18 But, you know, we do point out that EPRI
- 19 has its own model. NRC has its own model. It's not
- 20 identical, it's not a replication, but they clearly
- 21 have run some performance assessment-like analyses
- 22 and have come up with their own opinions about the
- 23 outcome.
- 24 And DOE, as I mentioned, in evaluating
- 25 those FEPs, features, events, and processes,

- 1 evaluated them and did not run them all through the
- 2 TSPA. It might have done it on a model or submodel
- 3 basis in order to make its decision.
- 4 Clearly a petitioner could do that and have
- 5 met the materiality requirement. We do not believe
- 6 that any of the contentions that are proffered in
- 7 good faith did that.
- 8 >>JUDGE GIBSON: Okay. I think Judge
- 9 Trikouros has got a question.
- 10 >>JUDGE TRIKOUROS: You need -- you need to
- 11 provide me with more than that of how exactly would
- 12 this process work?
- 13 Let me ask the question this way: Do you
- 14 believe -- do you truly believe that any one
- 15 parameter discussed in any one contention, if
- 16 propagated through the TSPA, could result in failure
- 17 to meet the standard?
- 18 >>MR. POLANSKY: Mr. Polansky. Judge
- 19 Trikouros, I am not fully versed on the implications
- 20 of this nonlinear model, the TSPA. What I can say is
- 21 I think from some of the figures that are at the
- 22 back -- and at a break I can provide you with those
- 23 numbers -- there are clearly some features, events,
- 24 phenomena which have greater implications on
- 25 significance of dose than others.

- 1 >>JUDGE TRIKOUROS: Has the DOE done any
- 2 sensitivity analyses in all of the years they were
- 3 working with this model to identify which of those
- 4 are sensitive and which of those aren't?
- 5 >>MR. POLANSKY: I believe there's a whole
- 6 host of sensitivity studies. Whether they were done
- 7 on the entire TSPA or on a model or submodel basis,
- 8 I'd have to talk with our experts at a break.
- 9 >>JUDGE TRIKOUROS: But in the answers --
- 10 well, let me say it this way. The only viable way
- 11 that I can see to evaluate the implication of all of
- 12 these contentions, many of which is still with
- individual parameter issues, would be to basically
- 14 rerun the entire model with all of the parameters
- 15 altered to the -- to be what the intervenors are
- 16 indicating they should be and possibly reducing
- 17 conservatism in other parameters that the DOE deems
- 18 are overly conservative to try and reach something
- 19 that makes sense.
- 20 And so what I'm trying to wrestle with is
- 21 how does Nevada meet your standard? You're very
- 22 nebulous about it. You make statements like they
- 23 don't need to run the whole model, they could run
- 24 parts of the model, but it's still -- from my
- 25 perspective, is still not very clear how they could

- 1 have met your materiality concern. Can you enlighten
- 2 me perhaps some more?
- 3 >>MR. POLANSKY: This is Mr. Polansky. I'm
- 4 having trouble articulating a specific for you
- 5 because I don't want to talk out of school because
- 6 I'm not a technical expert. I don't know all the
- 7 details and machinations of how the models or
- 8 submodels were run, but I could point the Board to
- 9 how the DOE evaluated inclusion or exclusion of FEPs,
- 10 the features, events, and processes.
- I believe it's Section -- SAR Section 2.2
- 12 which discusses the inclusion or exclusion of FEPs.
- 13 And there are supporting references which go on for
- 14 hundreds, if not thousands, of pages for each
- 15 feature, each event, each process, and how it was
- 16 that DOE evaluated it for inclusion or exclusion
- 17 against this criteria of significant effect.
- 18 And so if there are some people who are
- 19 expert in the field -- and this is not just a single
- 20 field. I mean, this covers corrosion. This covers
- 21 igneous.
- 22 It covers Martians coming from outer space.
- 23 If those experts can do that evaluation and say to
- 24 the NRC that we meet this criteria, then our
- 25 assumption was that it would be relatively easy for

- 1 experts in those same fields, if retained by
- 2 petitioners, to make similar allegations with
- 3 appropriate support that was a violation of that
- 4 criteria or that regulation. And, as I said, in good
- 5 faith, we did not think any of the contentions did
- 6 that.
- 8 like to -- we'll come back to this again. I don't
- 9 think we've reached a resolution on this.
- 10 >>JUDGE GIBSON: I appreciate the fact that
- 11 you can't tell me what these two terms mean,
- 12 Mr. Polansky, and whether they mean the same thing or
- 13 not. I understand that. I understand that you're
- 14 saying that there is a different methodology, one
- 15 more rigorous, one less rigorous that one would
- 16 utilize to determine whether, you know, you met this
- 17 standard.
- 18 Setting that aside for a minute, have the
- 19 contentions that Nevada has drafted, recognizing in
- 20 your estimation they do not comply with the criteria
- 21 that would be necessary for them to be admissible
- 22 because of materiality; with respect to reasonable
- 23 expectation, do they, nevertheless, meet the
- 24 materiality threshold with respect to reasonable
- 25 assurance?

- 1 >>MR. POLANSKY: This is Mr. Polansky.
- 2 Judge Gibson, are you referring then to those few
- 3 contentions that are challenging DOE's preclosure?
- 4 >>JUDGE GIBSON: No, I'm not. No, I'm not.
- 5 I'm not talking about that at all. I'm talking about
- 6 the post-closure contentions. And I realize that you
- 7 don't think that's what they need to mean.
- 8 But I just want to ask you, with respect to
- 9 contention admissibility, you're saying they flunk
- 10 the materiality threshold, okay, because reasonable
- 11 expectation is something that your application meets
- 12 and their contentions don't get there.
- 13 I'm just saying: Do you concede that they
- 14 at least meet the reasonable assurance standard, even
- 15 though you think that's not what applies?
- 16 >>MR. POLANSKY: This is Mr. Polansky. My
- 17 gut reaction is that, no, but I'm not sure I fully
- 18 still understand the question.
- 19 >>JUDGE GIBSON: Well, I definitely do not
- 20 want you to -- as I would tell a deponent in my prior
- 21 life, I would never want you to answer a question you
- 22 did not understand. So let's start over.
- >>MR. POLANSKY: Okay.
- >>JUDGE GIBSON: Okay. You indicated that
- 25 these contentions that Nevada has asserted with

- 1 respect to post-closure flunk the materiality
- 2 threshold for contention admissibility because
- 3 reasonable expectation means something different than
- 4 what they've alleged and they have not met those
- 5 materiality requirements with respect to reasonable
- 6 expectation.
- 7 Now, I know you don't think that reasonable
- 8 assurance is the standard, that they -- that you need
- 9 to meet for post-closure. And I'm sorry I have to
- 10 ask you to assume that that is the case, just for
- 11 purposes of this question. We're not going to hold
- 12 you to this, Mr. Polansky.
- But with respect to reasonable assurance,
- 14 did Nevada's contentions that you say flunked the
- 15 materiality threshold at least meet the contention
- 16 admissibility requirements for that standard?
- 17 >>MR. POLANSKY: This is Mr. Polansky. No,
- 18 Your Honor.
- >>JUDGE GIBSON: And why?
- 21 is, if we were to just say that reasonable assurance
- 22 was the requirement that they needed to meet, as I
- 23 hope I was clear --
- >>JUDGE GIBSON: Actually, it would be you
- 25 meet, but ...

- 1 >>MR. POLANSKY: Yes. As I said
- 2 previously, we believe that the ultimate safety
- 3 finding is the same and the methodology is different.
- 4 And so whether you call it apples or oranges or
- 5 reasonable expectation, the methodology is what the
- 6 methodology is in the rules. And we believe they
- 7 need to meet that in order to show that there's a
- 8 material issue, not meet it but raise a material
- 9 issue within those -- that methodology.
- 11 don't even meet the materiality threshold with
- 12 respect to reasonable assurance? I know you don't
- 13 think they need to, Mr. Polansky, and I'm not asking
- 14 you to concede that they do. I just want to know
- 15 that question.
- >>MR. POLANSKY: Yes.
- 17 >>JUDGE GIBSON: Okay.
- 18 >>MR. POLANSKY: We believe they wouldn't
- 19 meet the materiality for that.
- 20 >>JUDGE GIBSON: Fair enough. I think we
- 21 are at a point where we agreed we would take a break.
- 22 We will take a 15-minute break, and we will be back
- 23 on the record then. Thank you.
- 24 (A recess was taken)
- 25 >>MR. MALSCH: Judge Gibson, if I may, I

- 1 would like to respond briefly to -- a minute's worth
- 2 to one of the comments that DOE made just before the
- 3 Board broke.
- 4 >>JUDGE GIBSON: That will be fine. I hope
- 5 you won't be surprised if Mr. Polansky may feel, you
- 6 know, moved to speak to respond to you as well, but
- 7 go ahead. One of these days you guys will finish.
- 8 >>MR. MALSCH: That will be fine. And this
- 9 is Marty Malsch with the State of Nevada.
- >>JUDGE GIBSON: Go ahead.
- >>MR. MALSCH: When you asked DOE to frame
- 12 what they perceived to be an admissible contention,
- 13 they actually attempted to frame a contention in a
- 14 very narrow field dealing with inclusion of features,
- 15 events, and processes. That has a whole separate
- 16 regime in which one looks at probabilities and
- 17 consequences.
- 18 In fact, Nevada has only, I would say, less
- 19 than a dozen contentions specifically dealing with
- 20 FEPs. But two things I would say about this.
- 21 First of all, the account of the definition
- 22 of FEPs and the standards for their inclusion offered
- 23 by DOE is incomplete because elsewhere the Commission
- 24 says quite clearly that we should also include
- 25 features, events, and processes that might affect the

- 1 performance of the repository and we should include
- 2 those expected to materially affect compliance or be
- 3 potentially adverse to performance.
- 4 Now, that's important because the
- 5 calculations which DOE was insisting for -- need --
- 6 DOE was insisting be included for FEPs contentions is
- 7 actually something which the Department itself did
- 8 not or perhaps could not do in its own FEPs
- 9 screening.
- 10 And let me call the Board's attention to
- 11 their safety analysis report at page 2.2-17, in which
- 12 it appears that the DOE in screening in FEPs, didn't
- 13 engage always or perhaps never in doing dose
- 14 calculations, as what Mr. Polansky would suggest
- 15 needed to be the case for an admissible contention.
- 16 But instead FEPed in a feature, event, or process if,
- 17 quote, "it would have an intermediate performance
- 18 measure that can be linked to radiological exposure
- 19 or radiological release."
- 20 So they were looking for implications and
- 21 links to releases in including in FEPs but were not
- 22 themselves engaging in doing the kinds of dose
- 23 calculations which DOE now insists would have been a
- 24 precondition for admission of one of our contentions.
- 25 So ultimately DOE's notion of an acceptable FEP

- 1 contention went beyond what DOE itself purported to
- 2 do in its license application.
- 3 >>JUDGE GIBSON: Okay. I suspected you
- 4 would want to say something, Mr. Polansky. Go ahead.
- 5 >>MR. POLANSKY: This is Mr. Polansky. In
- 6 response, DOE can clearly be more conservative than
- 7 the rules require; so I don't think the issue that
- 8 Mr. Malsch raised in itself suggests that DOE did
- 9 anything wrong or changes our position.
- In order to bring -- and, also, to get back
- 11 to issues that you were -- we were discussing before
- 12 the break, in order to take this down from the
- 13 high-level discussion to something more concrete, we
- 14 would like to call to your attention Nevada
- 15 Safety 29, which is a contention that alleges that
- 16 DOE should have taken into account plant height,
- 17 differentiating plant height in its infiltration
- 18 analysis.
- 19 And the allegation or the materiality is
- 20 based on a purported violation of 63.114(b), which is
- 21 account for uncertainties and variabilities in
- 22 parameter values and provide for the technical basis
- 23 for parameter ranges, probability distributions, or
- 24 bounding values used in performance assessment.
- This is where we come back to our central

- 1 theme which we think is correct, that you need to
- 2 show or demonstrate a material change to the outcome
- 3 of the proceeding. One contention could have said --
- 4 and it did not. I'm not saying they filed this
- 5 contention, but a contention could have said, you
- 6 didn't account for flowers on these plants. Now, why
- 7 does that raise a material -- a material dispute,
- 8 something that's material here, that we should have a
- 9 hearing about.
- 10 And the same thing on plant height. It is
- 11 not the requirement of these regulations that the
- 12 Department of Energy take into account every single
- 13 kind of perturbation or parameter that happens to
- 14 exist in real life, that plants are not all the same
- 15 height. But there has to be a proxy in some of these
- 16 models that, by itself, saying that there's a change
- 17 in plant height, that that could affect infiltration,
- 18 that that somehow creates a material dispute.
- 19 And our response to Nevada Safety 29 said this
- 20 doesn't raise a material dispute for that reason.
- 21 >>JUDGE GIBSON: I hope that this doesn't
- 22 degenerate into a colloquy on plant height.
- 23 Mr. Malsch, is there anything you need to
- 24 say to what Mr. Polansky said?
- 25 >>MR. MALSCH: Just very briefly in defense

- 1 of that contention.
- 2 >>JUDGE GIBSON: Please, briefly.
- 4 enforceable requirement in Part 63, and it's in,
- 5 among other places, 63.101(a)(2) which says that the
- 6 total system performance assessment must include the
- 7 full range of defensible and reasonable parameters,
- 8 otherwise, the TSPA itself is not valid. That is a
- 9 separate issue. A contention which alleges a
- 10 violation of that standard is, per se, material
- 11 because it raises an issue of compliance with an
- 12 applicable regulation.
- Now, insofar as flowers are concerned, I
- 14 think DOE is confusing materiality with the minimal
- 15 showing required under the contention requirements.
- 16 I mean, obviously if we had alleged a violation of
- 17 63.101(a)(2) and had said that the full range of
- 18 defensible and reasonable parameters had not been
- 19 included because flowers weren't accounted for, one
- 20 would expect to see some reasonable explanation by
- 21 our expert under Paragraph 5 as to why flowers were
- 22 important. I think here we are confusing the minimal
- 23 showing required to show there was a genuine dispute
- 24 under Paragraph 5 with materiality standard
- 25 elsewhere.

- 1 >>JUDGE GIBSON: We are talking about
- 2 materiality, I hope. Fair enough. Okay.
- 3 We have not heard from the NRC staff in a
- 4 while. Before we move on to the next area, I just
- 5 want to see -- ask you, is there anything else that
- 6 you all wanted to say about reasonable expectation
- 7 and reasonable assurance?
- 8 >>MS. YOUNG: Ms. Young for the NRC staff.
- 9 I believe the Board made reference to a
- 10 statement in the EPA rulemaking about differences
- 11 between the EPA standard being either more lenient or
- 12 more restrictive than the NRC requirements.
- 14 believe I got an agreement from counsel from DOE on
- 15 that.
- >>MS. YOUNG: Right. I guess --
- 17 >>JUDGE GIBSON: To go back over it, it
- 18 simply was that technical criteria that EPA -- that
- 19 NRC promulgates must be at least as restrictive,
- 20 stringent, or meet the standard that the EPA
- 21 promulgates in its radionuclide standards. I believe
- 22 that's all we were really talking about.
- 23 >>MS. YOUNG: Okay. I just wanted to point
- 24 the Board's attention to the words in the final rule
- 25 issued November 2nd, 2001, regarding reasonable

- 1 assurance and a response to a comment that EPA --
- 2 >>JUDGE GIBSON: Was this an EPA standard?
- 3 >>MS. YOUNG: No. This is the NRC rule.
- 4 >>JUDGE GIBSON: The NRC rule in 2001. Do
- 5 you have a cite to that?
- 6 >>MS. YOUNG: Absolutely. It's 66 Federal
- 7 Register. The exact page is 55740.
- 8 >>JUDGE GIBSON: Could you call that up,
- 9 please, Mr. Welke. Be sure everybody can see it?
- 10 Okay. It's not coming up. Thank you. Okay.
- Is this the language you're referring to,
- 12 ma'am?
- 14 further.
- 15 >>JUDGE GIBSON: Okay.
- >>MS. YOUNG: It's the next column.
- 17 >>JUDGE GIBSON: Okay.
- >>MS. YOUNG: It's Issue 2, which talks
- 19 about "Does the term reasonable assurance denote a
- 20 specific statistical parameter related to either
- 21 probability distribution."
- >>JUDGE GIBSON: You know what? Could you
- 23 help Mr. Welke find that, please?
- 24 >>MS. YOUNG: Yeah, he was there. It's at
- 25 the bottom of the first column.

- 1 >>JUDGE GIBSON: Bottom of the first
- 2 column. I thought you said on the second one. Go
- 3 down to the bottom.
- 4 >>MS. YOUNG: Yes.
- 5 >>JUDGE GIBSON: Issue 2. "Does the term
- 6 reasonable assurance denote a specific statistical
- 7 parameter related to either the probability
- 8 distribution of calculated individual doses or
- 9 important variables used in that calculation."
- 11 the next column --
- >>JUDGE GIBSON: Okay.
- >>MS. YOUNG: -- the EPA's interpretation
- 14 of reasonable assurance, in their minds, would lead
- 15 to the extreme approach of selecting worst case
- 16 values.
- 17 >>JUDGE GIBSON: Okay. Do you see that,
- 18 coupled with, according to the EPA, that approach?

- 21 that for her, please?
- Is that the language you're talking about,
- 23 Ma'am?
- 24 >>MS. YOUNG: Yes. And a little further
- 25 down.

- 1 >>JUDGE GIBSON: Okay.
- 3 application of reasonable assurance standard could be
- 4 inconsistent, number one, but also, number two, would
- 5 result in applying margins of safety beyond the
- 6 standard for individual protection set by the EPA,
- 7 which, in effect, alters the standard."
- And you'll see, in the Commission's
- 9 response here, again, was to --
- 10 >>JUDGE GIBSON: And that would be in the
- 11 next column; is that right?
- >>MS. YOUNG: Actually starts at the bottom
- 13 of that column.
- 14 >>JUDGE GIBSON: Bottom of that column.
- >>JUDGE GIBSON: Okay.
- 17 >>MS. YOUNG: Even though the Commission
- 18 was adopting EPA's terminology of reasonable
- 19 expectation, again, there was no view of the
- 20 Commission that reasonable assurance would involve
- 21 such extreme values being used for important
- 22 parameters.
- 23 So this is just to highlight, again, that
- 24 EPA's interpretation of reasonable assurance was
- 25 different than the NRC's interpretation of reasonable

- 1 assurance. But there is no difference in the NRC's
- 2 mind between the terminology reasonable assurance and
- 3 reasonable expectation.
- 4 Each considers either uncertainties or the
- 5 particular action that's being authorized or
- 6 considered for authorization and obviously the time
- 7 period that that proposed action would be undertaken.
- 8 >>JUDGE GIBSON: Okay. Thank you very much
- 9 for that clarification. We are ready to go to the
- 10 next topic unless somebody has some burning desire to
- 11 say something about reasonable expectation or
- 12 reasonable assurance.
- Oh, I'm sorry. Judge Trikouros has got a
- 14 question. I'm sorry. Please.
- 16 that reasonable assurance and reasonable expectation
- 17 were fundamentally significantly the same, Mr. Malsch
- 18 indicated in his agreement that, yes, I agree that
- 19 they are significantly the same in that both referred
- 20 to a burden of proof of the preponderance of the
- 21 evidence. And, however, the statement was made that
- 22 we don't know what the preponderance of evidence is.
- 23 So it kind of shifted the issue to preponderance of
- 24 evidence but left it nebulous again.
- 25 Would 50 percent be the answer to that? In

- 1 other words, you know, where the -- where we were
- 2 just looking at 95 percentile, would the truth be in
- 3 terms of preponderance of evidence, what I would call
- 4 50 percentile, 50th percentile?
- 5 >>MR. MALSCH: Marty Malsch for the State
- 6 of Nevada. I mean, if you look at law school books,
- 7 the preponderance of the evidence standard is equated
- 8 to, you know, 51 percent versus 49 percent; although,
- 9 in fact, in most cases and certainly in this case, it
- 10 doesn't come down to such, you know, quantitative
- 11 measures. I would say the difficulty here is that
- 12 the preponderance of the evidence standard really
- 13 applies not at the contention stage. I mean, indeed,
- 14 the Commission's rules are quite clear that one need
- 15 not make his case at the contention stage.
- 16 The preponderance of the evidence standard
- 17 applies when the entire record is completed on any
- 18 one issue and the -- and the Boards and Commission
- 19 are deciding and weighing the evidence.
- I don't think you can easily equate
- 21 preponderance of the evidence with such things as
- 22 using the 95 percent distribution or the mean or the
- 23 median. I think --
- >>JUDGE TRIKOUROS: I understand that.
- 25 However, we're trying to get through the contention

- 1 admissibility phase, and people are using words like
- 2 "uncertainty" in contentions with no clear definition
- 3 of how much uncertainty is acceptable and how much
- 4 uncertainty is unacceptable.
- 5 There are contentions that you -- your
- 6 organization has filed that indicate that certain
- 7 parameters -- because of certain reasons, various
- 8 parameters have a greater uncertainty than was
- 9 assumed by the DOE; therefore, you want that admitted
- 10 as a contention.
- 11 And DOE comes back and says, you know,
- 12 that's not sufficient to simply say that. So, you
- 13 know, we're dealing with a -- what really would
- 14 satisfy me to be a quantitative aspect of this that
- 15 we can't get ahold of, really, and, you know, somehow
- 16 I think we need to come to grips with that, at least
- 17 to some extent.
- >>MR. MALSCH: Let me just respond by
- 19 saying that the issue you're struggling with, I
- 20 think, is precisely the issue the Commission itself
- 21 struggled with when it addressed this question in
- 22 promulgating Part 63. It declined to define for the
- 23 purposes of the regulation what would be an
- 24 acceptable or unacceptable level of uncertainty and
- 25 said, instead, we'll make that decision later on

- 1 based upon the full record.
- 2 So I think your struggle is symptomatic of
- 3 a problem with DOE's objection. It's just not the
- 4 kind of thing you could properly wrestle with or even
- 5 possibly decide at the contention stage. This is
- 6 clearly the kind of thing that is reserved for the
- 7 merits decision much later down the road.
- 8 >>JUDGE TRIKOUROS: Correct. Correct. But
- 9 the problem is the far-reaching nature of this is
- 10 such that it encompasses a very large number of
- 11 contentions. If one were to come on one side of
- 12 this, basically every contention would be admitted.
- 13 If one were to come on the other side of this,
- 14 basically every contention would be denied.
- 15 That's the problem.
- 16 >>MR. MALSCH: Well -- Marty Malsch for
- 17 Nevada. Obviously that's not a problem for us. We
- 18 think we've raised a great number of very legitimate
- 19 issues, and I think they are all admissible, and the
- 20 fact that there are a great number of them derives
- 21 from two facts. One is we have very specific
- 22 contentions, unlike most intervenors in most
- 23 proceedings. And, two, the Commission in Part 63
- 24 purported to adopt a performance-based regulation in
- 25 which there are not a whole lot of quantitative

- 1 standards other than the ultimate dose standard.
- 2 Yet the Commission was very clear that, for
- 3 post-closure safety, safety would not depend just
- 4 upon the simple results of a dose calculation at the
- 5 end of a performance assessment. Instead there had
- 6 to be compliance with a whole subset of requirements,
- 7 including, as one of them, a separate and enforceable
- 8 requirement that the full range of reasonable and
- 9 defensible parameters be included.
- Now, I would agree that admits of a great
- 11 number of specific complaints about whether that has
- 12 been done, but that's the nature of the regulation.
- 13 It's the nature of the fact that we chose to file
- 14 very specific contentions.
- 15 >>JUDGE GIBSON: Okay. Thank you. Seeing
- 16 no hands up there, I'm assuming we won't hear any
- 17 more about reasonable expectation or reasonable
- 18 assurance the rest of the day, unless Judge Trikouros
- 19 decides to, you know, get back into this issue later.
- 20 And I think Judge Rosenthal has some specific
- 21 questions for you all.
- >>JUDGE ROSENTHAL: Yes. Another area of
- 23 overarching disagreement between DOE, joined in this
- 24 instance by the NRC staff and Nevada, relates to the
- 25 sufficiency of the affidavits of experts that Nevada

- 1 has submitted in fulfillment of certain of the
- 2 requirements of the rules of practice governing
- 3 contention admissibility.
- 4 The controversy specifically centers upon
- 5 Nevada's practice of first placing everything that it
- 6 is offering in support of each of its contentions in
- 7 the body of the contention itself. Then in
- 8 affidavits accompanying the totality of the Nevada
- 9 contentions, to the extent relevant, its experts
- 10 adopt as their own opinions, that content.
- In the view of DOE, again supported by the
- 12 NRC staff, the pertinent requirements of
- 13 Section 2.309(f)(1) are not satisfied by the
- 14 submission of expert affidavits that simply
- 15 incorporate by reference what is offered in the
- 16 contention itself by way of support for the challenge
- 17 to the proposal under consideration. Thus, DOE would
- 18 have it that virtually all of Nevada's submitted
- 19 contentions must fail for this reason alone.
- 20 By way of response, Nevada insists that the
- 21 course that it followed was entirely consistent with
- 22 the discharge of the obligations imposed upon it by
- 23 the applicable rules of practice.
- Now, in exploring this issue, I'd first
- 25 like to inquire of Nevada what prompted its decision

- 1 to place the supporting material in the body of the
- 2 contention rather than in the affidavit of the expert
- 3 and then having the expert endorse the content of the
- 4 contention. And this is -- basically deals with
- 5 Paragraphs 5 and Paragraph 6 of 2.309(f)(1). So I
- 6 would like to get its rationale for adopting that
- 7 procedure.
- 8 >>MR. MALSCH: This is Marty Malsch for
- 9 Nevada. It was done, first, for practical reasons.
- 10 We had hundreds of contentions, and it was a
- 11 considerable burden on Nevada to review the license
- 12 application and all the supporting materials within
- 13 the time frame allotted and file contentions on a
- 14 timely basis. So we adopted this practice of having
- 15 affidavits incorporate materials by reference solely
- 16 to avoid the burden on Nevada of having to file
- 17 hundreds of individual affidavits.
- 18 Also, we were aware of no NRC rule or
- 19 precedent at all that would preclude the practice
- 20 that we followed.
- 21 And I wanted to emphasize here that, in
- 22 fact, the language in paragraph 5 and to some extent
- 23 Paragraph 6 of our contentions was, with very limited
- 24 exceptions and those exceptions deal with primarily
- 25 legal contentions or contentions in which we use the

- 1 support of government documents. With those rare
- 2 exceptions, in fact, the statements in Paragraph 5 of
- 3 our contentions were drafted by our experts, not by
- 4 counsel.
- 5 >>JUDGE ROSENTHAL: And you have, if I
- 6 recall correctly in your reply to the DOE objection,
- 7 a specific representation that your experts had a
- 8 major role in the formulation of the supporting
- 9 material; is that correct?
- 11 That is not only correct, but you've actually
- 12 understated their role. Their role was not just a
- 13 major role. It was they were the -- virtually, the
- 14 only drafters of those contentions.
- I mean, we, as lawyers, reviewed them and
- 16 maybe corrected some grammatical mistakes and such,
- 17 but, by and large, what you're seeing here are the
- 18 statements of our experts, not the statements of
- 19 counsel, not, though, that would have made any
- 20 difference.
- 21 We pointed out an NRC case in which said
- 22 that, actually, it would not have been impermissible
- 23 to have counsel draft these statements and have the
- 24 statements drafted by counsel adopted by experts,
- 25 but, in fact, that is not the practice we followed.

- 1 These were essentially drafted by the experts.
- 2 >>JUDGE ROSENTHAL: Okay. Thank you,
- 3 Mr. Malsch.
- 4 DOE, can you point to any specific
- 5 provision in the rules of practice that preclude the
- 6 course that was pursued by Nevada in this instance or
- 7 any decision of the Commission or of a licensing
- 8 board that states that the support that's being
- 9 offered for a particular contention must be contained
- 10 in the expert's affidavit?
- >>MR. POLANSKY: This is Mr. Polansky.
- 12 Yes, Your Honor. Before I answer, I did
- 13 note that the topics for discussion included not only
- 14 what format the affidavits may take but what is
- 15 needed to satisfy the standards for contention
- 16 admissibility under 2.309(f)(15). Would you like my
- answer to encompass both of those?
- 18 >>JUDGE ROSENTHAL: No. I am dealing
- 19 with -- I don't know whether what you now have in
- 20 mind is the question as to whether the expert must
- 21 provide documentary support for his opinion. Is that
- 22 what you're addressing? Because if that is what you
- 23 have in mind, I'm going to get to that subsequently.
- I'm now focusing on the question as to
- 25 whether it is permissible to have the support

- 1 contained in the body of the contention, with then
- 2 the expert in his or her affidavit endorsing that
- 3 content as his or her own opinion.
- 4 And I'm not getting into the question as to
- 5 whether in a particular instance what's been put in
- 6 the contention is sufficient to the day. I'm just
- 7 now addressing the question of whether, as apparently
- 8 is your claim, joined by the staff, that it is not
- 9 adequate to have the expert in his or her affidavit
- 10 simply adopt as his or her opinion what's set forth
- in the body of the contention.
- >>MR. POLANSKY: Yes, your Honor. This is
- 13 Mr. Polansky.
- I understand the focus of your question,
- 15 and my answer remains yes. In our Answer, DOE's
- 16 answer at pages 47 and 48, we did cite to a Vermont
- 17 Yankee Board decision in which that Board criticized
- 18 the State of Vermont in a power upgrade proceeding
- 19 for the wholesale adoption of contentions by its
- 20 expert, because it, quote, "seriously undermines our
- 21 ability to differentiate between the legal pleadings
- 22 and the facts and opinions expressed by the expert."
- 23 The Board in that decision expressly prohibited the
- 24 State of Vermont from doing it again in the
- 25 proceeding in 2004.

- 1 >>JUDGE ROSENTHAL: And what provision of
- 2 the Commission's rules of practice did the Board
- 3 refer to?
- 4 >>MR. POLANSKY: The Board was not
- 5 referring to any specific language.
- 6 >>JUDGE ROSENTHAL: That was just in the
- 7 Board's personal opinion that it felt that that was
- 8 not a desirable practice? I mean, I want to know
- 9 where in the regulations, the rules of practice,
- 10 there is a proscription against this practice.
- 11 This Board, apparently, this one licensing
- 12 board, apparently for reasons of its own, decided
- 13 that it didn't like the practice. But I'm getting at
- 14 where it appears that the rules of practice proscribe
- 15 it. Because I can't -- I couldn't find anything in
- 16 the rules myself, and I don't think that either you
- 17 or the staff referred me to any proscription in the
- 18 rules. So the answer is, there is none; is that
- 19 right?
- >>MR. POLANSKY: Correct, Your Honor. In
- 21 the rule itself, there is none, but the rules
- 22 themselves are based on the Federal Rules of Civil
- 23 Procedure where there is an adoption or a principle
- 24 that, if you are going to use an affidavit to
- 25 identify specific facts that are setting out a

- 1 genuine issue of fact for trial, that you do that in
- 2 an affidavit form. And this -- an advisory PAPO
- 3 Board also set forth in LBP 08-10 that affidavits
- 4 shall be individually paginated and contain numbered
- 5 paragraphs that can be cited with specificity.
- 6 We read into that requirement an
- 7 understanding that these affidavits would have that
- 8 material so that we could challenge individual
- 9 paragraphs or that the Board could look at those
- 10 paragraphs and agree or disagree with certain
- 11 provisions in them. There's no ability to do that
- 12 here.
- >>JUDGE ROSENTHAL: You're referring to
- 14 something of the PAPO Board?
- 16 your Honor.
- 17 >>JUDGE ROSENTHAL: The advisory one, all
- 18 right. All right. Well, before -- I'm going to get
- 19 back to you in a moment, but I'm going to ask the
- 20 staff: Do you find anything in the rules of practice
- 21 that specifically proscribe the course of action that
- 22 the State of Nevada pursued? Yes or No.
- >>MR. LENEHAN: No.
- >>JUDGE ROSENTHAL: Staff says no. All
- 25 right.

- 1 >>MR. LENEHAN: Required to make a one-word
- 2 answer to that.
- 3 >>JUDGE ROSENTHAL: Okay. Now, in the real
- 4 world, why is not the position that you're taking,
- 5 DOE, exalting form over substance? I mean, isn't it
- 6 important for the purposes of fulfilling the
- 7 objective that the Commission had in proposing this
- 8 requirement in Paragraph 5 and in Paragraph 6, isn't
- 9 it enough that you have an expert who is endorsing as
- 10 his or her opinion, certain conclusions or certain
- 11 facts?
- 12 What practical difference does it make
- 13 whether the body of the supporting material is found
- in the contention or in the affidavit?
- I mean, to me, the material is set forth,
- 16 and there's an expert who's endorsing it. I have
- 17 difficulty in understanding just what difference it
- 18 makes, particularly if, as in this case, there is a
- 19 representation unchallenged by the staff that these
- 20 supporting statements were not simply lawyer's talk
- 21 but were formulated by the expert. So why -- why
- 22 can't -- why shouldn't I conclude that this is
- 23 entirely a matter of form over substance?
- 24 >>MR. LENEHAN: Your Honor, Dan Lenehan
- 25 here, NRC staff. The starting point is the simple

- 1 fact that the 2.309(f)(1)(v), Roman Numeral v, does
- 2 not require an affidavit for a non-NEPA contention.
- 3 The body of the contention or an affidavit has to
- 4 state the contention -- the substance of the
- 5 contention.
- If the question here, as I understand it,
- 7 is the format of the affidavit as used in this
- 8 proceeding by Nevada, what, in effect, you've got
- 9 with these -- these affidavits, the way they are
- 10 structured, is that, at the time the affidavit is
- 11 signed, the affiant is attesting to something that at
- 12 that time is not a presently existing fact. He's
- 13 attesting to a future event that will occur when the
- 14 attorney assigns a specific number to them. That
- 15 does not go to the contention admissibility issue.
- 16 It goes to the affidavit.
- 17 >>JUDGE ROSENTHAL: I don't follow you at
- 18 all. But we're dealing here, I thought, with the
- 19 question: There is supporting material advanced for
- 20 a particular contention. Now, I'm not getting into
- 21 the matter now as to whether what's offered in
- 22 support is adequate or not.
- >>MR. LENEHAN: Okay.
- 24 >>JUDGE ROSENTHAL: What I'm dealing with
- is simply the manner of where it is set forth.

- 1 My question, again, is: Here is this
- 2 material. Instead of putting it in the expert's
- 3 affidavit, it's put in the contention, and then the
- 4 expert's -- in this instance, I think they were all
- 5 men -- affidavit adopts what was in the contention as
- 6 his own opinion.
- Now, my question was a very simple one, and
- 8 that is: What practical difference does it make
- 9 whether this substantive material is found in the
- 10 contention, with the expert then endorsing it in its
- 11 affidavit, or, rather, than on the other hand it all
- 12 being put in the affidavit. I mean, to me,
- 13 offhand -- I mean, I may be missing something, but,
- 14 to me, offhand it makes no real difference whether
- it's in one place or in the other place.
- 16 What's important is that an expert has
- 17 endorsed the -- whatever the statements are. Now, if
- 18 those statements are inadequate, that's a different
- 19 matter, but that's not what I'm addressing here. But
- 20 I'm going to ask DOE, why isn't this form over
- 21 substance?
- 22 >>MR. POLANSKY: This is Mr. Polansky.
- 23 First of all, Your Honor, you stated that
- 24 it was unrefuted that these paragraphs were written
- 25 by the individuals who are proposed as experts by

- 1 Nevada. In fact, Nevada didn't articulate that
- 2 that's what had happened until it filed its reply.
- 3 So it would be unrefuted because DOE did not have an
- 4 opportunity to file a reply.
- 5 >>JUDGE ROSENTHAL: Well, is DOE -- let's
- 6 pursue that a minute. Are you challenging the
- 7 veracity of that statement?
- 9 from the experts who made it, Your Honor. It's from
- 10 counsel.
- 11 >>JUDGE ROSENTHAL: Counsel has made a
- 12 representation -- they're officers of this Board.
- 13 They have made a representation that their experts
- 14 were heavily involved in the formulation of these
- 15 contentions.
- 16 Now, I'm asking you whether you are raising
- 17 a question as to the authenticity of a representation
- 18 of counsel before this Board.
- 19 >>MR. POLANSKY: No. We have to accept
- 20 that now, but we did not have an opportunity to
- 21 refute that. I'd like to draw your attention to the
- 22 replies that Nevada filed and their Paragraph 5's,
- 23 and in specific Nevada Safety 84 I think is a good
- example.
- 25 In its reply, Nevada provides a photograph

- 1 of titanium tubing alleged from a heat exchanger
- 2 which Nevada's lawyers state it was taken from one of
- 3 its experts -- taken by one of its experts after the
- 4 tubing failed. And this is a quote from that reply:
- 5 "In this illustrative example, there was no apparent
- 6 general corrosion observed on the tube inside surface
- 7 and none on the outside surface in the short exposed
- 8 end of the tube."
- 9 Obviously this is a corrosion contention, a
- 10 corrosion-related contention. This is not expert
- 11 opinion. This is statements of counsel. And we
- 12 believed that this kind of statement -- well, let me
- 13 back up. We know it's not a statement of an expert,
- 14 because there are no affidavits attached to Nevada's
- 15 reply.
- 16 >>JUDGE ROSENTHAL: Well, we are dealing
- 17 here with the question as to whether those statements
- 18 that are contained in contentions which the expert
- 19 endorses as his own opinion can be accepted as the
- 20 expert opinion supporting the contention, even
- 21 though, again, the supporting material is found in
- 22 the contention rather than in the affidavit. That's
- 23 the issue I'm addressing.
- 24 >>MR. POLANSKY: Yes, Your Honor. This is
- 25 Mr. Polansky.

- 1 We think it blurs the line between what is
- 2 the expert opinion and what is the statement of
- 3 counsel, and I raise the example of the reply to show
- 4 that just as an example. If you looked at the text
- 5 of Paragraph 5 in the contention and you looked at
- 6 the text of the Paragraph 5 in the reply, you would
- 7 not know which statements were from counsel and which
- 8 ones are from the experts. And in the reply, in
- 9 fact, they were all from counsel. We don't know
- 10 which ones are expert opinion.
- 11 And the Board in looking at its
- 12 admissibility needs to look at all of the provisions
- of 2.309(f)(1), and, if under 5 a statement is
- 14 purported to have been from an expert, we should know
- which of those statements are from the expert;
- 16 otherwise, counsel is not qualified to make those
- 17 statements. That's the point we were trying to make.
- 18 >>JUDGE ROSENTHAL: Well, I don't follow it
- 19 at all. All right.
- 20 Let's move on to the other issue. Now,
- 21 Mr. Malsch, the -- let's turn to the provisions of
- $22 \quad 2.309(f)(1)(v)$, and it says that you must provide a
- 23 concise statement of the alleged facts or expert
- opinions which support the requester's/petitioner's
- 25 position on the issue and on which the petitioner

- 1 intends to rely at hearing together with references
- 2 to the specific sources of documents on which the
- 3 requester/petitioner intends to rely to support his
- 4 position on the issue.
- Now, here is this mention of specific
- 6 sources and documents. Now, I take it, it's your
- 7 position that it is not necessary in all cases for
- 8 the expert to buttress the opinion that he or she is
- 9 expressing with documents or specific resources. Am
- 10 I correct in that?
- 11 >>MR. MALSCH: Yes. Marty Malsch from
- 12 Nevada. Yes, that is correct. In many cases our
- 13 expert did so, but it seemed to us that under the
- 14 rules the only requirement is that there be a
- 15 sufficient accumulation of facts and opinions to make
- 16 the minimal showing required, and if the explanation
- 17 is reasonable and understandable, that should satisfy
- 18 the requirements of this section.
- 19 >>JUDGE ROSENTHAL: Well, how do you
- 20 interpret then as together with references to the
- 21 specific sources and documents?
- >>MR. MALSCH: I think that is -- that is
- 23 permissible that they expect that, if we have
- 24 available specific sources and documents to support
- our contention, we would be coming forward with them

- 1 at the time, but I don't think that is -- the fact
- 2 that a particular Paragraph 5 does not itself
- 3 reference additional sources and documents, I do not
- 4 think is fatal to contention admissibility. And I
- 5 don't think there's any NRC case which stands for
- 6 that proposition.
- 7 >>JUDGE ROSENTHAL: DOE, what case
- 8 authority do you have for the proposition that in all
- 9 instances, the expert must provide specific sources
- 10 or documents?
- In that connection, I might say that we
- 12 looked at the cases that were cited in your papers,
- 13 and I'm frank to state that I didn't find those cases
- 14 to support the proposition that an expert opinion
- 15 must, in all instances, be accompanied by the -- by
- 16 specific sources.
- I mean, what those cases, as I read them,
- 18 stand for is the proposition, which is quite
- 19 understandable, that the offered expert opinion must
- 20 not be limited to bold and conclusory statements such
- 21 as that the application under consideration is
- 22 deficient or is inadequate or is wrong.
- 23 But that, to me, is a far cry from saying
- 24 that in all instances, the expert opinion must be
- 25 accompanied by specific sources or documents.

- 1 Now, do you have any authority that
- 2 addresses specifically the manner of whether an
- 3 expert opinion is, per force, insufficient unless it
- 4 is accompanied by specific sources or documents?
- 5 >>MR. POLANSKY: This is Mr. Polansky.
- 6 We believe that the rule is plain in its
- 7 reading, that it does require this together with
- 8 references. We also realize you cannot read this
- 9 particular provision (f)(1)(v) without looking at its
- 10 accompanying provisions (f)(1)(vi).
- 11 We think it's difficult for a Board to
- 12 determine whether there's a genuine dispute of a
- 13 material fact if the expert merely says, my opinion
- 14 is this. If they're not attaching the documents, the
- 15 specific sources and documents on which they intend
- 16 to rely, there is very little ability for the
- 17 applicant to respond or the Board to determine
- 18 whether there's a genuine dispute.
- 19 For example, you could have a contention
- 20 that says, you know, corrosion can happen in the
- 21 following circumstance, and here's a paper I wrote,
- 22 but you don't give the citation to the paper. If you
- 23 don't give a citation to the paper, it's impossible
- 24 for the applicant to determine whether the underlying
- 25 provision in there, let's say it was corrosion caused

- 1 by sulfuric acid, whether that is even applicable
- 2 here. If that Board knew that that paper was about
- 3 sulfuric acid, they probably would determine there's
- 4 no genuine dispute because we're not having sulfuric
- 5 acid infiltrating through the repository.
- 6 >>JUDGE ROSENTHAL: Well, but, if you
- 7 have -- well, that may go to relevance, but if you
- 8 have an expert, qualified expert, who expresses an
- 9 opinion on a matter that is of plain materiality, why
- 10 isn't that enough?
- I'll give you a concrete example from my
- 12 own prior history. In the Seabrook case, one of the
- issues -- and I'm going back to the 1970's, which
- 14 shows how long I've been in this game. There were --
- 15 there was an issue as to what should be regarded as
- 16 the safe shutdown earthquake, in other words, what
- 17 was the largest earthquake that might occur in the
- 18 region of the Seabrook plant located on the coast of
- 19 New Hampshire.
- Now, there were both the intervenor and the
- 21 applicant had highly qualified seismologists. One of
- 22 them was associated with the laboratory at Columbia
- 23 University, the other one with the laboratory at the
- 24 Massachusetts Institute of Technology. Both of these
- 25 men had credentials as long as your arm.

- One of them had a view that intensity 5,
- 2 let us say, was sufficient. The other one thought it
- 3 was intensity 9.
- 4 Now, why, given the fact that these two
- 5 individuals had qualifications beyond any dispute and
- 6 that they were addressing a clearly material issue --
- 7 why wasn't that enough to get it to a hearing without
- 8 there having to be contention admissibility level go
- 9 through with their whole documentary basis for the
- 10 conclusions that they were reaching?
- 11 It seems to me that what the Commission's
- 12 requirements here is to make certain that there is at
- 13 least enough to go forward to an evidentiary hearing.
- 14 And it seems to me, frankly -- you can persuade me,
- 15 perhaps, that I'm wrong -- that, if you have a highly
- 16 qualified expert who is offering an opinion on a
- 17 matter that is plainly material, that that is enough
- 18 to satisfy both Paragraph 5, the expert opinion
- 19 paragraph, and Paragraph 6, the genuine material.
- I mean, in Seabrook, I mean, I just offered
- 21 that as an example. I mean, why would there have
- 22 been any need there and why is there any need here
- 23 for something, given, again, that the objective of
- 24 the Commission is just to make certain that it's
- 25 something that's worth pursuing, and that's why they

- 1 want an expert to be expressing an opinion on a
- 2 matter that is material to the outcome of the
- 3 particular proceeding.
- 4 >>MR. POLANSKY: This is Mr. Polansky.
- 5 Your Honor, in the example you've given --
- 6 I mean, I can't respond to that. What I can tell you
- 7 here in this proceeding is that, as an applicant,
- 8 there is a fundamental principle of fairness that the
- 9 applicant be given an opportunity to file a
- 10 meaningful answer.
- 11 And if a petitioner comes forth under its
- 12 Paragraph 5 with expert opinions that in many cases
- 13 cite to studies or say that there's, quote, "numerous
- 14 tests made by laboratories in testing of titanium for
- 15 corrosion applications and provides no citations,"
- 16 there is no ability for the applicant or the
- 17 NRC staff to look at those documents, and no ability
- 18 for the Boards to look at those documents.
- 19 >>JUDGE ROSENTHAL: But the merits aren't
- 20 up at this stage. Where you get that opportunity, if
- 21 the contention is admitted, at the summary
- 22 disposition phase, if you found one.
- This is not merits here. The objective,
- 24 again, as I see it -- I may be wrong -- of the
- 25 Commission was just to make certain that this wasn't

- 1 some flight of fancy that's being advanced that
- 2 should never get beyond the stage of Commission -- of
- 3 contention admissibility.
- 4 And it seems to me, if you've got a highly
- 5 qualified expert who is -- expresses an opinion that
- 6 there is substance to this particular contention,
- 7 that, for the purposes of contention admissibility,
- 8 that's enough. You people then have the opportunity
- 9 to fully explore it in the context in the first
- 10 instance of a motion for summary disposition.
- >>MR. POLANSKY: This is Mr. Polansky.
- 12 Your Honor, it's not enough under the plain reading
- 13 of the rules to identify a dispute.
- 14 >>JUDGE ROSENTHAL: Don't give me plain
- 15 meaning of the rules. None of the rules of this
- 16 Commission are that plain. I mean, they're all open
- 17 to interpretation.
- 18 And I would say that this rule could be
- 19 read the way you read it. I think it can be equally
- 20 read the way Mr. Malsch reads it. And what you have
- 21 here is what makes good sense, given what seems to be
- 22 the ultimate objective of the Commission.
- 24 I could finish. I was not referring to (f)(1)(v). I
- 25 was referring to (f)(1)(vi), which says that there

- 1 has to be a genuine dispute, not merely a dispute.
- 2 And the way that the Board looks at whether
- 3 there is a genuine dispute is to look at the
- 4 documents and supporting statements that are
- 5 identified by the petitioner and the response from
- 6 the applicant and anyone else who has filed an
- 7 answer. And, if I could go through some examples,
- 8 Nevada Safety 80 --
- 9 >>JUDGE GIBSON: Before you go through the
- 10 examples, since it's noon, perhaps you can take the
- 11 noon hour to limit your examples down. Would that be
- 12 okay? You can finish your answer.
- >>MR. POLANSKY: I'd be happy to break as
- 14 long as we'll be allowed an opportunity to address
- 15 this.
- 16 >>JUDGE GIBSON: Oh, yeah. You definitely
- 17 will. You'll definitely will. You'll be able to
- 18 finish your answer. And like I said, you may be able
- 19 to take your lunch hour to reduce the number of
- 20 examples you want to use. We all look forward to
- 21 seeing you back at 1:30, and we will take it up
- 22 promptly at that point. Thank you.
- 23 (A recess was taken)
- >> JUDGE ROSENTHAL: I think that when we
- 25 adjourned, the ball was in Mr. Polansky's corner; was

- 1 it not?
- 3 of an answer, and I -- since it was noon, I made him
- 4 stop. So I hope you can start back up in
- 5 mid-thought.
- 6 >> MR. POLONSKY: Thank you, Your Honor,
- 7 I'm Mr. Polansky. I'd just like to bring two
- 8 examples of where we believe that there is a
- 9 requirement to identify specific sources and
- 10 documents and that challenging that is not a
- 11 challenge to the merits. It is merely allowing --
- 12 informing the Board of whether a genuine dispute
- 13 exists under (f(1)(vi).
- 14 The section alleges that there are NACE
- 15 studies, National Association of Corrosion Engineers,
- 16 involving failure of titanium tubing and petroleum
- 17 refineries. There are no cites provided to the NACE
- 18 studies at all. Nevada Safety-85 relies on alleged
- 19 results of quote "numerous tests made by laboratories
- 20 engaged in testing of titanium for corrosion
- 21 applications, "end quote. And again, there is no
- 22 citations for the applicant to identify what these
- 23 tests are to, for example, to determine if they are
- 24 even relevant to the proceeding. We believe that the
- 25 Board needs to look at these documents to determine

- 1 whether there is a genuine dispute; so that is why we
- 2 were objecting in many of the contentions to a
- 3 requirement that there be documents specifically
- 4 identified.
- 5 There also is the LSN obligation to have
- 6 provided your supporting and non-supporting
- 7 information. And so those documents should be in
- 8 existence and on the LSN. And we believe the
- 9 Advisory PAPO Board informed the parties that they
- 10 needed to either provide the LSN document number for
- 11 those documents or attach them to their petitions.
- 12 Thank you, Your Honor.
- 14 respond, Mr. Malsch?
- 16 to me, looking at the basis for these contentions, we
- 17 have provided levels of detail and specificity far
- 18 beyond the norm. And the mere fact that not every
- 19 single expert conclusion is further supported by
- 20 specific references to our mind, doesn't detract from
- 21 the admissibility of the contention. And I'd like
- 22 just to call the Board's attention to the contention
- 23 to which we attached to our reply to DOE's answer.
- 24 We gave there an example a contention that was filed
- 25 in the LES case. It was admitted by the licensing

- 1 board and then that admission was specifically
- 2 affirmed by the Commission in CLI 04-25. And just
- 3 note that the basis in that contention included only
- 4 one reference and that was a newspaper article.
- 5 So, clearly, we have provided levels of
- 6 detail and specificity in support far beyond
- 7 contentions, which in other cases, specifically the
- 8 LES case we mentioned ever provided. I think what we
- 9 have done here is more than sufficient.
- 11 the problem that I have is that it seems to me
- 12 offhand, that the purpose of the Paragraph 5 and
- 13 Paragraph 6 requirements was to ensure that time was
- 14 not being wasted in the litigation of vague
- 15 contentions put forth by, in my many instances,
- 16 people who have zero qualifications.
- 17 The objective was to make certain that the
- 18 contentions that were in litigation that got beyond
- 19 the contention stage were ones that had some
- 20 potential worthiness to them, not necessarily that
- 21 they would turn out at the end of the day to be
- 22 winners. Now, it seems to me, offhand, that as long
- 23 as you have a qualified expert -- now, you always
- 24 raise the question as to whether the particular
- 25 expert that's being offered is qualified to speak on

- 1 the subject that he's addressing or that she's
- 2 addressing.
- 3 But as long as that expert is qualified and
- 4 as long as that expert is addressing an issue that is
- 5 material, that as a matter of fact, you have got a
- 6 genuine dispute because you have an expert who is
- 7 challenging -- a qualified expert who is raising a
- 8 challenge or supporting a challenge that's material.
- 9 And now whether or not that expert's opinion down the
- 10 road is going to carry the day, again, that's
- 11 not -- it seems to me, an issue on the contention
- 12 admissibility level.
- 13 That's an issue that's resolved down the
- 14 road. But I don't see why your client is entitled to
- 15 litigate the substance of a qualified expert's
- 16 opinion at the contention and admissibility stage.
- 17 It seems to me, that's just not open at that stage.
- 18 Now, I'll give you an opportunity to tell me why I'm
- 19 wrong.
- 21 we think you are wrong. We don't think we are
- 22 litigating at this stage. We think that -- and if I
- 23 hear you correctly, it would seem that once the
- 24 petitioner raises a prima facie case that they have
- 25 something to put forward, there's no burden shift at

- 1 all. It would seem there would be no need for an
- 2 applicant to even file an answer, because there would
- 3 be nothing that we could say that would demonstrate
- 4 that the contention is not admissible. So,
- 5 clearly --
- 6 >> JUDGE ROSENTHAL: No you could say that
- 7 the expert or the alleged expert wasn't qualified.
- 8 You could say that the alleged expert or the expert,
- 9 even if qualified, was addressing a matter that was
- 10 immaterial to the contention. I mean, those defenses
- 11 would be available.
- 12 >>MR. POLANSKY: Would it not be
- 13 appropriate to also say the specific study that the
- 14 expert is relying on -- I will go back to sulpheric
- 15 acid example -- relies on sulpheric acid corroding
- 16 titanium and that simply's not what's -- that's not
- 17 the environment in the Yucca Mountain Repository.
- 18 Therefore, that doesn't raise a geniume dispute.
- 19 >> JUDGE ROSENTHAL: Well, then, you're
- 20 raising materiality aren't you? In that
- 21 circumstance, you're saying that, well, that expert
- 22 may be qualified, what he's talking about, he may
- 23 have the appropriate expertise, but that happens not
- 24 to be material to the issue at hand. Materiality, I
- 25 would think, or relevance is something you can raise,

- 1 but the expert is up there and he's a qualified
- 2 astronomer talking about some kind of astronimical
- 3 phenomenon which has no relevance at all to the
- 4 proceeding.
- 5 You are certainly free to raise that, but
- 6 I'm assuming that the contention or his claim is
- 7 within the bounds of materiality. If it's not, you
- 8 can make that claim.
- 9 >>MR. POLANSKY: I think we felt
- 10 handicapped, Your Honor, in not knowing these studies
- 11 that they're citing to. They cite studies but don't
- 12 provide any citations. Well, they identify studies
- 13 but don't identify citations. And so -- and that's
- 14 required under Section (f)(1)(v), so it was
- 15 impossible for us to make an argument on genuine
- 16 dispute or materiality on those scientific studies
- 17 that they didn't tell us what they were.
- 18 So that's why we attacked it under 5,
- 19 because that's where we thought the information ought
- 20 to have been provided. That's all we were trying to
- 21 express. Thank you.
- 22 >> JUDGE ROSENTHAL: Staff, if you want to
- 23 add anything on this subject, I mean, is it the
- 24 staff's view that there is a in-violate requirement
- 25 that the expert accompany his opinion with sources of

- 1 documents?
- 2 >> MR. LENEHAN: Dan Lenehan for the staff.
- 3 No, the staff does not make that requirement.
- 4 However, Your Honor --
- 6 view on what Mr. Polansky has just offered?
- 7 >> MR. LENEHAN: Your Honor, the staff's
- 8 view is that a contention -- the expert opinion that
- 9 merely states a conclusion without providing a recent
- 10 basis for that explanation is inadequate for a couple
- 11 of reasons. First, it deprives the Board of the
- 12 ability to make the necessary assessment of the
- 13 opinion -- that's a UC case. And, secondly, it
- 14 puts -- it's necessary to provide -- put the other
- 15 parties on notice of the issues that they're going to
- 16 have to litigate and decide whether or not they're
- 17 going to support or, you know, oppose the contention.
- 18 >> JUDGE ROSENTHAL: All right. But if the
- 19 expert sets forth the reasons for his conclusion but
- 20 does not accompany that with reference to specific
- 21 sources, that, insofar as you are concerned, would
- 22 not be a fatal defect, if I understand you correctly?
- 23 >> MR. LENEHAN: It's difficult to respond
- 24 to this in the abstract. It -- provided that
- 25 situation that you've hypothesized puts the parties

- 1 on notice to the claims that it would be adequate.
- 3 refer to an illustrative example to one of the safety
- 4 contentions of the -- that this was Nevada's Safety-
- 5 009. Now, in that case -- and I think, I know that
- 6 DOE objected, I think, to that contention. But the
- 7 contention, in essence, or the support for it said
- 8 that the document on the basis of which DOE had
- 9 reached certain conclusions was flawed.
- 10 And they pointed to some other document.
- 11 Now that -- supposing that they had not pointed to
- 12 the other document, but they'd said the DOE document
- is flawed and these are the reasons why we think it's
- 14 flawed, and they hadn't pointed to some other
- 15 document which they thought demonstrated the flaw.
- 16 It just said, in my expert opinion, the document that
- 17 DOE relied upon for the conclusion that it reached
- 18 that we're challenging, was flawed. That's my expert
- 19 opinion.
- 20 Would that be, in your view, sufficient for
- 21 contention and admissibility purposes?
- 22 >> MR. LENEHAN: No, Your Honor, it would
- 23 not.
- 24 >> JUDGE ROSENTHAL: What does the expert
- 25 have to do? He gives his personal reasons why he

- 1 thinks it's flawed, but he doesn't point to a
- 2 document in support of those reasons.
- 4 document was flawed and stops, it would not be
- 5 admissible. If he says it's flawed and provides a
- 6 reasonable basis to support that opinion, under those
- 7 circumstances in the hypothetical, it would be --
- 8 >> JUDGE ROSENTHAL: Even though he did
- 9 not say that my reasons for believing it's flawed is
- 10 supported by X document? He doesn't have to come up
- 11 in your view, with a source?
- 13 established expert that provides reasons to provide a
- 14 source --
- 16 the way through this discussion, I'm making an
- 17 assumption that the expert is qualified and that what
- 18 he's talking about is material. And so it's the
- 19 thing as to whether he has to -- in detailing his
- 20 reasons, I grant you, he can't simply provide a
- 21 conclusion. But in providing his reasons, the
- 22 question is whether he has to take the next step and
- 23 say, well, my reasons are supported by the X, Y, Z
- 24 documents. I take it that staff's standpoint, he
- 25 wouldn't have to do that?

- 1 >> MR. LENEHAN: That is correct, Your
- 2 Honor.
- 3 >> JUDGE ROSENTHAL: As long as he gave
- 4 his -- he sets forth the basis for the ultimate
- 5 conclusion that he's reached?
- 6 >> MR. LENEHAN: Yes, Your Honor.
- 7 >> JUDGE ROSENTHAL: Well, I think I
- 8 understand your position, Mr. Polansky. From my
- 9 standpoint, I think I got -- do you have some
- 10 questions?
- 12 Trikouros has some questions on this point.
- 14 Mr. Malsch. And I've been thinking this through for
- 15 some time here, everything we have been discussing
- 16 here. And thinking through how technical people
- 17 behave when they -- when they document something, and
- 18 you can see this by looking at any technical paper
- 19 anywhere in the world, you'll find a substantial list
- 20 of references. So technical people have a tendency
- 21 to put forth a plethora of references to support
- 22 technical papers. And I was struck by the lack of
- 23 any references in -- in a large number of contentions
- 24 and I was wondering if there was some reason for
- 25 that.

- 1 Was that -- was it a purposeful thing that
- 2 it was a -- an agreement among all the technical
- 3 experts to not provide references, because even under
- 4 the circumstances in which they make statements, such
- 5 as a result -- well, they make a technical statement.
- 6 I'll try and keep his general. And then they
- 7 say -- and this is supported by numerous publications
- 8 and documents.
- 9 So, clearly, their knowledge is something
- 10 they derive from those documents. Not all technical
- 11 people have done all experiments, themselves. You
- 12 know, they get knowledge from reading papers, from
- 13 reading textbooks, from reading other material.
- 14 That's the source of their knowledge.
- 15 It's not personal research or anything like
- 16 that. And yet, they don't provide that source of
- 17 knowledge, but they refer to it as existing. Was
- 18 there some logic behind that or was this just the way
- 19 it was with all these experts?
- 21 all, effectively we're talking about Paragraph 5 of
- 22 our contentions, primarily, and as I mentioned, they
- 23 were all drafted primary by the experts, themselves.
- 24 We defer largely to the experts in terms of the level
- 25 of support that they would offer.

- 1 And I would say that there was no conscious
- 2 decision on our part to limit any expert in what he
- 3 or she wanted to provide. On the other hand, we did
- 4 not advise the experts in situations where they
- 5 offered a opinion and reasons but no documents, that
- 6 the contentions were inadmissible without supporting
- 7 those documents. And really what it came down to was
- 8 a matter of time and resources.
- 9 I mean, we complained to the Commission
- 10 that we really didn't have sufficient time to draft
- 11 contentions. We really were strongly driven by
- 12 powerful time constraints in putting our package of
- 13 contentions together, and so we did the best we could
- 14 under the circumstances. And, as a lawyer, I was not
- in a position based on what I knew about contention
- 16 practice to tell the expert that in every case they
- 17 had to go back and document every single conclusion
- 18 that they offered.
- 19 Although, I think they fully understand
- 20 that the matter of supporting your opinions with
- 21 references and studies is a matter which experts are
- 22 expected to do, and I think they all fully expect to
- 23 be held accountable in that respect on discovery and
- 24 at the hearing, and that's where things stand.
- 25 I think all of our experts are fully

- 1 prepared to provide sources and reference in
- 2 discovery and then ultimately at the hearing.
- 4 talking to another technical person and said, you
- 5 know, there are plenty of experiments that show this
- 6 position. I would never do that because I know,
- 7 immediately, the next question is going to be, what
- 8 experiments are you talking about?
- 9 So technical people have a natural tendency
- 10 to not do that because you're going to get caught
- 11 short and you better know the experiment that you're
- 12 talking about, otherwise, the whole thing falls
- 13 apart.
- 14 So, again, it just struck me as odd. So if
- 15 you're telling me this is all about time, then, and
- 16 just resource constraints, then let me ask you this:
- 17 For those contentions in which statements are made
- 18 regarding experimentation, available experimentation
- 19 and numerous publications and that sort of thing
- 20 where clearly the statement is being made as being
- 21 derived from those sources, not necessarily from
- 22 personal knowledge but from those sources, would
- 23 those still -- would you still consider those
- 24 admissible contentions as opposed to those
- 25 contentions that are, in fact, very well reasoned and

- 1 provide a factual basis that, that don't
- 2 even -- don't even mention experiments and
- 3 publications and that sort of thing; and there are
- 4 numerous contentions that do meet that criterion
- 5 where they're very well reasoned and provide very
- 6 logical progression of thought that would lead you to
- 7 conclude that that makes sense. But for those
- 8 references that do -- for those contentions that do
- 9 specifically hang on the statement of these documents
- 10 that are out there, would you still think those
- 11 contentions are admissible?
- 12 >> MR. MALSCH: Again, Marty Malsch for the
- 13 State of Nevada. I think that they indeed are
- 14 admissible because the Commission's rules are quite
- 15 clear that all that is required is a minimal showing.
- 16 And as long as the expert offers an opinion and
- 17 supports it with some reason, the contention is -- is
- 18 admissible.
- 19 And I think the matter of coming up with
- 20 detailed sources is a matter for discovery and
- 21 ultimately the merits. I think I would say that if
- 22 we had had, you know, the full amount of time which
- 23 we had asked for, we might have perhaps gone back and
- 24 with, you know, another round of with the experts
- 25 that come up with more references, but in the time

- 1 available, that simply was not possible. But we
- 2 fully expected that once our contentions were
- 3 admitted, our experts would be asked those questions
- 4 and we would then be fully prepared to respond to
- 5 them.
- 6 >> JUDGE TRIKOUROS: Sometime later today
- 7 and I'm not sure of the exact timing, I'm going to be
- 8 referring to what we've started to call themes that
- 9 involve numerous contentions. And then we can be
- 10 specific there about some of these issues that we're
- 11 talking about, but I'll defer that.
- >> JUDGE GIBSON: Before we move to Judge
- 13 Trikouros' themes, I just want to see if there is
- 14 anybody else that feels moved to speak to the issue
- 15 of the factual support necessary to support a
- 16 contention relative to the affidavit discussion that
- 17 we've had?
- 18 Yes, Clark County.
- 19 >> MR. ROBBINS: Thank you, Your Honor,
- 20 Alan Robbins on behalf of Clark County. I think it's
- 21 important to keep in mind and there was reference to
- 22 this earlier, but it is not uncommon on a petitioner
- 23 to prove its case at this stage. This is not at the
- 24 merits stage. This is the stage to establish whether
- 25 there is a genuine issue of material fact.

- 1 By analogy, if the expert, if the issue
- 2 was, you know, is some sort of surgery required and
- 3 the contention is, yes, it is, well, if you have a
- 4 lawyer's statement with no affidavit that says I
- 5 represented all kind of patients and I don't think
- 6 this guy needs surgery; well, that should not fly.
- 7 But if you are supported by an affidavit of
- 8 a qualified surgeon or other type of doctor who says,
- 9 yes, you know -- I've forgotten my own example which
- 10 side I'm going on on this -- but gives the opinion on
- 11 surgery, and says it's based on examination or based
- on a review of, you know, a medical history, that
- ought to be enough at this stage. And he ought not
- 14 have to identify or attach every last document that
- 15 he or she reviewed or test that he or she ran or
- 16 reviewed or that sort of thing.
- 17 That can be tested later. But you have on
- 18 the record a contention supported by an expert who's
- 19 giving more than a conclusion and may disappoint the
- 20 DOEs of the world but maybe did not cite or attach
- 21 every last document. I think that is roughly what
- 22 we're dealing with here. I would also add, in the
- 23 case of Clark County contentions, some are highly
- 24 dependent on experts. First of all, all are
- 25 supported by affidavits. Those that really turn on

- 1 expert opinions such as forecasted volcanic activity
- 2 is one example. That's a number of our contentions.
- 3 There is considerable explanation of the
- 4 geology and the basis for the geology on which the
- 5 expert bases his opinion about DOE's under forecast
- 6 of probable volcanic activity. He does not simply
- 7 say, I'm pretty sure it's going to be more than what
- 8 they say, which would not be a sufficient example.
- 9 So it is a document and it cites papers, it
- 10 cites research. So it's important in this discussion
- 11 that a lot of this general discussion not
- 12 unwittingly -- I'm not suggesting the Board would do
- this at all, with too broad a brush on all this,
- 14 because the contentions do differ.
- 15 Quickly, as to format, does it really make
- 16 a difference if the witness says, I adopt the
- 17 following or following is a summary of my
- 18 professional expert opinion as set forth below, and
- 19 then it's in the affidavit. Or, if he said, the
- 20 summary as attached to Exhibit A, for Exhibit A to
- 21 this affidavit, rather than set forth below; does
- 22 that make a difference?
- 23 It shouldn't. Or, it says, as set forth in
- 24 Contention Safety 5 or Safety 5 through 8. What
- 25 difference does it make?

- 1 The practical difference is that if all of
- 2 the detailed explanation was set forth in the
- 3 affidavit, either below or attached, it's our view
- 4 that our pleading would not be very effective if we
- 5 said, to save repetition, we're not going to tell you
- 6 here in the pleading what the contention is or the
- 7 basis for it. Please see the attached affidavit.
- 8 You don't want to make it inconvenient for
- 9 the reader, and you want to be able to have that
- 10 reader just continue to read, not have to start
- 11 fumbling looking for attachments.
- 12 So what we would end up doing is repeating
- 13 it. And now we would take the whole substance of the
- 14 affidavit and put it back in the petition and now you
- 15 have it twice. Well, what does that do other than
- 16 increase the thickness -- those that are printed
- 17 out -- of the actual document.
- 18 So this whole form argument is bothering to
- 19 me. And for DOE, the irony is the discussion is
- 20 supposedly about a genuine issue and, yet, we have to
- 21 have this kind of discussion. Is that a genuine
- 22 argument over the form of the affidavit? I'd
- 23 respectfully suggest it is not. They will have their
- 24 time to deal with the qualifications of the witness,
- 25 the credibility of the witness, the basis for the

- 1 witness, at hearing. As -- I forget which one of
- 2 Your Honors said so earlier this morning, the basic
- 3 purpose at this stage is to make sure that you are
- 4 not embarking on a waste of time, that there's some
- 5 basis for the contention, that it's not just
- 6 something made up by lawyers sitting in their office.
- 7 And I think virtually, you know, all or
- 8 virtually all of the contentions in this case pass
- 9 that test and we have to not lose sight of what these
- 10 rules are being taken out of context. And the burden
- 11 that lies with the Department as the applicant is now
- 12 being presented by the Department as the burden on
- 13 the petitioners presenting contentions.
- 14 And those burdens don't apply to
- 15 contentions, they apply to the application. Thank
- 16 you.
- 17 >> JUDGE GIBSON: Okay. Nick, pardon me,
- 18 Judge Trikouros, did you need to say something?
- 19 Go ahead.
- 21 affidavit said that the patient might need surgery;
- 22 would that be sufficient?
- 23 >> MR. ROBBINS: Does -- assuming, if
- 24 that's his opinion and it says, based on, you know,
- 25 I've reviewed the patient's history or something, I

- 1 would say, yes, it is.

- 4 else who feels that they've just got to talk about
- 5 affidavits?
- 6 Okay. Seeing none, we will move on to
- 7 Judge Trikouros' themes.
- 9 were some issues that I think were not -- were sort
- 10 of left over from some discussions yesterday
- 11 regarding this TSPA and I wanted to at least discuss
- 12 a couple of those. The one question that came to my
- 13 mind was how we would, if we did go to hearing on a
- 14 number of these TSPA issues, how would we litigate
- 15 those?
- I think it would be helpful to me to
- 17 understand that. So I'll start with Mr. Malsch.
- 18 >> MR. MALSCH: Okay. I think what I
- 19 imagined would happen would be that the litigation
- 20 would proceed subject area by subject area and that
- 21 in particular what we have attacked a DOE model as
- 22 being unsupported or wrong or not really representing
- 23 the full range of parameters, I would expect in the
- 24 normal circumstance and of course, this is a strategy
- 25 question for DOE, but I would expect that the

- 1 simplist way to proceed in a litigation would be for
- 2 them to say and defend their model, which would be a
- 3 subject matter area in which they would simply defend
- 4 their model or say their infiltration model as
- 5 actually, you know, supported by the data consistent
- 6 with the scientific understanding of infiltration and
- 7 the like.
- 8 There would be no need in that context to
- 9 go through elaborate dose calculations and computer
- 10 runs. The question would simply be, as a matter of
- 11 the science of infiltration, is their model
- 12 reasonable and credible and is it supported by some
- 13 combination of site-specific data or analogue data?
- 14 And I would think that's the way things
- 15 would proceed, contention by contention or a group of
- 16 contentions by groups of contentions.
- 17 It would be, I think, at DOE's option if
- 18 they thought that our model attack were too difficult
- 19 to counter, it would be their option to say, oh,
- 20 well, okay, let's assume it's true and let's see if
- 21 it makes any difference.
- That would, though, I think encounter a
- 23 serious problem, which is that in every case of our
- 24 TSPA contentions, we have cited a violation of a
- 25 specific provision in Part 63 that requires, for

- 1 example, that models be defensible and credible, that
- 2 the full range of parameters be represented. And as
- 3 we've explained yesterday, those requirements are
- 4 independently enforceable. So, if we are correct in
- 5 our attack on a DOE model, the TSPA fails regardless
- of the results of the dose calculations.
- 8 that a little bit. Let's start with the premise that
- 9 experts discuss the parameter variation and let's
- 10 assume that your experts prevail. Now, the value of
- 11 the parameter that was used in the license
- 12 application is agreed to be incorrect and that
- 13 another value is appropriate. Does that end it?
- 14 >> MR. MALSCH: Well, again -- this is
- 15 Marty Malsch again for Nevada. I mean, from our
- 16 standpoint, that would be a nice end because we would
- 17 prevail and an essential piece of the TSPA model were
- 18 destroyed, and DOE could not meet their burden of
- 19 proof of the EPA dose standard.
- Now, what I suspect would happen would be
- 21 either the DOE would introduce -- well, I suppose at
- 22 that point they'd have to introduce a new model and
- 23 there would be another round of contentions on that
- 24 model, but that would be their choice. But I think
- 25 in a situation in which we prevail, that a part of

- 1 the TSPA is in noncompliance with 63, that's the end
- 2 of the case, we win.
- 4 that we go through two weeks of this and the DOE then
- 5 does sensitivity studies on the whole range of
- 6 parameter variations that are within the range that
- 7 were being discussed in the hearing and conclude that
- 8 there is an insignificant change in the dose; would
- 9 that be an end point?
- 10 >> MR. MALSCH: That could conceivably be
- 11 an end point. I mean, what they would be doing, in
- 12 effect, would be volunteering to modify their TSPA to
- include our concern and then show that their now
- 14 compliant TSPA was still showing a compliance with
- 15 the ultimate dose standard.
- 16 I think if that were to be done, then DOE
- 17 would prevail, although we would have the opportunity
- 18 to show that perhaps their model didn't do all it
- 19 said, but their dose calculation was incorrect. But
- 20 in your hypothetical, if we attack their model, we
- 21 win that their model was wrong, they then modify
- 22 their model to conclude our contention and establish
- 23 that their TSPA, with that model as so amended was
- 24 still in compliance, then DOE prevails. Although, we
- 25 have other contentions also that would have to be

- 1 addressed as well. But just looking at it on a
- 2 contention by contention basis, I think that's how it
- 3 would progress.
- 4 >> JUDGE TRIKOUROS: And if they ran that
- 5 model for each contention assuming a contention dealt
- 6 with one parameter for the sake of argument,
- 7 individually, would that be satisfactory or would you
- 8 argue that -- that TSPA would have to be -- would
- 9 have to accommodate all of the changes of all the
- 10 parameters at one time?
- 11 >> MR. MALSCH: Oh, I think, we would -- we
- 12 would argue very much that it would be very
- 13 misleading to do dose calculation runs, including
- 14 only one contention at a time, because that would
- 15 overlook the cumulative effect of all of our
- 16 contentions.
- 17 >> JUDGE TRIKOUROS: All right. Well, DOE,
- 18 do you have any thoughts on how this might be
- 19 litigated?
- >>MR. POLANSKY: Yes, Your Honor. This is
- 21 Mr. Polansky. If a contention comes in, clearly, we
- 22 would present experts to defend the model. I think
- 23 there already are sensitivity studies that have been
- 24 done on various parameters and we would probably just
- 25 bring those out and try and demonstrate why on the

- 1 merits of what we've already done in sensitivity
- 2 analysis space what addressed the concern that's
- 3 raised.
- 4 But as for the last statement that
- 5 Mr. Malsch made about us having to do this in a
- 6 cumulative capacity, A, they did not plead that.
- 7 None of the contentions are pled cumulatively as the
- 8 Advisory PAPO Board had suggested in its May
- 9 conference -- May, 2008 conference.
- 10 And also, I believe Mr. Malsch stated
- 11 yesterday that it was an impossibility to run the
- 12 TSPA with all of its changes and their own expert
- 13 said it could not do it and its experts could not.
- 14 So they are -- if I'm hearing it correctly --
- 15 espousing a situation that would be impossible for us
- 16 to meet.
- 17 >> JUDGE TRIKOUROS: Do NRC staff have any
- 18 comments on this or should we move on?
- 19 >> MS. YOUNG: Mitzi Young for the NRC
- 20 staff. I don't disagree with what has been stated by
- 21 Nevada and DOE up to now. Just in terms of the
- 22 hearing, the staff's role, what we provide after the
- 23 hearing preparing its safety evaluation, its position
- 24 with respect to whether DOE's modeling of performance
- 25 assessment satisfied the requirements of Part 63.

- 1 >> JUDGE TRIKOUROS: All right. We'll move
- on. Yesterday, Dr. Barnett began asking a few
- 3 questions regarding sort of general themes that were
- 4 observed in various contentions and -- and I will
- 5 repeat one because I want to confirm your answers.
- 6 The -- that had to do with the treatment of
- 7 contentions that referred to a non-ITS and a
- 8 non-ITWI structure, system, or component.
- 10 you mind making sure everybody knows what those
- 11 acronyms are, so that we don't have a
- 12 misunderstanding?
- 14 component that is not important to safety or not
- 15 important to waste isolation, which means in effect,
- 16 that -- that that component cannot result in a change
- 17 to the conclusion that the post-closure criteria will
- 18 be met regardless of the nature of the contention
- 19 attacking it.
- 20 And I just want to confirm that,
- 21 Mr. Malsch, that you had agreed that that can -- such
- 22 a contention would not be admissible, assuming that
- 23 your -- and that your reply did not take that on
- 24 successfully?
- 25 >> MR. MALSCH: If -- let me try to answer

- 1 that this way: If we had a contention which says
- 2 that a structure system or component was not properly
- 3 analyzed as, let's say, important to the waste
- 4 isolation, and the DOE Answer said, oh, no, you're
- 5 wrong, we did so analyze whether that structure
- 6 system or component was important to waste isolation
- 7 and reached a conclusion that it was not, then you
- 8 would have to come up with some explanation as to why
- 9 that evaluation was flawed; otherwise, our contention
- 10 would be dismissed.
- 11 >> JUDGE TRIKOUROS: Okay. And if -- if
- 12 your attack on the structure system or component did
- 13 not mention anything regarding whether it was
- 14 important to safety or to waste isolation or not and
- 15 the DOE Answer came back and said, that's an ITS/ITWI
- 16 component and your reply did not mention anything
- 17 about that, would that sequence then be not an
- 18 admissible contention?
- 19 >> MR. MALSCH: If -- if DOE replied that
- 20 it was neither important to safety or important to
- 21 waste isolation and explained why, and we didn't
- 22 counter that explanation, I think there'd be a
- 23 problem with our contention.
- 24 >> JUDGE TRIKOUROS: And I don't think --
- 25 and there are specific contentions like this -- I

- 1 don't think that one would have to say anything more
- 2 than that, because the components are identified in
- 3 the license application as ITS or ITWI. And if
- 4 you're not attacking that in any of your follow-up,
- 5 then, clearly, that conclusion remains.
- 6 Does DOE want to say anything about that?
- 7 >> MR. ZAFFUTS: Paul Zaffuts for DOE. I
- 8 think I agree with the premise of your question. If
- 9 the contention doesn't disagree with a
- 10 classification, non-ITS and non-ITWI, then some
- 11 allegation regarding that SSC, we don't believe would
- 12 be able to provide a general dispute of material
- 13 value.
- 14 >> JUDGE TRIKOUROS: Let's take a situation
- in which the contention refers to an omission using
- 16 terminology such as "fails to consider" or words to
- 17 that effect, but in the -- in the DOE answer, it's
- 18 pointed out that, that it was considered, in fact, in
- 19 the screening process and it was screened out because
- 20 it didn't meet the established criteria that are
- 21 indicated there. The contention, itself, doesn't
- 22 provide any reference to or comment on the screening
- 23 process at all so that in reading the contention, one
- 24 would not have any -- there would be no connection to
- 25 any screening process issues. Would such a

- 1 contention be admissible?
- 3 Nevada. Again, if we were to claim that a process
- 4 had been ignored and, in fact, DOE had not ignored
- 5 it, then I don't think we'd have an admissible
- 6 contention.
- 7 However, I think in the cases in which I
- 8 can think of where we allege that DOE had ignored
- 9 some process and DOE came back in their answer and
- 10 said, oh, no, you're wrong, we did not ignore the
- 11 process; our replies in such cases I think invariably
- 12 remain clear that they certainly did not consider it
- in the sense in which it was considered in Part 63.
- 14 As for example, in a number of contentions
- dealing with screening of FEPs, DOE would point to
- 16 the fact that they had screened out a FEP on legal
- 17 grounds. And our reply usually was that that is
- 18 completely unexplained and wrong and is that is not
- 19 an adequate basis for screening out a contention and
- 20 the fact that screening out effect and the fact that
- 21 an effect was screened out on legal grounds does not
- 22 actually demonstrate that the effect was actually
- 23 considered for inclusion in any legitimate sense.
- So, it is usually not always apparent just
- 25 on the face of what DOE says in its answer that it is

- 1 true, that, in fact, something we say was ignored
- 2 was, in fact, ignored.
- In almost all cases in which I can think
- 4 of, we have said in our replies that, no, we were
- 5 right, this consideration was, in fact, ignored and
- 6 here's why. But in theory, if in the bare case in
- 7 which we claim something was omitted and DOE says,
- 8 no, it was not and we have nothing else to say, our
- 9 contention has a problem.
- 10 >> JUDGE TRIKOUROS: All right. The -- if
- 11 the original contention did not question the
- 12 screening process but the reply questioned the
- 13 screening process after the DOE Answer indicated that
- there was a screening process; would you consider
- 15 that acceptable to discuss at that -- at the reply
- 16 stage?
- 17 >> MR. MALSCH: I would consider that to be
- 18 acceptable. That's just an elaboration or
- 19 explanation of your original contention on the basis
- 20 for the contention. It's not raising an entirely new
- 21 contention.
- >> JUDGE TRIKOUROS: I'd like to hear DOE's
- 23 response to that.
- >> MR. ZAFFUTS: Paul Zaffuts, DOE.
- 25 Regarding that last point, petitioners have a burden

- 1 to prepare clear, complete contentions. Although the
- 2 subject matter that we're dealing with generally, is
- 3 complex, dealing with complex issues, the issues and
- 4 the language that Nevada generally uses is straight
- 5 forward.
- 6 We're dealing here with words here like
- 7 "omissions" and "consideration." These are not
- 8 complex concepts. So when Nevada provides a
- 9 contention, for example, we didn't consider
- 10 something -- DOE didn't consider something, we
- 11 demonstrate and point to the specific parts of the
- 12 SAR where we did. And then they turn around and they
- 13 say, well, we didn't really mean "consider" like
- 14 that.
- What we really mean is, you didn't do a
- 16 sufficient job of considering. And then they start
- 17 beginning to go on and discussing FEPs and other
- 18 standards. I think that's just, that's unacceptable.
- 19 I think that's -- that is something that they had a
- 20 burden to discuss in clarity in their initial
- 21 petition. And if that was the case, we would have
- 22 answered it in respect to the particular contention
- 23 or issue that was involved. I believe that in
- 24 change -- this would be a change. This is a change
- 25 of the basis for the contention. That's not

- 1 acceptable.
- 3 consider that that discussion in the reply, that
- 4 there were deficiencies in the screening process
- 5 where that was not discussed in the original
- 6 contention, would the staff consider that an
- 7 acceptable thing to do with respect to a reply?
- 8 >> MS. YOUNG: Mitzi Young for the NRC
- 9 staff. Unless it were clear in the initial
- 10 contention that that was the concern being raised, it
- 11 would be inappropriate to raise it for the first time
- in a reply. So any chances depends on what the
- 13 original contention raised. Sometimes, there are --
- 14 there are statements that would be akin to that,
- 15 although not specifically stated, but you have to
- 16 reasonably construe whether the reply is just a
- 17 response to the legal and factual arguments raised or
- 18 whether the reply tries to amend and bootstrap and
- 19 raise arguments that weren't previously raised in the
- 20 initial petition.
- 21 >> JUDGE TRIKOUROS: All right. Thank you.
- 22 Any other comment on this?
- 23 Should I move on?
- 24 Another area that was of interest was
- 25 contentions that identify a particular item. They

- 1 might state that it was omitted or that it was
- 2 incorrectly considered in this case and conclude that
- 3 the impact that this -- this will have is unknown,
- 4 that it introduces an unknown characteristic to the
- 5 analysis.
- 6 With no further characterization other than
- 7 to say it's unknown, what would -- Mr. Malsch, what
- 8 would you say about contentions that have that
- 9 characteristic?
- 11 general, such a contention would be admissible so
- 12 long as it was, you know, it was reasonably supported
- 13 and it was dealing with an obligation by DOE a
- 14 separate and enforceable obligation by DOE to include
- in its models, the full range of uncertainties and
- 16 defensible and reasonable parameters.
- 17 It seems to me those requirements are
- independently enforceable and independently of
- 19 significance. And so, for example, if DOE -- a DOE
- 20 model considered a range of some parameter between
- 21 five and six and we filed a supported contention and
- 22 said the range is really between one and ten, that
- 23 would be a independently significant violation of
- 24 several requirements in Part 63 to include the full
- 25 range of defensible and reasonable parameters. I

- 1 think that in itself is raising a violation of a
- 2 particular requirement in Part 63 and that's the
- 3 material contention.
- 4 >> JUDGE TRIKOUROS: But what if it didn't
- 5 provide magnitude, if it just simply said
- 6 that -- with you giving your five to six example, if
- 7 it said that the license application assumes five to
- 8 six, but given certain phenomenology that's
- 9 discussed, they can't know that. And nothing more.
- 10 No characterization that it's two to ten, just --
- 11 they can't know that.
- 12 >> MR. MALSCH: I think that is absolutely
- 13 admissible. I mean, it is DOE's obligation under
- 14 Part 63 to present the range, the full and defensible
- 15 range of parameters. If they fail to do so, it is
- 16 DOE that is in default and has not complied with Part
- 17 63.
- 18 It is not our obligation as an intervenor
- 19 to do our job for them and supply what is missing,
- 20 namely, the full range of defensible and reasonable
- 21 parameters. So a contention that simply says, with
- 22 adequate support their range is five to six and that
- 23 is not supported or is wrong, is in itself an
- 24 admissible contention because of the way Part 63 is
- 25 drafted.

- 1 >> JUDGE TRIKOUROS: DOE?
- 3 back to something Mr. Malsch said -- well, let's talk
- 4 about uncertainty. I know we talked about that a
- 5 bit. This idea of a range of uncertainty, it's -- I
- 6 think we have a fundamental disagreement here. It's
- 7 something like a range of uncertainty. Okay. It
- 8 could be 1%. It could be 2%. It could be 90%. It
- 9 could be .01%. That's -- what a particular range
- 10 would be and I don't think any of these contentions
- 11 get to that specificity, I guarantee you, we don't.
- 12 That's a technical disagreement. Okay. That's --
- 13 we're not talking about regulatory violations with
- 14 something like that.
- This is a technical disagreement that the
- 16 materiality standards have to apply. It's
- 17 petitioner's burden in that case to demonstrate why
- 18 should we have a hearing, a full evidentiary hearing
- 19 on something that may have absolutely no significance
- 20 whatsoever. I don't know what the significance would
- 21 be, that's petitioner's burden. They have to
- 22 demonstrate with a basis sufficient for your
- 23 understanding, the Board, to say, yes, this is an
- 24 issue that's sufficient for a hearing. That's not
- 25 what's being done in these contentions. They don't

- 1 do that. They just say -- your example was a good
- one, sometimes they just say, "we don't know."
- I just don't understand what type of a
- 4 contention that is and how you are supposed to or
- 5 anyone is supposed to determine materiality or
- 6 importance sufficient to have a hearing on that.
- 7 So, I think we need to understand it in
- 8 those realistic and rational terms. So -- so in the
- 9 case of where there is some inaccuracy or some other
- 10 allegation, I think we just need to continue to look
- 11 at it from the terms of a materiality aspect.
- 12 >> JUDGE TRIKOUROS: So, the statement that
- 13 something is wrong with a -- with a reasoned basis,
- 14 is a genuine dispute and might be material. But the
- 15 statement that something is not right or the
- 16 statement that something may not be right; do you
- 17 consider that to be a genuine dispute?
- 18 >> MR. ZAFFUTS: Well, let me go back to
- 19 the first thing that was said which is that it was a
- 20 statement that something is wrong. I mean, they have
- 21 to support that.
- It's got to be supported with a basis.
- 23 >> JUDGE TRIKOUROS: Well, I understand
- 24 that, with a reasonable justification.
- 25 >> MR. ZAFFUTS: That in and of itself I

- 1 don't believe is necessarily material. As you know
- 2 with a model such as like this, what is right? What
- 3 is wrong?
- 4 It's another way of saying you may not know
- 5 the precise words of uncertainty, because that's what
- 6 we're dealing with here generally is, you know, you
- 7 may have a difference in a data point and is that
- 8 quote, "wrong" or is it "not wrong?"
- 9 I don't know what the answer is. I don't
- 10 think anyone knows what the answer to that is.
- 11 That's sure not a basis of determination of
- 12 materiality. They have to show an effect. What is
- 13 the impact of that error or something being wrong?
- 14 >> JUDGE TRIKOUROS: The problem that we're
- 15 having here is that in essence, you could be hiding
- 16 behind that screen as well. The analogy I used was,
- 17 you provided wax wings to the -- to intervenors with
- 18 the requirement to prevail, they have to approach the
- 19 sun at a certain distance.
- 21 think they could probably put a heat lamp on those
- 22 wings and determine that without having to fly to the
- 23 sun. So I think there are many ways of assessing
- 24 issues that they bring up and they did, they had a
- 25 burden to do that.

- 1 >> JUDGE TRIKOUROS: But this hiding behind
- 2 the complexity of the TSPA is a two-edged sword; it's
- 3 cutting both ways here. We're in a position to have
- 4 to sort that out. So we're going to ask a lot of
- 5 questions, maybe repeat things if we have to.
- 6 >> JUDGE GIBSON: But before we do that,
- 7 why don't we take a recess here for 15 minutes.
- 8 We'll be back on the record. Fifteen minutes.
- 9 (Whereupon, a recess was taken)
- 11 Judge Trikouros.
- 12 >> JUDGE TRIKOUROS: All right. We were
- discussing what I had referred to as a contention
- 14 that indicated that an impact would be unknown. We
- 15 went through some discussion regarding that.
- 16 Would it be necessary for a contention to
- 17 state as a minimum that the effect that it's alleging
- 18 would be in the -- let me say, the non-conservative
- 19 direction, or I could say the conservative direction,
- 20 depending on which -- how you're looking at it, but
- 21 that it would have to state that the effect would be
- 22 in a direction to prevent or possibly prevent meeting
- 23 the post-closure criteria. Would it at least have to
- 24 say that?
- 25 >> MR. MALSCH: This is Marty Malsch again

- 1 for Nevada. I think it would depend upon the
- 2 contention. If the contention asserts that a DOE
- 3 model is simply wrong or not supported, I think the
- 4 model disappears, it can't be used in the assessment
- 5 and that's the end of it. There is no further
- 6 obligation on our part.
- 7 If we're dealing with ranges of
- 8 uncertainties or ranges of parameter distributions,
- 9 that's a slightly different story, but again, it
- 10 seems to me that the requirement in the regulations
- 11 that uncertainty be accurately characterized and
- 12 described and that the full range of parameters be
- included is independently enforceable, because the
- 14 Commission wanted to know whether the ultimate result
- 15 or the extent to which the ultimate result was
- 16 neither conservative or non-conservative, because
- 17 remember that the ultimate decision is based upon the
- 18 full record of a whole bunch of considerations, not
- 19 just -- although this is the most important part --
- 20 but the record includes a whole range of
- 21 considerations. Unless the Commission knows on a
- 22 model by model basis exactly what the full range is,
- 23 regardless of how the effects of an individual model
- 24 are, when you get to the final decision on the
- 25 validity of the dose calculation, you need to know

- 1 all about uncertainties and ranges for all the
- 2 models.
- 3 So I don't think we have any obligation in
- 4 any one contention attacking any one model or
- 5 submodel to either -- to show that the range or part
- 6 of the range that we think is missing is on the
- 7 conservative or non-conservative side because who
- 8 knows -- if that could be either way, ultimate dose
- 9 calculations considering all of the other models.

- 12 >> MR. ZAFFUTS: This is Paul Zaffuts, DOE.
- 13 Again, I think a couple points. When we're dealing
- 14 with -- again, the fundamental difference here, if
- 15 we're dealing with things like ranges of uncertainty,
- 16 notwithstanding what Mr. Malsch believes, it's our
- 17 position that does not deal with violations.
- 18 If they can demonstrate that we have
- 19 utterly, utterly not taken uncertainty into account,
- 20 you can look at 113 -- or 114, 63-114, that's the
- 21 uncertainty regulation that primarily deals with
- 22 uncertainty in the TSPA. What we're dealing with
- 23 here in the vast majority of these contentions are
- 24 technical disagreements related to ranges of
- 25 uncertainty, data values, what particular type of

- 1 data or piece of data that may or may not be
- 2 important.
- 3 These are very common types of contentions
- 4 in proceedings. They're technical issues between
- 5 disagreements with technical experts -- disagreements
- 6 related to a technical issue, not a violation. And
- 7 when you are dealing with things like that, there has
- 8 to be a sense of materiality. You need to, your
- 9 example is perfect. If the allegation suggests that
- 10 conservatism will increase, how can -- I just don't
- 11 -- I do not fathom how that can have a significant
- 12 effect or a material effect that we are going to
- 13 have a hearing over.
- 14 >> JUDGE TRIKOUROS: Okay. Well, let me
- 15 explore this a little bit. What I think I'm hearing
- 16 is that a contention could actually say that
- 17 something will have an uncertainty in a direction
- 18 that will improve the dose response. But what you're
- 19 saying is that even a negative -- let's call it a
- 20 conservative uncertainty, might be material in such a
- 21 complex model that even though it appears
- 22 conservative when you run the model, it may go the
- 23 other way or you know, eddies and currents in this
- 24 model might drive it some place where no one
- 25 expected.

- 1 That's the issue of materiality. But then
- 2 you're saying that someone else has to determine that
- 3 materiality?
- I don't understand where you are coming
- 5 from on that.
- 6 >> MR. MALSCH: Again, Marty Malsch for
- 7 Nevada. That's precisely what we're trying to argue,
- 8 that you cannot on an individual contention basis
- 9 when you are talking about contentions along those
- 10 lines, hope to demonstrate materiality in the sense
- 11 of its ultimate effect on the dose calculation
- 12 because who knows what that actually might be in
- 13 terms of the ultimate calculation, especially
- 14 considered with your other contentions.
- 16 contention to say that you may have made a mistake
- 17 here and it might be material without demonstrating
- 18 materiality, or at least having an expert say, I'm
- 19 confident that if you utilize what I am telling you,
- 20 it will have a significant effect on the outcome?
- 21 >> MR. MALSCH: Well, I think though as a
- 22 matter of fact, for every one or virtually every one
- 23 of our TSPA contentions, we always have in Paragraph
- 24 5, an opinion by the expert that he believes his
- 25 contention, if true, would have an adverse effect in

- 1 terms of increasing doses of releases.
- What's missing is a quantitative discussion
- 3 of what precisely that would be. And as we explained
- 4 yesterday, that is far beyond any intervenor's
- 5 ability to do, because of the complexities in the
- 6 model, the recognition that there are at least five
- 7 separate modeling cases, and the fact that we'd have
- 8 to include combinations of contentions.
- 9 And then also, it wouldn't be sufficient to
- 10 modify -- let's say we took one contention and let's
- 11 say we attempted to do a calculation of the effects
- 12 on doses of releases if they included our different
- 13 parameter range. If we did that, we would perhaps
- 14 have to change as many as five different versions of
- 15 the TSPA because there are at least five different
- 16 modeling cases.
- 17 And let's suppose we did that. In some
- 18 cases as we've explained, that might take a month's
- 19 worth of work. But let's suppose we did tht and we
- 20 produced a single dose calculation; what good would
- 21 that do?
- No one would know whether that was at the
- 23 high end or low end or in between. We would have to
- 24 actually run enough number of realizations to show it
- 25 affected the mean.

- 1 So we would have to actually modify as many
- 2 as five different modeling cases an then run those
- 3 things, at least perhaps 300 times. That just is not
- 4 within our ability to do. I think you're asking for,
- 5 you know, what is actually the impossible.
- 6 The best you could ask for would be an
- 7 opinion from the expert that this would have an
- 8 effect in terms of doses and releases and that's the
- 9 best we did.
- 10 >> MR. TRIKOUROS: So if a contention has
- 11 that statement by the expert, that he believes this
- 12 would be a significant effect in the direction of --
- 13 the improper direction, let's say, then, then that
- 14 contention might be admissible. But if that
- 15 statement is not there, then would you then agree
- 16 that contention might not be admissible?
- 17 >> MR. MALSCH: I wouldn't agree that that
- 18 would always be the case. It would depend upon the
- 19 contention. For example, a contention that says the
- 20 model is simply wrong or unsupported, that's it. No
- 21 further demonstration required.
- 22 You can't have a TSPA that uses the wrong
- 23 model or a model that is unsupported because the
- 24 regulations have apart from the requirement to do
- 25 the dose calculation, a separate requirement that

- 1 each model be defensible scientifically.
- 3 made that is wrong, I'm assuming that it's
- 4 reasonably -- there is a reasonable basis for that
- 5 statement.
- 6 >> MR. MALSCH: Of course. Of course.
- 7 >> MR. ZAFFUTS: Your Honor, may I respond?
- 8 >>JUDGE TRIKOUROUS: Yes.
- 9 >> MR. ZAFFUTS: Paul Zaffuts, DOE. Just a
- 10 quick one. You mentioned -- Mr. Malsch mentioned a
- 11 statement by an expert that says there is some
- 12 significant effect and that's sufficient. I
- 13 fundamentally disagree with that.
- 14 Statements like that need to have support.
- 15 That's just a conclusory statement without any basis.
- 16 That's insufficient. I don't care if it comes from
- 17 an expert; it's not sufficient. That's exactly what
- 18 they do in a vast majority of the cases. They will
- 19 have some issue related to -- I'm going to use the
- 20 example we used this morning, plant height over the
- 21 mountain.
- 22 We take plant height into account, but
- 23 maybe some are taller and some are lower. Ergo,
- 24 there is potentially a little increase and
- 25 uncertainty in our estimation. And then they

- 1 summarize the discussion by saying it could widen the
- 2 range of infiltration. As you suggest earlier, which
- 3 direction?
- I would like an expert to tell me which
- 5 direction so now I can -- one could determine if it's
- 6 conservative or non-conservative. And then the next
- 7 line is in consequence, "seepage would be altered."
- No basis for that. Just a statement.
- 9 Significant changes in corrosion, radionuclide
- 10 release in transport and radionuclide impacts on the
- 11 REMI. It's one sentence. That's not sufficient.
- >>MR. REPKA: David Repka, NEI. May I be
- 13 heard?

- 16 the topic of TSPA, I would be remiss if I didn't make
- 17 a few points. NEI does have several contentions
- 18 directed to the TSPA.
- 19 First, on the issue of the threshold and
- 20 the materiality, I do agree there is some materiality
- 21 showing required at the contention stage. And I
- 22 would point out that NEI's contentions specifically
- 23 address that and meet that threshold based upon
- 24 expert affidavits that not only establish their own
- 25 expertise, the model that they rely on developed by

- 1 and for EPRI and that they show us specific impact
- 2 with respect to the TSPA.
- 3 Those impacts are that the TSPA is
- 4 conservative and that we would establish further
- 5 conservatisms. I think that that demonstrates that
- 6 that kind of threshold showing can be made and has
- 7 been made in this case.
- 8 I think with respect to the issue of
- 9 showing conservatisms, the question came up earlier
- 10 as to whether or not these issues would need to be
- 11 heard or addressed together. And I do believe that
- 12 assuming there are contentions admitted, they do have
- 13 to be considered together in some way.
- 14 Obviously, focusing on specific
- 15 contentions, yes, but in terms of total effect, a
- 16 holistic effect, it's clearly relevant.
- 17 Mr. Malsch stated, you know, I think he
- 18 said something about there's a whole range of
- 19 considerations, and I certainly agree with that.
- I think our model would probably show a
- 21 different outcome than his would. But I think that
- 22 the point is, there is a materiality showing. NEI's
- 23 contentions I think meet that showing and I think
- 24 that are certainly relevant to this issue and the
- 25 litigation of it.

- 1 >> JUDGE TRIKOUROS: All right. Well, as
- 2 I've done before, I'm going to defer additional
- 3 discussion of these themes for now and try and come
- 4 back to it later.
- 5 >> JUDGE GIBSON: Yeah, I -- we will come
- 6 back to the themes issue. There are some tribal
- 7 questions that I want to be sure that -- we need to
- 8 cover now. So I would like to turn to those now.
- 9 First, I'd like to discuss the issue of
- 10 standing. As I understand it, there are two entities
- 11 that claim to represent the Timbisha Shoshone Tribe.
- 12 The first group calls itself the Timbisha Shoshone
- 13 Tribe. But for purposes of the questions that I will
- 14 pose today, I'm not going to refer to that group as
- 15 the Timbisha Shoshone Tribe, but I will instead refer
- 16 to them as TIM. You will understand why in a minute.
- 17 The second group calls itself the Timbisha
- 18 Shoshone Yucca Mountain Oversight Program Nonprofit
- 19 Corporation, and not surprisingly, I don't want to
- 20 have to say that every time either. And so we will
- 21 simply refer to that group as TOP. So I'm going to
- 22 be referring to TIM and TOP. Does everybody know
- 23 who they are?
- Okay. I think the record is clear that no
- 25 one who has entered an appearance here disputes that

- 1 the Timbisha Shoshone Tribe is an affected Indian
- 2 tribe under the Nuclear Waste Policy Act.
- Now, as determined by the Secretary of
- 4 Interior, and as such, the Timbisha Shoshone Tribe is
- 5 to be accorded automatic standing here.
- 6 But just to be sure, I want to make sure
- 7 that there is not anybody in the room here who would
- 8 dispute that the Timbisha Shoshone tribe, itself, is
- 9 to be accorded automatic standing? No problem there,
- 10 right?
- 11 Okay. Speak now or forever hold your
- 12 peace. Unfortunately, both TIM and TOP claim to be
- 13 the sole legitimate representative of the Timbisha
- 14 Shoshone Tribe. And at least of the last filing we
- 15 had, which I think was at least last night or this
- 16 morning, TIM and TOP have been unable to resolve the
- 17 dispute between themselves as to which entity is
- 18 authorized to represent the tribe in this proceeding.
- 19 I need to make it clear, initially, to both
- 20 of you that this licensing board is in no position to
- 21 resolve the dispute between TIM and TOP in terms of
- 22 which group is the sole legitimate representative of
- 23 Timbisha Shoshone Tribe.
- Instead, this is something that is going to
- 25 have to be worked out through the administrative and

- 1 judicial channels, where I understand a dispute is
- 2 pending. And again, just so the record is clear
- 3 here, do I understand correctly that there are two
- 4 appeals pending within the Bureau of Indian affairs
- 5 and another case pending in Federal District Court?
- 6 >> MS. HOUCK: Your Honor, Darcy Houck for
- 7 TIM.
- 8 >> JUDGE GIBSON: Yes.
- 10 actually three appeals in Interior. The first appeal
- 11 was decided at the regional director level on
- 12 February 17th recognizing the '06 '07 tribal
- 13 council as the last duly elected council and that
- 14 council is made up of Joe Kennedy, Ed Beanan,
- 15 Virginia Beck, Madeleine Estevez and Cleveland Casey.
- 16 And I will indicate that regardless of what
- 17 the ultimate outcome is on all of these appeals, four
- 18 of those five people are in the room today and this
- 19 is probably the first time since this dispute started
- 20 in 2007 that that has occurred.
- 21 So overall, the issues in this proceeding
- 22 are critically important to the tribe and regardless
- 23 of the ultimate outcomes, the tribes very much wants
- 24 to make sure that the impacts to the tribe, itself,
- 25 are addressed in this proceeding and that they have a

- 1 seat at the table. But with that said, the first
- 2 appeal, the regional director made the decision on
- 3 February 17th.
- 4 That was then appealed to the Interior
- 5 Board of Indian Appeals. Under Interior regulations,
- 6 the Assistant Secretary of Indian Affairs has the
- 7 ability to take jurisdiction within 20 days of the
- 8 filing of that appeal. That did occur in this case,
- 9 so acting Assistant Secretary George Staben has taken
- 10 jurisdiction over the first appeal to the IBIA.
- 11 The second appeal, the regional director
- 12 made a decision on March 24th also recognizing
- 13 the '06-'07 tribal council consisting of Joe Kennedy,
- 14 Ed Beanan, Virginia Beck, Madeleine Estovez and
- 15 Cleveland Casey.
- 16 There is a 30 day period that can be
- 17 appealed to the Interior Board of Indian Appeals at
- 18 which time, it's my understanding from the U.S.
- 19 Attorney's Office, I can't confirm this, but if an
- 20 appeal is made, the Assistant Secretary will likely
- 21 also take jurisdiction over that appeal.
- There was an election in November, 2008,
- 23 that was conducted -- it was not approved by that '06
- 24 '07 council. It was the other faction. And there
- 25 has been an appeal as to that election, which a

- 1 decision is still pending at the Superintendent's
- 2 level.
- 3 So those are the three administrative
- 4 appeals that are pending.
- 5 >> JUDGE GIBSON: Is there also a case in
- 6 Federal District Court?
- 7 >> MS. HOUCK: There are actually -- my
- 8 understanding is there are two cases in Federal
- 9 Court, one that was filed I believe -- and I believe
- 10 in December. That one I believe is moot and nothing
- 11 has happened. I don't know, I would have to check.
- 12 That was filed on behalf of Mr. Kennedy by I believe
- 13 Judy Shapiro and George Foreman's law firm, I don't
- 14 know.
- I believe the issue was resolved
- 16 administratively, though, by deciding -- by
- 17 retracting a December 4th decision.
- 18 There's a whole litany of decisions I think
- 19 you've seen from the pleadings between December 14
- 20 of '07 up through actually March 24th of last
- 21 week.
- 22 The second district court case was filed in
- 23 regards to the appeal that was decided on
- 24 January 17th. The U.S. Attorney's Office filed a
- 25 motion to dismiss based on the two recent decisions

- 1 and the fact that they have consistently since
- 2 November and indicated in their motion to dismiss
- 3 that pending resolution of all appeals, the Bureau of
- 4 Indian Affairs is recognizing for
- 5 government-to-government purposes, the tribal council
- 6 made up of Joe Kennedy, Ed Beaman, Virginia Beck,
- 7 Madeleine Estovez and Cleveland Casey, that the whole
- 8 matter is moot.
- 9 That case is likely -- we're in
- 10 discussions with the U.S. Attorney about withdrawing
- 11 that lawsuit. And that one may go away based on
- 12 their representation that that is the council that
- 13 they're going to be recognizing pending resolution of
- 14 these appeals.
- 15 >> JUDGE ROSENTHAL: Can I ask you a
- 16 question at this point? When the final determination
- in the BIA is made, is that subject to judicial
- 18 review or does the BIA determination have finality?
- 19 What I'm getting at is, as Judge Gibson
- 20 pointed out, it's beyond our province to become
- 21 involved at all in this dispute. And I'm sort of
- 22 curious as to whether there is any basis for
- 23 concluding at this point that this dispute is going
- to be ultimately resolved, whether administratively
- or after a judicial review within this century.

- 1 >> MS. HOUCK: Once the Acting Assistant
- 2 Secretary makes his determination which is likely to
- 3 take roughly five months, probably, it is subject to
- 4 judicial review as a final agency action under the
- 5 APA.
- 6 >> JUDGE GIBSON: Okay. We heard from TIM,
- 7 with TOP. Just with respect to the factual
- 8 recitation that she gave, is there anything else that
- 9 you would like to add or correct?
- 10 >> MR. POLAND: Judge Gibson, there are two
- 11 things I would like to say. First of all, as far as
- 12 the November 28, 2008 election is concerned that is
- 13 not yet on appeal right now to BIA. There is no
- 14 appeal pending as to that election. So I do want to
- 15 make that correction.
- >> JUDGE GIBSON: Thank you.
- 17 >> MR. POLAND: Second of all -- I'm sorry.
- 18 >> JUDGE GIBSON: I said thank you.
- 20 Houck referred to four or five members of the tribal
- 21 council being in this room. I understand, Your
- 22 Honor's statement that this particular Board does not
- 23 have the expertise or is not going to decide these
- 24 issues.
- 25 We would like to make clear, TOP would like

- 1 to make clear that the problem with deferring to what
- 2 the BIA might determine is that some of these issues
- 3 are not issues for the BIA to determine. They are
- 4 issues that are to be resolved by a sovereign tribe.
- 5 >> JUDGE GIBSON: Okay.
- 6 >> MR. POLAND: And the U.S. Supreme Court
- 7 has made clear that these are sovereign tribal issues
- 8 and that the BIA does not have a say over this.
- 9 >> JUDGE GIBSON: Okay, fair enough. And
- 10 we'll get to that in a minute.
- 11 Let me just go back to TIM now. Judge
- 12 Rosenthal asked if it would be resolved in this
- 13 century. I think you said you are hoping to get a
- 14 decision in five months and then that decision can be
- 15 appealed. Is that a fair statement?
- 17 statement. I would like to note though that the
- 18 March 24th regional director's decision indicates
- 19 that there is a pending determination regarding the
- 20 November 11th, 2008 general election, and so we
- 21 are unsure what they're going to do as far as
- 22 recognizing that.
- 23 It was my understanding there was an
- 24 appeal. But there is some decision pending.
- 25 >> JUDGE GIBSON: Okay. And do you at

- 1 least agree with her with respect to the five month's
- 2 Board decision plus that can then be appealed to
- 3 Federal District Court?
- 4 >> MR. POLAND: I think that there is some
- 5 range, Your Honor, but I don't disagree -- it's a
- 6 matter of months as opposed to years.
- 7 >> JUDGE GIBSON: Fair enough. Thank you.
- 8 Okay. Now, I know that, you know, I made DOE answer
- 9 some questions earlier today that I knew were painful
- 10 for them. I'm going to do the same thing for you
- 11 guys.
- 12 And in the event that the pending dispute
- in other forms is not resolved in your favor, which
- 14 would mean that your organization would not be found
- 15 to be the sole authorized representative of the
- 16 Timbisha Shoshone Tribe, and I know that that's
- 17 painful for both of you to make that assumption, but
- 18 just for purposes of helping us out here, we need to
- 19 try to make the record, okay.
- It's my understanding that each of you is
- 21 nevertheless claiming that your organization meets
- 22 the requirements for standing as a matter of right in
- 23 failing that for discretionary intervention. And so
- 24 if that's correct, I want to make sure that we can
- 25 unpack that a little bit so that we will have a clear

- 1 record for purposes of entering an Order in this
- 2 case.
- 3 Let's begin with TOP. In your amended
- 4 petition to intervene, you argue that you've met the
- 5 requirements representational standing. Assume for a
- 6 minute that the Board grants your motion for leave to
- 7 file your amended petition, the NRC staff, as I
- 8 understand in answer to your amended petition has
- 9 conceded that you have satisfied the criteria for
- 10 representational standing. Is that your
- 11 understanding?
- 12 >> MR. POLAND: Yes, it is, Your Honor.

- >> JUDGE GIBSON: Thank you. But DOE in its
- 16 answer has not addressed this question as I
- 17 understand it, have you, with respect to TOP?
- 18
- 19 >>MR. ZAFFUTS: Yes, Your Honor, I believe
- 20 we have stated that they do not have representational
- 21 standing based on the pleadings they provided.
- 22 >> JUDGE GIBSON: Okay. And what was the
- 23 basis for that?
- 24 >>MR. ZAFFUTS: One moment, Your Honor. It
- 25 would have been in the pleading that DOE filed on I

- 1 believe it was Friday of last week in response to the
- 2 Amended Petition. And for representational standing,
- 3 as you know, an organization which is not asserting
- 4 standing on itself, must demonstrate that one of its
- 5 members who is authorizing the organization to
- 6 represent it, itself has standing.
- 7 And we do not believe that the information
- 8 provided in the pleading demonstrated that the
- 9 individual members have standing in their own right
- 10 and, therefore, there was no ability for TOP to have
- 11 representational standing.
- 12 I think we may have also mentioned that the
- 13 Articles of Incorporation and the corporate bylaws
- 14 state that TOP has no members and we may also have
- 15 relied on that.
- 16 >> JUDGE GIBSON: TOP, could you address
- 17 the two points that DOE just raised?
- 19 >>JUDGE GIBSON: Thank you.
- 21 and incorporated specifically to represent the
- 22 interests of the Timbisha Shoshone Tribe in these
- 23 very proceedings. That is its purpose. It stands in
- 24 place of the Timbisha Shoshone Tribe. It represents
- 25 the interest of the members of the tribe.

- 1 And so, Mr. Polansky says, well, TOP,
- 2 itself, is a corporate entity, and so it doesn't have
- 3 any members, it just has directors and that precludes
- 4 it from participating.
- 5 Your Honor, I would refer the Board to the
- 6 NEI vs. EPA case.
- 7 >> JUDGE GIBSON: What? Could you please
- 8 give us that case?
- 9 >> MR. POLAND: Sure. NEI vs EPA.
- 10 >> JUDGE GIBSON: Okay, NEI vs EPA. Okay,
- 11 I'm sorry, I just I didn't hear what you said.
- 12 >> MR. POLAND: Yes, YOur Honor. There,
- 13 the D.C. Circuit addressed the question whether the
- 14 environmental organizations there had standing. And
- 15 I don't see a big difference between the decision
- 16 that the D.C. Circuit made there where they clearly
- 17 held that the individual members addressed an injury
- 18 that they would suffer if they had standing.
- 19 And I don't see representational standing
- 20 as well as credential standing.
- 21 And I don't see a difference here. We have
- 22 submitted the affidavits of several members of the
- 23 Timbisha Shoshone Tribe who live in the traditional
- 24 home lands in the Death Valley area. They have set
- 25 out real concrete injuries that they will suffer

- 1 based on concessions in DOE's own Environmental
- 2 Impact Statements. They're members of the tribe.
- 3 They are current members of the tribe.
- 4 So we certainly don't see a problem with
- 5 representational standing.
- 6 >> JUDGE GIBSON: And are those members of
- 7 the tribe also members of TOP?
- 8 >> MR. POLAND: Two of them are on the
- 9 Board of Directors of TOP.
- 11 understand that both DOE and the NRC staff are
- 12 opposing TOP's request for discretionary intervention
- 13 in this case?
- 14 >> MS. SILVIA: This is Andrea Silva from
- 15 the NRC staff. We did not address the discretionary
- 16 intervention because we found that they had standing
- 17 as -- representational standing.
- 18 >> JUDGE GIBSON: Well, just assume for the
- 19 sake of argument, that discretionary intervention is
- 20 on the table; do you have any problem with them being
- 21 accorded discretionary intervention in this case?
- >> MS. SILVIA: No, we do not.
- >> JUDGE GIBSON: DOE?
- >> MR. POLONSKY: Thank you, Your Honor.
- 25 Mr. Polansky. I believe that the answer we filed on

- 1 Friday. Based on the petition provided, we do not
- 2 believe that TOP had discretionary standing.
- I think in particular, we were conflicted
- 4 by the fact that whoever is the affected Indian tribe
- 5 really represents the interests of that tribe. So
- 6 whoever that entity is should be the entity that
- 7 represents them.
- 8 And to the extent that TOP is not the AIT,
- 9 then it shouldn't be given discretionary standing
- 10 because the interests of the tribe will already be
- 11 represented, for lack of a better word, Your Honor.
- >> JUDGE GIBSON: Okay. Would you like to
- 13 respond to that, TOP?
- 14 >> MR. POLAND: Yes, I would, Your Honor,
- 15 thank you. I think that if we go through the
- 16 factors, Mr. Polansky mentioned one, are there other
- 17 entities that could represent the interests of TOP if
- 18 they were not granted discretionary intervention.
- 19 But that's only one of the factors.
- 20 That's not all the factors. One of the
- 21 first factors is will the participation assist the
- 22 Board in developing a sound record?
- 23 Here, there is no question that it will.
- 24 These are people, these are Timbisha Shoshone tribal
- 25 members who live at the Death Valley Springs. They

- 1 live in the area. They practice traditional tribal
- 2 customs and religions. They clearly will be injured.
- 3 And the views that they have, the injuries
- 4 that they will suffer, those need to be made a part
- 5 of the record. They must be made a part of the
- 6 record. And so if they are not participating, those
- 7 views will not be made a part of the record.
- 8 So I don't understand how DOE can say that
- 9 they will not, their participation would not assist
- 10 the development of a sound record.
- 11 The second factor that's to be considered
- 12 under Section 2.309 (e(1) is the nature and extent of
- 13 the property financial or other interest in the
- 14 proceedings.
- 15 I did mention these yesterday at the end of
- 16 the day. We have culture, heritage interests that
- 17 are at stake here, our members do who live in the
- 18 Death Valley area. Clearly, those are interests that
- 19 ought to be considered. They are significant
- 20 interests. They are significant to the tribe and to
- 21 the members of TOP.
- Third is the possible effect of any
- 23 decision or Order that may be issued in the
- 24 proceeding. And here, if an Order is issued, I think
- 25 it's a sort of a two-step process.

- 1 The first question is the NRC's staff
- 2 review of the EIS. If the EIS is lacking because
- 3 these cultural issues should be considered, clearly,
- 4 the NRC staff could choose to reject that EIS and
- 5 require a supplement.
- 6 But then as a second step, as well, the
- 7 Board could reject the application if the information
- 8 is not contained in the EIS. So none of those
- 9 factors which are the ones that are to be taken into
- 10 account weigh against us. They all weigh in our
- 11 favor. And then there are also several factors that
- 12 would weigh against granting discretionary
- 13 intervention.
- 14 We don't think any of those are present.
- 15 We don't think that there are other organizations
- 16 that can represent our interests.
- 17 Mr. Polansky mentions the other entity,
- 18 TIM. None of the members of TIM live in the Death
- 19 Valley area. They live outside the traditional
- 20 tribal homeland. They don't practice the traditional
- 21 tribal customs. They cannot represent the interests
- 22 of the people who live in the homeland. So those
- 23 interests will not be represented.
- And then there's a question as well as to
- 25 whether the participation of TOP will inappropriately

- 1 broaden the issues or delay the proceeding. And we
- 2 talked about this yesterday. Mr. Silverman on behalf
- 3 of the DOE even focused on the word "inappropriately
- 4 broadened."
- 5 We certainly would submit that it is not
- 6 inappropriate to include TOP's concerns at this FE
- 7 contention stage.
- 8 >> JUDGE GIBSON: Okay. Thank you. TIM, I
- 9 understand that -- first of all, I guess I want to
- 10 know, are you all asserting standing as a matter of
- 11 right?
- >> MS. HOUCK: Yes, Your Honor, we're
- 13 asserting standing as a matter of right.
- 15 know, you don't get where you want to be with BIA?
- 16 >> MS. HOUCK: In the event that we don't
- 17 get there, we've also requested discretionary
- 18 standing and given the decision on the potential
- 19 appeals and the litigation that could follow could
- 20 take months or potentially at least more than a year
- 21 while this proceeding is moving very quickly.
- 22 And even though there is case law regarding
- 23 internal governmental affairs issues, there is also
- 24 case law looking at the Bureau having to recognize
- 25 some governmental entity for government-to-government

- 1 purposes when the tribe's dealing directly with a
- 2 federal agency.
- For right now, the Bureau of Indian Affairs
- 4 has identified five people as who they are
- 5 recognizing as the Tribal Council. And regardless of
- 6 what happens in those appeals, if one of those
- 7 entities isn't allowed to participate in this
- 8 proceeding, they're not going to be able to make up
- 9 that time or be able to come back and correct
- 10 whatever errors or information is omitted here in
- 11 these proceedings to represent their members.
- 12 And TIM is indicating that as the Tribal
- 13 Council recognized by the Bureau, that they're
- 14 representing all of the members of the tribe.
- 15 So at this point, they do believe that
- 16 members of TIM are going to be directly impacted and
- 17 if the BIA is looking to them to make decisions on
- 18 behalf of the tribe, that would include all members.
- We are not opposed to discretionary
- 20 standing for TOP. I, will put that on the record.
- 21 We think that the more information that this Board
- 22 has, particularly given the lack of information in
- 23 DOE's documents, the more informed the Board is going
- 24 to be as to the actual substantial and adverse
- 25 impacts that the tribe is likely to suffer in this

- 1 matter.
- 2 And those substantial and adverse impacts
- 3 that may be suffered by the tribe are not just
- 4 hypothetical or theoretical based on the
- 5 certification of the affected Indian tribe's data.
- 6 As the Secretary of Interior has basically certified,
- 7 that those impacts could occur and they haven't even
- 8 been analyzed sufficiently.
- 9 So the tribe does need to be represented in
- 10 these proceedings, and because of the unique
- 11 circumstances in this case and these outstanding
- 12 appeals and the Bureau's current position on this
- 13 matter, it would seem appropriate that the Board
- 14 would allow discretionary standing at a minimum to
- 15 the entities that have a legitimate right to claim
- 16 representation to the tribe -- of the tribe.
- 17 >> JUDGE GIBSON: Okay. Let's turn to your
- 18 claim for representational standing that you've made.
- 19 Now, I understand from DOE's answer that
- 20 they are claiming that you failed to address the
- 21 criteria for representational standing in your
- 22 Petition To Intervene by failing to identify a member
- 23 by name and address, by demonstrating that that
- 24 member has standing in his or her own right, and
- 25 showing that the member hasn't authorized

- 1 intervention on his or her behalf.
- 2 Do you agree with DOE that those are
- 3 defects in that pleading or do you wish to dispute
- 4 that?
- 5 >> MS. HOUCK: Your Honor, we don't believe
- 6 that there's a defect in the pleading. As we said
- 7 before, that the Bureau currently is representing
- 8 this group for government-to-government purposes, so
- 9 even if there's not a member that's actually -- the
- 10 members of the tribe as a whole is who they're acting
- on behalf of and also in protection of the land base,
- 12 which includes the trust land as well as the use
- 13 rights of the tribe to the federal land.
- 14 If the Department of Interior would like a
- 15 list of each of the members of the tribes and their
- 16 address, we could provide that to the Board and to
- 17 DOE.
- 18 >> JUDGE GIBSON: I think it's -- yeah,
- 19 it's the Department of Energy, not the Department of
- 20 Interior.
- >> MS. HOUCK: Department of Energy.
- 22 >> JUDGE GIBSON: That's okay. Hopefully
- 23 DOI already has that. Let's see. So you'd be glad
- 24 to provide that additional information to them?
- >> MS. HOUCK: Yes.

- 1 >> JUDGE GIBSON: Okay. They may still
- 2 find that defective but I appreciate your offer and
- 3 thank you.
- 4 Now, with respect to organizational
- 5 standings, DOE argues that your alleged injuries are
- 6 not the distinct and palpable particular and concrete
- 7 injuries required to establish standing as a
- 8 non-affected Indian tribe. And I guess, DOE, could
- 9 you give us what specifically you find inadequate
- 10 about the injuries that TIM has alleged?
- 11 >>MR. ZAFFUTS: Your Honor, we took the
- 12 pleading at its face and the pleading assumed because
- 13 it appears -- TIM assumed that it was the only entity
- 14 that would be petitioning here as the AIT. So at the
- 15 time that TIM submitted it's petition, it assumed it
- 16 was the AIT and sought to intervene in this
- 17 proceeding on its automatic standing basis as the
- 18 AIT.
- 19 We don't believe that they pled that they
- 20 had organizational standings, because, as I said,
- 21 they assumed they were the AIT. We merely responded
- 22 to that by saying they haven't demonstrated
- 23 organizational standing. They don't request
- 24 representational standing and, therefore, they don't
- 25 meet discretionary standing.

- 1 Now, it's reasonable to make those
- 2 arguments because they assumed they were the AIT.
- 3 >> JUDGE GIBSON: Yeah, I think they
- 4 definitely made that assumption but that obviously,
- 5 you know what happens when you make assumptions.
- 6 NRC staff: Do you all have a position on
- 7 whether TIM has established standing,
- 8 representational or organizational standing here?
- 9 >> MS. SILVIA: We didn't address it
- 10 because we didn't think they were requesting it.
- 11 >> JUDGE GIBSON: Recognizing you didn't.
- 12 >> MS. SILVIA: Andrea Silva for NRC staff.
- 14 event that TOP turns out to be the one that gets the,
- 15 you know, the golden ring here from BIA?
- 16 >> MS. SILVA: We would like to see them
- 17 demonstrate that they have met the requirements,
- 18 but --
- 19 >> JUDGE GIBSON: Which it sounds like
- 20 they can probably do. They just pled because they
- 21 assumed they were the AIT.
- 22 >> MS. SILVA It seems reasonable that they
- 23 would be able to --
- >> JUDGE GIBSON: Okay, thank you. Now, if
- 25 they were to provide this information albeit

- 1 belatedly, DOE, would that be okay with you or are
- 2 you still going to object?
- 3 >>MR. ZAFFUTS: I can't answer that
- 4 question right now, Your Honor. I have to consult
- 5 with my client.
- 6 >> JUDGE GIBSON: How about staff, if they
- 7 do it belatedly?
- 8 >> MS. SILVIA: The one thing that I would
- 9 add that I wasn't aware of until this discussion, if
- 10 it's true, that none of TIM's members actually live
- in Death Valley, that might complicate the way that
- 12 we look at TOP's standing, so it might not exactly be
- 13 the same.
- 14 >> JUDGE GIBSON: I'm sorry. Death Valley,
- 15 can you amplify on that point?
- 16 >> MS. SILVIA: The tribe traditional
- 17 homeland in Death Valley.

- 20 counsel state that none of TIM's members resided in
- 21 Death Valley.
- 22 >> JUDGE GIBSON: I don't believe he said
- 23 that. I believe he said TOP's members -- a lot of
- 24 TOP's members do.
- 25 I'm not sure he said none of TIM's members

- 1 do. Right?
- 3 I did say -- when we talk about TIM, again, we have
- 4 to be careful talking about organizations here.
- 5 Really what we're talking about as Ms. Houck
- 6 indicated is tribal councils and disputed tribal
- 7 councils.
- 8 So what I was referring to was the people
- 9 who are on the tribal council that Ms. Houck is
- 10 representing, those people do not live in the
- 11 traditional tribal homeland in and around Death
- 12 Valley.
- >> JUDGE GIBSON: Okay. Do you want to
- 14 amplify on that point?
- 16 just like to say that TIM did not intervene on behalf
- 17 of one or two individuals. It was on behalf of the
- 18 tribal members as a whole, which the council that
- 19 they're acting under does also include Mr. Kennedy,
- 20 who is a part of TOP and is the other side of this
- 21 dispute, but he is also a member of both councils as
- 22 well.
- 23 >> JUDGE GIBSON: Okay. Does that help you
- 24 understand now and knowing with that additional
- 25 information, can you say if belatedly they supply you

- 1 with that information, will you be okay with them
- 2 getting standing in this case?
- 4 official representative of the government, then I'm
- 5 not sure their membership would be the same as their
- 6 tribal council. So I would still have questions
- 7 about who their members are.
- 8 >> JUDGE GIBSON: Fair enough. So you just
- 9 can't give me an answer.
- >> JUDGE GIBSON: That's okay. We have to
- 12 get accomplished what we can accomplished today.
- DOE, are you still need to confer with your
- 14 client?
- >>MR. ZAFFUTS: Yes, we would. But in the
- 16 discussion that has ensued since, I think there is a
- 17 complication that has arisen. And that is, if I hear
- 18 TIM and TOP's counsel correctly, we would have two
- 19 separate groups that if granted discretionary
- 20 standing, would be representative of the exact same
- 21 people; and that would be an interesting precedent
- 22 for the Board to set. And perhaps the Board would
- 23 want one entity representing those people, one entity
- 24 representing the tribe.

- what you're saying, but, you know, that -- that may
- 2 be something that would be convenient for us. It
- 3 might be convenient for you, but it might not be
- 4 agreeable to them. And so, we basically have to try
- 5 to find out if there is a way for all of these people
- 6 to participate in this proceeding or not.
- 7 And that's what we're about this afternoon.
- 8 Okay. I think it is clear, however, and I think your
- 9 point is well taken, that there is no way that we
- 10 could allow both parties, both of these entities to
- 11 represent the tribe.
- 12 That in itself cannot happen. And I don't
- 13 think either one of them is asking us to do that. I
- 14 think you realize that we couldn't do that either.
- 16 ignorance; are these two entities really operating in
- 17 cross purposes here?
- 18 They both were purporting to represent a
- 19 particular tribe, the interest of that tribe which
- 20 assertedly are being impacted in some way or would be
- 21 impacted in some way by the construction and/or
- 22 operation of this facility?
- 23 Now, I would think -- I understand that
- 24 there seems to be a jurisdictional dispute here, but
- 25 really, are these two organizations at loggerheads

- 1 with respect to precisely what the interests are of
- 2 their members, how those interests might be impacted
- 3 so that -- because I would have thought the
- 4 possibility that if one of these organizations
- 5 was allegedly admitted as -- on the basis of
- 6 representational standing, the other entity got in on
- 7 the discretionary standing, that there might be a
- 8 Board requirement two groups operate collegially.
- 9 And I'm just trying to find out whether
- 10 this is a Hatfield and Mccoy situation where that
- 11 would not be possible.
- 12 I mean I would have hoped that there would
- 13 be some agreement as to how the interests of this
- 14 group that they're both purporting to represent would
- 15 be impacted by the -- the operation of this facility.
- So I would like to get a little
- 17 clarification from both TIM and TOP as to just how
- 18 they see their relationship with each other.
- 19 >> JUDGE GIBSON: Before they answer the
- 20 question, Judge Rosenthal, I think it's interesting
- 21 that there's actually a third group, the Native
- 22 Community Action Council that we haven't gotten to
- 23 yet, so there is actually three.
- >> JUDGE ROSENTHAL: Maybe we can put
- 25 three -- I'm just concerned about that, because it

- 1 didn't -- offhand, I would think that there would be
- 2 at bottom, even though there is a jurisdictional
- 3 battle, that when it came to the merits of this, that
- 4 they would be on the same track. But perhaps that's
- 5 not the case.
- 6 >> MR. POLAND: Your Honor, if I may, Doug
- 7 Poland for TOP. I think one thing that Ms. Houck and
- 8 I can probably agree on is that certainly we want to
- 9 both act in the best interests of the tribe itself,
- 10 the Timbisha Shoshone Tribe, and we would like those
- 11 interests to be represented.
- 12 Your Honor referred to -- Judge Gibson
- 13 referred to the Hatfield-Mccoy type of situation.
- 14 And it's clear the dispute goes much deeper and
- 15 beyond this particular proceeding and has
- 16 implications for other proceedings as well.
- 17 We have said in our amended petition, we
- 18 believe that we are the AIT. We represent the AIT
- 19 and we should have AIT status. We set out the
- 20 reasons for that.
- We have said as a secondary position,
- 22 however, that if we are not selected to be the AIT,
- 23 we would request respectfully that the Board rule in
- 24 a way that does not preclude our group, TOP from
- 25 participating in these proceedings, whether it's

- 1 through representational standing or otherwise.
- 2 So we certainly are looking out for the
- 3 best interests of the tribe as a whole.
- 4 >> JUDGE ROSENTHAL: You have a different
- 5 view as to how the interests of the tribe is best
- 6 served in this proceeding than is possessed by TIM?
- 8 different contentions, Your Honor. They do not
- 9 overlap.
- 11 Native Community Action Council. Now, I understand
- 12 NCAC is not claiming to be either an effective Indian
- 13 tribe, nor is it claiming to represent an affected
- 14 Indian tribe; is that correct?
- 16 Your Honor, that's correct.
- 17 >> JUDGE GIBSON: Okay. Who then are the
- 18 members of NCAC and who does NCAC purport to
- 19 represent?
- 21 corporation chartered under state law to represent
- 22 western Shoshone and southern Paiute people who are
- 23 in the words of their articles, members of indigenous
- 24 communities in the Nevada testing ground area, which
- 25 includes Yucca Mountain.

- 1 It does not purport to represent tribes.
- 2 It represents members of tribes. Its Board of
- 3 Directors is composed of members of five federally
- 4 recognized tribes in the area of Yucca Mountain.
- 5 >> JUDGE GIBSON: And you are arguing both
- 6 for organizational and representational standing, is
- 7 that correct?
- 8 >> MR. WILLIAMS: That's correct. We would
- 9 have argued discretionary standing if it had been
- 10 mentioned in the petition, but it was not. I feared
- 11 that I was blocked from raising that issue.
- >> JUDGE GIBSON: Okay. Well, we can
- 13 deal with that issue in a minute. As to
- 14 organizational standing, let's start with that. What
- 15 are the organizational injuries that NCAC alleges as
- 16 a basis for standing?
- 17 >> MR. WILLIAMS: NCAC has as its mission,
- 18 the protection of the customs and traditions of the
- 19 Shoshone and Paiute people. Those customs and
- 20 traditions are explained to some degree in the
- 21 affidavits submitted by the three board members.
- 22 Those customs and traditions describe these
- 23 two people as nomadic people, historically. They
- 24 rein over this area historically. They use the
- 25 water, the game, the vegetation of these areas

- 1 traditionally.
- 2 Ceremonies were held throughout this area
- 3 traditionally. All of those practices go on today,
- 4 obviously to a considerably lesser degree, but they
- 5 continue to happen. It is the view of NCAC that the
- 6 construction of the facility at Yucca Mountain is an
- 7 irremediable injury; it cannot be fixed. It cannot
- 8 be mitigated.
- 9 It is as Calvin Meyers, one of the
- 10 declarants and one of the Board members would say, is
- 11 taking another chapter out of the equivalent of their
- 12 Bible.
- 13 So the answer to your question, Your Honor,
- 14 is that organizational standing is present here in
- 15 that the construction operation program maintenance
- 16 of the facility forever causes a direct and immediate
- 17 injury to the interests of the organization, itself,
- 18 which is the preservation of traditional practices
- 19 which could no longer occur on Yucca Mountain.
- 21 occurred to me, you mentioned Shoshone. I take it
- 22 that your -- the Shoshone and Paiute people that you
- 23 are representing are not any of the same as these two
- 24 party, Shoshones that these two are representing?
- Is that a fair assessment?

- 1 >> MR. WILLIAMS: I wish the answer were
- 2 yes.
- JUDGE GIBSON: Maybe some overlap?
- 4 >> MR. WILLIAMS: One of the board members
- of NCAC is a member of the Timbisha Shoshone Tribe,
- 6 Pauline Estevez. She submitted a declaration.
- 7 >>JUDGE GIBSON: Okay.
- 8 MR. WILLIAMS: But we do not purport to
- 9 represent the tribe, the Timbisha Shoshone Tribe.
- 10 >> JUDGE GIBSON: Fair enough. Okay. In
- 11 its answer, DOE argues that your allegations of
- 12 injury are too broad and un-particularized to provide
- 13 a basis for standing.
- 14 Counsel for DOE, could you tell us what you
- 15 find deficient about these injuries as they have been
- 16 alleged?
- 17 >>MR. POLANSKY: Yes, Your Honor. This is
- 18 Mr. Polansky. I'd note at the time we filed our
- 19 answer, I don't believe there were the affidavits of
- 20 Calvin Meyers or Ms. Estevez attached because they
- 21 were not provided until the reply. At the time we
- 22 looked at the Petition, it identified, you know, a
- 23 longstanding interest in radiological harm, et
- 24 cetera, to native people, but we believe the
- 25 longstanding precedent that says that's not enough

- 1 for organizational standing, and that the allegations
- of injury, we thought, were just too broad.
- 3 You know, unspecified Native American
- 4 communities will quote, "experience adverse health
- 5 consequences, " for example.
- 6 So, organizational standing, we did not
- 7 think it was met under the Petition that we saw. And
- 8 I don't believe representational standing,
- 9 representational standing --
- 11 at -- if you look at pages 22 and 23 --
- 12 >>MR. POLANSKY: Yes, but there were no
- 13 affidavits asserting that an individual had standing
- 14 in their own right which would have supported such
- 15 representational standing.
- 16 >> JUDGE GIBSON: Okay. I think we'll take
- 17 a 15-minute break here at this point and then we will
- 18 go back on and conclude. We probably will run all
- 19 the way to 5:00 today. Thank you.
- [Whereupon, a recess was taken]
- 21
- >> JUDGE GIBSON: Okay. One thing I need
- 23 to clear up for the record, with respect to NCAC, NRC
- 24 staff, do you have a view about their participation
- 25 or their standing in this case?

- 1 >> MS. SILVIA: Andrea Silva, NRC staff.
- 2 We believe in their initial petition, they did not
- 3 demonstrate standing and that the reply went beyond
- 4 the permissible scope of a reply by raising new
- 5 arguments and supplying affidavits for the first
- 6 time.
- 7 >> JUDGE GIBSON: Okay. I believe Judge
- 8 Rosenthal --
- 9 >> JUDGE ROSENTHAL: It's one thing that
- 10 seems quite apparent here and that is that the
- 11 ultimate determination as to which of you two groups,
- 12 TIM or TOP is the legitimate representative of the
- 13 tribe in this proceeding, is not going to be
- 14 determined before this Board acts on the various
- 15 petitions before it.
- 16 So the question, it seems to me is this:
- 17 Does the seat of the tribe which has itself, clearly
- 18 standing, remain vacant until such time as a dispute
- 19 between the two groups is resolved, or will those two
- 20 groups, no matter what their differences may be,
- 21 reach some agreement as to who will occupy that chair
- 22 until such time as the matter is finally resolved?
- I mean, it seems to me, that if these two
- 24 warring factions cannot get together, at least to
- 25 come to some understanding as to what is going to

- 1 transpire in the interim, there will be simply no
- 2 representation of the tribe.
- 3 That seat will as the saying goes, will
- 4 remain empty, because once again, this Board neither
- 5 can nor will endeavor to resolve that dispute and
- 6 it's going to be up to the two groups.
- 7 I didn't -- I don't think I got a full
- 8 answer to my question as to just what is the
- 9 relationship between the two groups, but it seems to
- 10 me that in the interest of this tribe, you two
- 11 groups, no matter what your differences might be,
- 12 should be coming to some understanding as to what
- 13 will be the arrangement in the interim.
- 14 And if you can't come to some
- 15 understanding, again there will be an empty chair and
- 16 the tribe will not be represented.
- 17 >> MR. POLAND: Your Honor, Doug Poland for
- 18 TOP, if I may. I don't think that it necessarily has
- 19 to be the case that the tribe is not represented and
- 20 that there is an empty chair. I think there are a
- 21 variety of options that are open to the Board.
- 22 Certainly as I said before, we believe we
- 23 are the AIT. The decision by the BIA -- and I can't
- 24 stress this strongly enough -- does not necessarily
- 25 determine who is the rightful representative of the

- 1 tribe. We have taken the position, we will continue
- 2 to take the position that that is a matter of tribal
- 3 sovereignty. Controlling United States Supreme Court
- 4 authority clearly holds that tribal membership rests
- 5 with the sovereignty of the tribe.
- 6 >> JUDGE ROSENTHAL: You have that
- 7 position. The other group has, I gather, a different
- 8 position and we're not going to resolve it. This is
- 9 not within our province. We're not going to make a
- 10 decision as to that so I think it does come down to a
- 11 matter of some kind of interim arrangement between
- 12 the two groups or no representation at all.
- 14 Doug Poland for TOP. One option that would be open
- to the Board would be to give both parties
- 16 discretionary standing and say, we'll wait and see
- 17 what happens later on. That might be one way to do
- 18 it.
- 19 >> JUDGE GIBSON: Let me just hasten to
- 20 add, even if the Board were to reach some sort of
- 21 determination that you all were entitled to either
- 22 standing as of right, or discretionary standing, the
- 23 fact remains that, you know, in a proceeding of this
- 24 complexity, we would be doing everything we could to
- 25 try to ensure that groups with similar interests

- 1 would be working together.
- 2 So I -- you know, it certainly behooves
- 3 both of you all to try to find some accommodation so
- 4 that you can make it easy not just for this Board,
- 5 but for all these parties who are all willing, I
- 6 think you heard it, they are unanimous in their
- 7 acquiescence in letting the Timbisha Shoshone Tribe
- 8 have a seat at the table.
- 9 But we can't make that decision and so
- 10 whatever happens, you all are going to have to find
- 11 some way to work together, okay. Yeah?
- >> MS. HOUCK: Your Honor, just to respond
- in -- I know that Judge Rosenthal has made the
- 14 statement, and we agree that you are not going to
- 15 make this decision. But just on two points:
- 16 One, we are -- we would request that the
- 17 Board grant possibly five days to allow us to confer
- 18 with TOP and see if there is any way that we can come
- 19 up with some kind of an arrangement where both
- 20 entities claiming to be the Tribal Council can work
- 21 something out to make sure the substantive issues on
- 22 behalf of the tribe are addressed in a way that is
- 23 going to represent the tribe's interests and that the
- 24 governmental entity does have a seat and a say in
- 25 this proceeding.

- 1 Again, TIM does believe that the Bureau of
- 2 Indian Affairs' determination on who they're going to
- 3 interact with for government-to-government purposes,
- 4 particularly in regards to proceedings involving
- 5 other federal agencies and the affected status
- 6 granted, is important and does have to be considered,
- 7 particularly since 10 CFR 60.2 indicates that the
- 8 Secretary of the Interior has to determine that the
- 9 entity that petitioned was the appropriate
- 10 governmental entity.
- 11 So it is the the Department of Interior,
- 12 the Federal Government's determination as to who the
- 13 affected tribe is that does have some importance
- 14 here.
- We do want the substantive issues
- 16 addressed, though, despite the ongoing appeals and
- 17 the tribal dispute. And TIM is more than willing to
- 18 sit down with TOP and see if there is a way that both
- 19 entities can assure that there is representation of
- 20 the tribe and all of its members, because all of the
- 21 tribe's members are impacted by this proposed
- 22 project.
- The land base encompasses much more than
- 24 the trust lands in Death Valley and the impacts are
- 25 far reaching, both from the transportation aspect,

- 1 the water, and all of the issues that have been
- 2 raised by both tribe entities represented -- claiming
- 3 representation to the tribe. And TIM is more than
- 4 willing to make an attempt to talk to TOP and would
- 5 ask that we be allowed to submit a supplemental brief
- 6 that either comes up with a solution of how to
- 7 address representation of the tribe or what the
- 8 positions of the party are after those discussions
- 9 occur.
- 11 suggesting five days?
- 12 TOP? Five days? Ten days?
- What do you need?
- 14 >> MR. POLAND: Until the end of next week
- 15 would be appreciated.
- 16 >> JUDGE GIBSON: Yeah, okay. Let me just
- 17 make sure. Anybody here have any objection to such a
- 18 solution even though that would be a belated filing?
- 19 Hearing none, okay. End of next week,
- 20 okay? Hopefully, we will hear from you the first
- 21 part of the following week.
- >> MS. HOUCK: Thank you, Your Honor.
- 24 the contentions. Specifically, both NCAC and TOP
- 25 have raised some claims related to land ownership and

- 1 water rights and unique cultural impacts of this
- 2 possible repository on the Timbisha Shoshone peoples.
- 3 And I'd like to start with TOP in that regard.
- 4 The Board has yet to rule on your motion
- 5 to file for leave on an amended petition, and we'll
- 6 get to that in a minute, but for now, I'd like to
- 7 focus on the contentions that have been raised in
- 8 both the original petition and the amended petition.
- 9 Let's start with the original Petition to
- 10 Intervene. You've raised three contentions, and
- 11 although you've failed to characterize them as
- 12 safety, environmental, or miscellaneous, NRC staff
- 13 was kind enough to characterize them for you, and I
- 14 think we'll just go with those characterizations for
- 15 purposes of our discussion here.
- And I want to refer to your first
- 17 contention as Miscellaneous Contention 1 and
- 18 Miscellaneous Contention 2 and your third contention
- 19 is NEPA Contention 1. Fair enough?
- >> JUDGE GIBSON: Thank you.
- >> MR. POLAND: Although I might be able to
- 23 shortcut this a little because we have withdrawn two
- 24 of those contentions.
- 25 >> JUDGE GIBSON: Okay. That was going to

- 1 be my first question, you have withdrawn the first
- 2 two contentions?
- 4 contentions, the safety contention and the
- 5 miscellaneous contention. The NEPA contention has
- 6 been modified in our amended petition.
- 7 >> JUDGE GIBSON: Which we'll get to in a
- 8 minute. Oaky, so all we're dealing with is the NEPA
- 9 contention from TOP?
- 10 >> MR. POLAND: That's correct, Your Honor.
- >> JUDGE GIBSON: Okay. Now, in the
- 12 original petition, it's alleged that DOE's
- 13 environmental impact statements are inadequate
- 14 because they failed to identify postclosure
- 15 biological impacts specific to members of the tribe
- 16 who have a different diet and lifestyle than the
- 17 general population. That was what was in your
- 18 original petition, correct?
- 19 >> MR. POLAND: That was in the original
- 20 petition, Your Honor.
- 21 >> JUDGE GIBSON: Okay. Now, both DOE and
- 22 the NRC staff have objected to that, and they've
- 23 argued that you failed to explicitly address the
- 24 requirements of 10 CFR 51.109 and 2.326, all which
- 25 apply to NEPA contentions that are filed in this

- 1 proceeding.
- 2 Among the requirements is the requirement
- 3 to file an affidavit with the Petition to Intervene.
- 4 Now, although I understand you did not file an
- 5 affidavit with your initial petition, at that time
- 6 you were not -- TOP was not represented by counsel;
- 7 is that correct?
- 9 >> JUDGE GIBSON: And once represented by
- 10 counsel, was an affidavit submitted?
- >> MR. POLAND: In support of our -- yes,
- 12 with our reply it was, correct.
- 14 not with the original one?
- 16 >> JUDGE GIBSON: Okay. I just want to
- 17 ask, in light of the fact that they had no counsel at
- 18 the beginning, I want to know if NRC staff and DOE
- 19 are willing to cut them slack just with respect to
- 20 they didn't have an affidavit but they didn't have
- 21 counsel. Once they got counsel, they submitted an
- 22 affidavit. NRC staff?
- 23 >> MS. SILVIA: We didn't object to their
- 24 amended petition.

- 1 >>MS. SILVIA: Yes.
- 2 >>JUDGE GIBSON: DOE?
- 3 >>MR. POLANSKY: This is Mr. Polansky. On
- 4 that sole basis, yes.
- 6 thank you. Appreciate that.
- 7 >>MR. POLANSKY: Yes.
- 8 >> JUDGE GIBSON: Now, in addition, they
- 9 have also asserted with respect to your initial
- 10 petition that a study regarding radiation exposure on
- 11 Native Americans from nuclear weapons testing does
- 12 not speak to the potential impacts from the Yucca
- 13 Mountain Repository and so it does not constitute
- 14 adequate support. Do you disagree with what they
- 15 have said in that regard?
- 16 >> MR. POLAND: Your Honor, the contention
- 17 that we're pressing at this point really doesn't, it
- 18 doesn't rely on human health effects.

- 21 other tribal interest -- heritage interest impact
- 22 contention.
- 23 >> JUDGE GIBSON: Okay. So is it fair to
- 24 say, then, that we can just drop in the grace this
- 25 argument that you originally made about the potential

- 1 impact, the nuclear weapons testing?

- 4 Thank you. Now, with respect to the -- what is
- 5 it -- what is it that remains that you are asserting?
- 6 >> MR. POLAND: Your Honor, the nexus
- 7 between the NEPA contention that was raised in the
- 8 original petition and the amended petition is the
- 9 contamination of the springs and waters in the Death
- 10 Valley area in the tribal homelands.
- In the original petition, it was framed --
- 12 the original NEPA contention, it was framed really
- 13 more as a human health risk issue, and we are not
- 14 framing it that way now. It's a cultural impact
- 15 issue is how we frame that contention.
- 16 >> JUDGE GIBSON: Okay. And is it is
- 17 essentially a failure to consult or is it a
- 18 destruction of cultural -- of culture procedurally?
- 20 Honor. We did have a failure to consult contention
- 21 that we did put into our amended petition.
- 23 >> MR. POLAND: But we did -- we did take a
- 24 look at what the NRC staff said in their answer.

- 1 >> MR. POLAND: And, at that point after
- 2 reading that, we decided that we would withdraw the
- 3 failure to consult contention, which was a
- 4 miscellaneous one.
- 5 >> JUDGE GIBSON: Okay. So we don't have
- 6 nuclear weapons testing; we've gotten rid of that.
- 7 And we don't have failure to consult. But what we
- 8 have left is what?
- 9 >> MR. POLAND: We have a single NEPA
- 10 contention, Your Honor. And the contention is that
- 11 both the FEIS and the SCIS that DOE have prepared and
- 12 submitted concede that contaminants from the geologic
- 13 repository could make their way to the Death Valley
- 14 and discharge in the springs and to other surrounding
- 15 waters in the area.
- 16 Those are -- the purity of those waters is
- 17 critical to the Timbisha Shoshone culture to
- 18 religious practices and would have a devastating
- 19 effect on the culture and their religious practices,
- 20 and that that is not considered in the EISs.
- 21 >> JUDGE GIBSON: Okay. NRC staff, do you
- 22 all have any problem with that as an admissible
- 23 contention?
- 24 >> MS. SILVIA: Andrea Silvia, NRC staff.
- 25 No, we don't.

- 1 >> JUDGE GIBSON: Okay. DOE?
- >>MR. POLANSKY: Yes, Your Honor. In the
- 3 answer we filed on Friday, we did say that it was not
- 4 admissible.
- 5 >> JUDGE GIBSON: Okay. As it has been
- 6 narrowed by TOP?
- 7 >>MR. POLANSKY: Yes.
- 8 >> JUDGE GIBSON: You do understand that
- 9 that's all they're asserting now?
- 11 >> JUDGE GIBSON: The effluent reaches the
- 12 springs in Death Valley, affects the purity of that
- 13 water, and that, in turn, impairs their ability to
- 14 practice whatever culture's associated with those
- 15 waters?
- >>MR. POLANSKY: It's not a safety
- 17 contention, Your Honor. It's a NEPA contention
- 18 attacking the adequacy of whether -- of the
- 19 discussion of those unique impacts, whether they were
- 20 covered by the EIS. And our view of the affidavits
- 21 that were provided and the information provided we
- 22 don't think supports an admissible contention for the
- 23 reasons we've stated in that answer filed on Friday.
- >> JUDGE GIBSON: Okay. Just for purposes
- 25 of the record, was that issue addressed in any EIS

- 1 that is DOE prepared; the specific question about the
- 2 culture related to the purity of the water that might
- 3 be affected by the effluent from Yucca to the Death
- 4 Valley Springs?
- 5 >>MR. POLANSKY: This is Mr. Polansky.
- 6 I'll take a moment to confirm with my client after I
- 7 give the answer, just to make sure you are getting
- 8 the right information.
- 10 proposition, Mr. Polansky. Having been in private
- 11 practice for the last 21 years, I don't know if I
- 12 would say what I think the answer is without
- 13 consulting with my client, but, you know, it's your
- 14 neck.
- >>MR. POLANSKY: We have discussed this
- 16 issue, and my recollection is that the SCIS
- 17 references itself and then references back to the
- 18 final Environmental Impact Statement from 2002 where
- 19 the impacts of contaminated water on cultural water
- 20 resources is discussed.
- I do not believe the SCIS covers the very
- 22 specific issue of whether water at the Death Valley
- 23 Springs would have been, but the general discussion
- 24 of cultural impacts from contaminated water are
- 25 discussed.

- 1 >> JUDGE GIBSON: Fair enough. I think
- 2 that's a fine answer. Okay.
- 4 Honor?
- 6 >> MR. POLAND: Very briefly. And I made
- 7 this point yesterday to CAB 3 which was sitting;
- 8 there is a single mention, and it's the same in the
- 9 FEIS, and it was the same six years later in the
- 10 SCIS. There is no mention of the Timbisha Shoshone
- 11 specificall in this injury. All the DOE says, and
- 12 this is what they see as the hard look. They say
- 13 "equally important are water resources and minerals."
- 14 Okay. That is not an adequate analysis.
- >> JUDGE GIBSON: Fair enough. And I think
- 16 >>MR. POLANSKY: Your Honor, I wasn't given
- an opportunity to respond yesterday, and, if
- 18 Mr. Poland is raising it again, I'd just like to
- 19 respond with three citations.
- 21 >>MR. POLANSKY: The repository SCIS does
- 22 reference back to the FEIS, and in that FEIS there
- 23 are three separate sections which discuss affected
- 24 environment, analysis of culture resources, and
- 25 American Indian perspectives on environmental

- 1 justice. Those sections are Section 3.1.6.2, Section
- 2 4.1.5, and Section 4.1.13.4 respectively. We're not
- 3 relying on a single paragraph.
- 4 >> JUDGE GIBSON: I appreciate your
- 5 clarification in the record. Rather than responding
- 6 to him, I would just ask this: I think we have the
- 7 information we need to evaluate the admissibility of
- 8 the contention. That's the purpose we're here.
- 9 We're not interested in the merits at this point,
- 10 okay?
- 11 >> MR. POLAND: Thank you, Your Honor.
- 12 >> JUDGE GIBSON: All right. All right.
- 13 Let's move to the Native Community Action Council.
- 14 Now, as I understand it, at least initially you all
- 15 have raised three contentions. Do you still have
- 16 three live contentions?
- 17 >> MR. WILLIAMS: Yes, sir.
- 18 >> JUDGE GIBSON: Again, as with TOP, you
- 19 didn't actually characterize them in terms of safety
- 20 and environmental miscellaneous, but the staff was
- 21 kind enough to do that for you, and, for purposes of
- 22 this discussion, I'd like to stick with the staff's
- 23 characterization. We'll refer to your first two
- 24 contentions as miscellaneous contentions 1 and 2 and
- 25 identify the third contention as NEPA contention 1.

- 1 Fair enough?

- 4 miscellaneous contention 1. In this contention,
- 5 NCAC has alleged that Yucca Mountain is owned by the
- 6 Western Shoshone Nation under tribal law and custom
- 7 and under the 1863 treaty of Ruby Valley. Is that
- 8 correct?

- 11 contending that DOE has failed to meet the
- 12 requirement that the repository be located on lands
- 13 that acquired under the jurisdiction control of DOE
- 14 were permanently withdrawn and reserved for use and
- 15 that the lands have to be free and clear of any
- 16 encumbrances. And, essentially, you're saying that
- 17 this is -- at a minimum an encumbrance on that land
- 18 that would prevent Yucca Mountain from being located
- 19 there. Is that a fair assessment?
- 21 >> JUDGE GIBSON: First, I'd like to turn
- 22 to the treaty of Ruby Valley. DOE and NRC staff
- 23 were, I think, highly critical would probably be a
- 24 pretty accurate assessment of your reliance on this
- 25 treaty, and they have maintained that federal law

- 1 precludes the Western Shoshone Nation from asserting
- 2 a claim of land ownership under the treaty of Ruby
- 3 Valley. And they have cited the case of United
- 4 States Vs. Dann which they claim found that this
- 5 claim of Aboriginal Title to lands in the western
- 6 United States had been extinguished. And that there
- 7 were a number of lower federal court decisions in
- 8 recent years that have upheld that result.
- 9 And DOE also noted that there was a federal
- 10 law passed in 2004 affirming that Western Shoshone
- 11 land claims to lands in the western United States
- 12 under Aboriginal Title have been originally subsumed.
- Now, how do you respond to those claims?
- 14 And I would just ask you to try to be short because
- 15 we do have to be out of here by 5:00 and I've got a
- 16 lot more ground to cover.
- 17 >> MR. WILLIAMS: Two points, Your Honor.
- 18 Scott Williams for NCAC. First, our focus is on
- 19 encumbrance. The Western Shoshone people, the
- 20 traditional Western Shoshone people, do not concede
- 21 that, irrespective of how many court decisions there
- 22 are, that this land was rightfully taken by others.
- 23 We do not need to resolve that.
- We're not asking you, the Board, to become
- 25 involved in that.

- 1 We are asking you to decide whether or not
- 2 the existence of the dispute constitutes an
- 3 encumbrance, and there are two ways in which we think
- 4 it does.
- 5 First, as I mentioned earlier, the land is
- 6 used by Indian people today. Irrespective of who
- 7 holds record title, it is used by Indian people for
- 8 Indian purposes.
- 9 Secondly, an international tribunal has
- 10 determined that the United States violated the human
- 11 rights of the Western Shoshone people in taking the
- 12 land and declaring it to be the property of the
- 13 United States.
- 14 >> JUDGE GIBSON: Are you referring to the
- 15 Inter-American Commission on Human Rights?
- 17 >> JUDGE GIBSON: Okay.
- 18 >> MR. WILLIAMS: This strikes us as the
- 19 kind of contention that was discussed yesterday in
- 20 that it is a legal contention. Either those two
- 21 factors constitute an encumbrance within the meaning
- 22 of the regulation or they do not. It is a matter
- 23 which could be resolved within the meaning of the
- 24 Board's regulations relatively simply.

- 1 starting with Worcester v. Georgia and going on to
- 2 Lone Wolf v. Hitchcock, I know that there is a long
- 3 line of cases establishing the plenary power of
- 4 Congress over tribes. Whether that's, you know, a
- 5 good thing or not, it is the law of the United
- 6 States. Congress can abrogate these treaties.
- 7 You know, what's happened, you know, may be
- 8 very unfortunate to native people. I'm not here to
- 9 address that issue, but I think the law is clear,
- 10 and, as you have seen, our jurisdiction here is very
- 11 limited. We are not about to go questioning the
- 12 decisions of the U.S. Supreme Court.
- Regardless of what the Inter-American
- 14 Commission on Human Rights may say, that's about as
- 15 far as we can go. And you may have to go take this
- 16 contention to another tribunal, but I don't -- I just
- 17 can tell you, I doubt that you are going to be
- 18 getting very far with it here.
- I doubt you're surprised.
- 21 >> JUDGE GIBSON: Okay. Now, I would like
- 22 to address the -- your Miscellaneous Contention 2,
- 23 and that is that DOE fails to meet the water rights
- 24 requirements of 10 CFR 63.121 because the Western
- 25 Shoshone Nation maintains a reserved property

- 1 interest in water rights under the Treaty of Ruby
- 2 Valley.
- Now, separate and apart from what
- 4 individual peoples may have who may be affiliated
- 5 with this tribe or with these claims; is there -- is
- 6 the basis for the claim the Treaty of Ruby Valley or
- 7 the Aboriginal use of these peoples with respect to
- 8 these water rights?
- 9 Because, if it is, I think the answer to
- 10 this contention is going to be the same as it was to
- 11 the first contention. I'm sorry to tell you that,
- 12 but I think it will be.
- 14 answer the question with a yes or no.
- 16 >> MR. WILLIAMS: Can I take a minute to to
- 17 explain?
- 18 >> JUDGE GIBSON: You can. Just don't take
- 19 too long.
- 20 >> MR. WILLIAMS: Got it. The United
- 21 States chose to put this facility at Yucca Mountain.
- 22 Yucca Mountain is in the middle of Indian country.
- 23 The United States, therefore, has to deal, in my
- 24 opinion, with the realities of working with the
- 25 people whose land this was.

- 1 One of those realities is the treaty.
- 2 Another of those realities is the United States'
- 3 interpretation of federal Indian law with respect to
- 4 those treaties. And one of those principles is that
- 5 there is a reserved water right which arises from a
- 6 treaty which acknowledges Aboriginal ways of life as
- 7 does the Ruby Valley Treaty. So it took me a few
- 8 sentences, but I think I got to the answer, which is,
- 9 yes, it does depend on the treaty.
- 10 And then the second point is that the
- 11 federal courts have consistently since that time,
- 12 since Winans, they have consistently said that the
- 13 destruction of -- by the United States, by Congress,
- of the tribe's land interest does not destroy
- 15 reserved hunting, fishing, gathering, water rights.
- 16 And that's the Adair case that we also cited.
- 17 So our position, Your Honor, is that these
- 18 water rights did not disappear simply because
- 19 Congress acted.
- 21 understand your position. And we will get to water
- 22 rights, you know, their context, in a minute. But I
- 23 just -- you know, we will evaluate the contention,
- 24 but I just want to give you fair warning that I doubt
- 25 that anything that is based on the Treaty of Ruby

- 1 Valley by virtue of Worcester v. Georgia and Lone
- 2 Wolf v. Hitchcock is going to enable us to go
- 3 anywhere, particularly in light of the U.S. Supreme
- 4 Court on this specific topic.
- 5 >> MR. WILLIAMS: Understood. One other
- 6 point, though, please, Your Honor.
- 7 >> JUDGE GIBSON: Yes.
- 8 >> MR. WILLIAMS: And that is, not
- 9 withstanding the NRC staff objections, there was in
- 10 the original petition, and we emphasized it in our
- 11 reply, a statement about the use of the water and the
- 12 importance of that water. This is not limited to the
- 13 springs in Death Valley as with the tribe. This is
- 14 general within the area used by Shoshone and Piaute
- 15 people that part of the contention, in our view, does
- 16 not depend on the Treaty of Ruby Valley.
- 17 >> JUDGE GIBSON: I appreciate your
- 18 clarification and that may well be very more than in
- 19 the evaluating contention. Thank you.
- 20 DOE claims that it's been pursuing water
- 21 applications from the State of Nevada and, although
- 22 those applications have been denied, it's appealed
- 23 those decisions, as I understand it, by the State of
- 24 Nevada to the U.S. District Court for the District of
- 25 Nevada.

- 1 I'm curious if you believe that the fact
- 2 that there is a dispute over these water rights
- 3 matters before federal district court in anyway
- 4 affects what we can do here as a Board.
- 5 Obviously, there is this water rights issue
- 6 that several people have been asserting. Do you all
- 7 have a view?
- 8 >>MR. POLANSKY: This is Mr. Polansky.
- 9 Your Honor, the water rights issue, and I think there
- 10 was perhaps some discussion yesterday, the view that
- 11 DOE views this as any other permit or environmental
- 12 requirement, the decision maker for whether DOE gets
- 13 water is a different decision maker than this Board.
- 14 And so it is not anything that's within the
- 15 scope of this proceeding. I can't speak to timing or
- 16 anything else as to when this might be resolved.
- 17 >> JUDGE GIBSON: Do you think that that
- 18 affects in any way our ability to consider either
- 19 water quality issues or water quantity issues with
- 20 respect to either the tribes or individual land
- 21 owners in this proceeding?
- >>MR. POLANSKY: No, Your Honor.
- 23 >> JUDGE GIBSON: To the extent that they
- 24 have raised contentions that Yucca Mountain will
- 25 deplete their water quantity or adversely affect

- 1 their water quality?
- >>MR. POLANSKY: No, Your Honor, not the
- 3 way these contentions are pled. We didn't read.
- 4 >> JUDGE GIBSON: Okay. Thank you.
- Now, with respect to your contention, TOP
- 6 and possibly the NCAC contention to the extent that
- 7 it is not dependent on the Treaty of Ruby Valley or
- 8 these aboriginal land claims, I did not see any
- 9 briefing of the Winters doctrine by either of you.
- 10 And I'm wondering if you think that that has any
- 11 bearing on how we should proceed in this matter and
- 12 what DOE is proposing to do.
- 14 Doug Poland for TOP.
- 16 >> MR. POLAND: We do mention this in our
- 17 amended petition. We believe that --
- 18 >> JUDGE GIBSON: I quess I didn't catch
- 19 that. I'm sorry.
- 21 petition. It's at pages 23 to 24. We do cite to the
- 22 Winters case, but it really relates to our NEPA
- 23 contention. And it has to do with the contamination.
- 24 We believe that they're --

- 1 >> MR. POLAND: So that's cited in there.
- 2 I think the argument's set forth.
- 4 Williams, Your Honor, for NCAC. In my world, there
- 5 is a fine distinction between Winters rights and
- 6 Winans rights.
- 7 >> JUDGE GIBSON: Could you take a minute
- 8 and explain to us the difference between Winters
- 9 rights and Winans rights? I thought I understood the
- 10 Winters doctrine, but I don't know if I -- you're
- 11 making a distinction that I'm not familiar with in
- 12 Indian law.
- 14 asked. The Winters doctrine stands for the principle
- 15 that, when the United States sets aside a
- 16 reservation, there is an implied reservation of water
- 17 sufficient to carry out the purposes of that
- 18 reservation.
- 19 The reservation might be an Indian
- 20 reservation, it might be a military reservation, it
- 21 might be a national park. If they set aside Yosemite
- 22 National Park as a national park, there is an implied
- 23 reservation of sufficient water in the Red River to
- 24 maintain the park in the state in which Congress
- 25 desires.

- 1 The Winan rights, which I talked about
- 2 earlier, stand for the proposition that, based on a
- 3 treaty which establishes hunting, fishing, or
- 4 gathering rights, or reserves to the tribe those
- 5 rights, that reservation of rights is maintained
- 6 irrespective of what might happen later with the land
- 7 itself.
- 8 >> JUDGE GIBSON: Fair enough. Now, let me
- 9 just to make sure I understand that. The Winters
- 10 rights to water are a function of a reservation,
- 11 correct, and that you essentially have to be able to
- 12 maintain the tribal customs and practices on your
- 13 reservation that you did before and so people cannot
- 14 deprive the tribe of those rights on the reservation?
- Okay. Now, what you're talking about with
- 16 respect to Winans rights have to do, if I understand
- 17 correctly, with some rights that would exist
- 18 independent of a tribal reservation. And that would
- 19 be something that would -- individual tribal members
- 20 or the tribe, itself, probably the tribe, itself,
- 21 would be entitled to by virtue of the fact that they
- 22 lived in that area and, you know, were able to
- 23 continue to carry on their lifestyle. And you
- 24 mentioned hunting and fishing.
- 25 Now, if I -- my recollection of that line

- 1 of cases is that the language of the treaty that
- 2 creates those rights must be explicit. It -- can you
- 3 point me to an explicit treaty that accords those
- 4 rights to the peoples that you are representing here
- 5 under this Winans doctrine?
- 6 >> MR. WILLIAMS: Your characterization of
- 7 the two cases and the differences is accurate, in my
- 8 view.
- 9 >> JUDGE GIBSON: Even a broken clock is
- 10 right twice a day.
- 12 Honor. With respect to the specific question, I can
- 13 point only to language in the Treaty at Ruby Valley
- 14 which acknowledges that the Shoshone people are
- 15 nomadic people. I cannot point to language there
- 16 which specifically reserves to them, fishing,
- 17 hunting, or gathering rights.
- 18 >> JUDGE GIBSON: And the treaty of Ruby
- 19 Valley was abrogated subsequently by Congress,
- 20 correct, which has plenary power under Worcester v.
- 21 Georgia and Lone Wolf versus Hitchcock, correct?
- 22 >> MR. WILLIAMS: There is no question but
- 23 that Congress has plenary power over Indians.
- 24 >> JUDGE GIBSON: Fair enough. Well,
- 25 again, without prejudging anything, I just want to be

- 1 sure that you to understand that, to the extent
- 2 you're claiming a contention here based on the Treaty
- 3 of Ruby Valley may be a hard sell.
- 4 >> MR. WILLIAMS: Understood. And I would
- 5 ask in return, Your Honor, that the Board look
- 6 carefully at the question of essential nature of
- 7 water to the lifestyle of the native people and how
- 8 that is included in Miscellaneous Contention No. 2.
- 9 >> JUDGE GIBSON: Okay. If we could go to
- 10 your environmental contention.
- is that, to use your word, you allow me to ask my
- designated hitter on NEPA contentions to come in.
- 14 Rovianne Leigh can give you more intelligent
- 15 responses on these issues than I can.
- 16 >> JUDGE GIBSON: That's fine. Now, if I
- 17 understand correctly, you are alleging that DOE's
- 18 environmental impact statements are inadequate
- 19 because they failed to identify postclosure
- 20 biological impacts, specific to members of the
- 21 NCAC who have a different diet and lifestyle than the
- 22 general population, is that correct?
- 23 >>MS. LEIGH: That's correct. And if I may
- 24 expand on that a little bit.
- 25 >> JUDGE GIBSON: Just a little bit. You

- 1 don't have much time.
- 2 >>MS. LEIGH: To our members, the culture
- 3 impacts are inextricably linked, and so in our reply,
- 4 and I do know that the original petition was filed
- 5 without assistance of counsel, we do attempt to
- 6 clarify that link between the cultural resources and
- 7 the adverse health impacts alleged in that original
- 8 petition, so I would just hope that the Board would
- 9 consider that.
- 11 and DOE, recognizing that they had no counsel
- 12 initially, they did try to clean this up. I'm not
- 13 asking you to agree to the admission of the
- 14 contention, but are you all willing to cut them some
- 15 slack with respect to cleaning this up in their
- 16 reply? Staff?
- 17 >> MS. SILVIA: Andrea Silva for NRC staff.
- 18 Again, we believe that the reply went beyond the
- 19 scope of the initial contention. Perhaps a little
- 20 leeway is in order. However, I think it still goes,
- 21 even if you assume that the health and cultural
- 22 impacts are integrated, there is still a lot more in
- 23 the reply. It's not a single issue contention, and I
- 24 think it's hard to discern the scope of the
- 25 contention of the reply, even.

- 1 >> JUDGE GIBSON: Okay. DOE?
- 3 the sole issue of whether we'll object to the fact
- 4 that they've attached affidavits to the reply for the
- 5 first time because they were not represented by
- 6 counsel, DOE will not object to that.
- 7 But we do echo NRC staff's concern in that
- 8 essentially the reply provided a new contention with
- 9 new bases that we think was impermissible. Thank
- 10 you.
- >> JUDGE GIBSON: Okay. Thank you.
- 12 DOE and the NRC staff allege that your
- 13 support for this contention is a study regarding
- 14 radiation exposure on Native Americans from nuclear
- 15 weapons testing, and that this does not speak to the
- 16 potential impacts from the Yucca Mountain repository.
- 17 Do you disagree with what staff and DOE
- 18 have with their criticism of that study?
- 19 >> MS. LEIGH: Your Honor, that study goes
- 20 to the lifestyle differences such as traditional
- 21 gathering and hunting, traditional diets. People
- 22 have mentioned, the traditional diet of pinunet
- 23 (phn) and wild game.
- 24 Our client does believe that its members
- 25 would be adversely impacted by potential

- 1 contamination of those traditional cultural
- 2 resources, and that NCAC's members are in a unique
- 3 position because of their traditional cultural
- 4 practices. So we would disagree with the position
- 5 that that study does not provide any support for the
- 6 contention that NCAC's members would suffer
- 7 disproportionate impacts as a result of their
- 8 traditional gathering and cultural practices,
- 9 including ceremonies.
- 11 issue here with respect to TOP's question, I think,
- 12 for you, and that is, you all have alleged a cultural
- 13 lifestyle -- adverse effect on cultural lifestyle.
- 14 DOE claims that they studied impacts on
- 15 different lifestyles. Is your claim essentially
- 16 that, well, they might have but they didn't address
- 17 the lifestyles that are invloved dwith -- implicated
- 18 for the peoples that you all represent?
- 20 our client is that the Environmental Impact Statement
- 21 does not take into account the specific and unique
- 22 cultural lifestyles of NCAC's members and the
- 23 disproportionate impacts that those members may
- 24 suffer.
- 25 >> JUDGE GIBSON: Okay. Fair enough. And

- 1 I'm sure the DOE thinks they did and that was
- 2 adequate, but, you know, I jut want to make sure I
- 3 understand where you're coming from.
- 4 Okay. I think we've got a couple of
- 5 pending motions, and, I'm sorry, but I think we need
- 6 to try to clean this up, because we've got to get
- 7 this order out by May 11.
- 8 TOP and TIM both have motions pending
- 9 before the Board. By my count, there's three of
- 10 them. TOP has a motion for leave to file an amended
- 11 petition. TIM has a motion for LSN certification out
- 12 of time. And TOP has a motion for leave to file an
- 13 answer to TIM's reply. Now, are there any more of
- 14 these motions involving the tribes that I've
- 15 overlooked?
- 17 Your Honor.
- 18 >> JUDGE GIBSON: TIM?
- 19 >> MS. HOUCK: I don't believe so, Your
- Honor.
- 22 >> MR. WILLIAMS: We have filed no motions.
- 23 >> JUDGE GIBSON: Fantastic. Okay. Let's
- 24 start with TOP's motion for leave to file an amended
- 25 petition. NRC staff's filed an answer to this motion

- 1 stating the Board should entertain the amended
- 2 petition. I understand Friday, DOE filed an
- 3 opposition to that; is that correct?
- 4 >>MR. POLANSKY: This is Mr. Polansky.
- 5 Yes, that's correct, Your Honor, March 27th.
- 6 >> JUDGE GIBSON: Thank you. Now, you're
- 7 asserting that the only way a petitioner can show
- 8 good cause for an untimely filing is to demonstrate
- 9 that the new contentions are based on new
- 10 information, is that correct?
- 11 >>MR. POLANSKY: This is Mr. Polansky. We
- 12 do state that one of the criteria that the
- information be based on new information, not just new
- 14 documents. And, in this case, we believe, if we read
- 15 the amended petition correctly, that they are basing
- 16 their motion on four new declarations from either
- 17 experts or members; but the information in those
- 18 declarations we do not believe is new, so that there
- 19 is no adequate justification or good cause.
- 20 The information was available for some
- 21 time, and, therefore, this contention could have been
- 22 brought some time ago.
- >> JUDGE GIBSON: Okay. Let me make
- 24 sure --
- 25 >>MR. POLANSKY: That's the crux of what

- 1 our response was.
- 3 declarations filed as soon as possible after TOP got
- 4 counsel?
- 5 >> MR. POLAND: Absolutely, Your Honor.
- 6 >> JUDGE GIBSON: But you are not willing
- 7 to cut them slack insofar as that goes, right?
- 8 >> MR. POLONSKY: That's correct, Your
- 9 Honor, because the underlying information has been
- 10 available for a very long time.
- 12 you guys are okay with TOP's motion for leave?
- 13 >> MS. SILVIA: This is Andrea Silvia with
- 14 NRC staff. Yes, we're okay.
- 16 I'd like to talk about TIM's motion for LSN
- 17 certification out of time for good cause. As the
- 18 parties are aware, any party seeking to file a motion
- 19 must first make a sincere effort to contact other
- 20 parties and resolve the issue raised in the motion.
- 21 DOE is arguing that TIM did not make a
- 22 sincere attempt and, therefore, the motion to get LSN
- 23 certification out of time for good cause was not
- 24 admitted. Is that correct, DOE?
- 25 >>MR. POLANSKY: This is Mr. Polansky. I'm

- 1 sorry, Your Honor, if I could have just a moment.
- 3 >>MR. POLANSKY: Your Honor, if you could
- 4 indulge me in just repeating the question.
- 5 >> JUDGE GIBSON: Sure. My understanding
- 6 is that you're arguing that TIM did not make a
- 7 sincere attempt to consult under 10 CFR 2.232(b) and,
- 8 therefore, their motion to get LSN certification out
- 9 of time should be denied?
- 10 >>MR. POLANSKY: That is one of the many
- 11 arguments we made, yes, Your Honor.
- 12 >> JUDGE GIBSON: Okay. Now, TIM indicated
- 13 that it would -- it notified you in December of 2008
- 14 that it was going to be filing this motion. It sent
- 15 an e-mail to all the parties on May 10. It didn't
- 16 receive any objection. And those are the
- 17 representations they've made.
- 18 Are you disputing the representations that
- 19 counsel for TIM made in that regard?
- >>MR. POLANSKY: I don't believe so, but
- 21 merely making DOE aware of TIM's intent to file is
- 22 not an effort to resolve our narrow issues under
- 23 323(b). DOE and TIM had discussions, but as
- 24 explained in our opposition, they weren't substantive
- 25 discussions but were efforts by DOE to get TIM to

- 1 discuss substance which we believe they would not do
- 2 with us.
- 3 And they did provide us with the procedures
- 4 that they were using or thought to use. But that
- 5 doesn't really have any meaning since they refused to
- 6 discuss any questions we had about them.
- 8 understand your position. Can you envision any
- 9 scenario under which a light LSN certification would
- 10 not be a complete bar to intervention?
- >>MR. POLANSKY: I'm sorry, would not be a
- 12 complete bar to --
- >> JUDGE GIBSON: Correct.
- 15 position that, if you -- it's not a complete bar to
- 16 intervention. It's a bar to intervention I believe
- 17 at this time. A party can come into compliance at a
- 18 later time and they find the proceeding as it is, but
- 19 the criteria that are set out, which are proscriptive
- 20 and which we believe we have applied to every party
- 21 equally, we believe cannot be read to allow a party
- 22 to intervene at this stage if they have not
- 23 adequately met their obligations under LSN.
- 24 >> JUDGE GIBSON: I'd like to know from
- 25 TIM's counsel, as of the day that you filed your

- 1 Petition To Intervene, how many of your documents
- 2 were missing from the LSN system, if any?
- 4 the documents were either on the LSN by other parties
- 5 or fell within an exception, I believe. Or --
- 6 >> JUDGE GIBSON: Okay. As of
- 7 March 11th when you filed your motion for late
- 8 certification, how many documents, if any, were still
- 9 missing from the LSN?
- 11 earlier, all of the documents were on our LSN before
- 12 we filed for intervention. We just had not filed our
- 13 certification.
- 14 >> JUDGE GIBSON: Right, I understand. I'm
- 15 not asking for your certification. I'm asking for
- 16 the documents. How many of them were on there?
- Were any missing?
- 18 >> MS. HOUCK: No.
- 19 >> JUDGE GIBSON: Were any missing on March
- 20 11th?
- 22 >> JUDGE GIBSON: DOE, can you point to any
- 23 missing documents that TIM has not mentioned?
- 24 >>MR. POLANSKY: We acknowledge that TIM
- 25 posted documents on the LSN for the first time one

- 1 week before it filed this petition. But the -- I
- 2 believe our reading of the LSN requirements is that
- 3 you cannot simply do that.
- 4 There are all -- a whole host of other
- 5 requirements that need to be met, including initial
- 6 certification within 90 days of when DOE made its
- 7 certification, monthly supplemental productions and
- 8 certifications, monthly certifications in accordance
- 9 with the second case management order of the
- 10 PAPO Board, et cetera.
- >> JUDGE GIBSON: And what sort of
- 12 prejudice has DOE sustained as a result of the fact
- 13 that the LSN certification occurred lately but no
- 14 documents were missing?
- 15 Any prejudice?
- 16 Can you tell us about any prejudice you've
- 17 sustained?
- 18 >> MR. POLONSKY: No, Your Honor, we cannot
- 19 identify any prejudice.
- 21 >>MR. POLANSKY: But we believe that the
- 22 Commission has already spoken to the issue of strict
- 23 compliance. You know we didn't just fabricate this
- 24 requirement. The Commission had an opportunity in
- 25 its September 8th, 2008 decision, CLI 822, and it

- 1 said, "we remind potential parties that we expect
- 2 full compliance with our LSN requirements and we
- 3 expect all participants to make a good faith effort
- 4 to have made available all documentary materials by
- 5 the dates specified for initial compliance in Section
- 6 2.1003(a)."
- 7 >> JUDGE ROSENTHAL: There is no doubt,
- 8 Mr. Polansky that that was a directive. But I think
- 9 the question is whether in circumstances where as you
- 10 can see, there was no prejudice to DOE. This Board
- 11 has the latitude to, in this instance, grant the
- 12 motion, and in fact waive the failure to comply.
- I mean, it does seem to me that this would
- 14 not in this instance set such a dreadful precedent
- 15 that parties would decide that as a result of the
- 16 granting of a motion that they could now just
- 17 willy-nilly disregard the LSN requirement. I mean, I
- 18 think everybody understands there is supposed to be
- 19 compliance. In this instance, there was not, but no
- 20 prejudice. And I don't see -- and I don't see,
- 21 frankly, the basis for your objection.
- >>MR. POLANSKY: I agree with you, Judge
- 23 Rosenthal, that under most circumstances, the Board
- 24 has great discretionary powers. But if there is a
- 25 Commission decision, we believe that that's binding

- and there is additional language from that CLI-08-22
- 2 which says, quote, "We expect the presiding officer
- 3 to impose appropriate sanctions for any failure to
- 4 fully comply with our LSN requirements." It did not
- 5 create an exception. We read the same document you
- 6 read and that is why we responded the way we did. We
- 7 assumed the Board would act the same way.
- 8 >> JUDGE GIBSON: And you did, you did
- 9 respond that way and we have that in the record. We
- 10 also might let you know that sometimes, you know, you
- 11 need to know when to hold 'em and sometimes when to
- 12 fold 'em.
- 13 Let me finally end with TOP's Motion for
- 14 Leave to file an Answer to TIM's reply. I just want
- 15 to know if either DOE or the NRC staff has a dog in
- 16 this fight?
- 17 You all aren't going to object to that; are
- 18 you?
- 19 >> MS. SILVIA: Andrea Silvia from NRC
- 20 staff. I believe -- are you referring to TOP's
- 21 motion to respond to -- it was just the portions
- 22 about the leadership dispute, in which case we don't
- 23 have an objection to that.

- 2 discussions and the supplemental filing, that this
- 3 Board granted leave for parties to file. I would say
- 4 that TOP's request to file a response to our reply
- 5 would not be necessary at this point, because the
- 6 only issues I believe they indicated they wanted to
- 7 address were related to that inner-governmental
- 8 dispute. And, hopefully, both TIM and TOP's filing
- 9 at the end of next week will fully address those
- 10 issues as to where we stand at this point.
- >> JUDGE GIBSON: TOP?
- 12 >> MR. POLAND: I saw you looking in my
- 13 direction, Your Honor.
- 14 >> JUDGE GIBSON: I was hoping you were
- 15 going to say that's great.
- >> MR. POLAND: Well --
- 17 >> JUDGE GIBSON: I figured that's what you
- 18 would say. Go ahead.
- 19 >> MR. POLAND: Will you give me time to
- 20 consider whether we will withdraw the motion?
- 21 It did speak solely to those representation
- 22 type issues.
- 24 you to work this out. Okay.
- 25 >> MR. POLAND: We understand that, Your

- 1 Honor.
- 3 that you all would have time to tell us what you
- 4 didn't cover. I have to believe that we have covered
- 5 everything that we planned to cover today and nobody
- 6 has anything else to say. But I have to, you know,
- 7 follow with Judge Ryerson's effort yesterday
- 8 afternoon. So let me just go around the room. We
- 9 started with NCR staff yesterday. So let me start
- 10 with NCAC today. Is there anything NCAC that we have
- 11 to -- that you need to say that we didn't cover?
- 13 Williams.

- 16 asserted that it required -- it wished to benefit
- 17 from fundamental fairness in this proceeding. That
- 18 goes both ways. There are a long list of opinions of
- 19 the Commission requiring fundamental fairness in
- 20 these proceedings and we ask for the same benefits.
- 21 Thank you.
- >> JUDGE GIBSON: Okay, great. Okay.
- 23 Clark County.
- 24 >> MR. ROBBINS: Nothing further, thank
- 25 you.

- 1 >> JUDGE GIBSON: TIM.
- 3 not to take too much time, but I just want to state
- 4 that these issues are critically important to the
- 5 entire tribe and that TIM's representation is of the
- 6 entire tribe and the land base and the resources that
- 7 are affected as well as I believe TOP is also looking
- 8 at that. And we are hopeful that we can resolve
- 9 these issues.
- 10 But I would ask that the Board -- which
- 11 you've demonstrated today -- show some flexibility in
- 12 how you deal with the issues between the tribes as
- 13 they have -- and I'm talking about the tribe, not TIM
- or TOP, but the tribe as a whole has faced
- 15 significant barriers in being able to adequately
- 16 participate in this proceeding, including having to
- 17 wait six years for there to be a determination on
- 18 their petition for affected tribal status; and then
- 19 after that, another year and a half to resolve issues
- 20 regarding funding to be able to participate, which
- 21 was only issued a month after petitions had to be
- 22 filed in this proceeding.
- 23 So they have been having to deal with
- 24 significant disadvantages in regards to the immense
- 25 complexities in this proceeding. And we thank you

- 1 for taking the time to address these issues and to
- 2 grant leave to provide additional information to the
- 3 Board on how to deal with the sensitive issue. Thank
- 4 you.
- 5 >> JUDGE GIBSON: Thank you.
- 6 >> MR. ANDERSON: Robert Anderson on behalf
- 7 of Nye County. Nothing further, Your Honor.
- 8 >> JUDGE GIBSON: Thank you.
- 9 >>MR. JAMES: Greg James on behalf of Inyo
- 10 County, nothing further.
- >>JUDGE GIBSON: TOP, I bet you're gonna
- 12 say something?
- >> MR. POLAND: No, Your Honor, I'm not.
- 14 >> JUDGE GIBSON: Fantastic.
- 16 time today.
- 17 >> JUDGE GIBSON: Thank you. Yes. Okay.
- >>MS. CURRAN: Diane Curran, nothing
- 19 further.
- >>JUDGE GIBSON: Calintene, nothing?
- 21 California?
- >>MR. SULLIVAN: Tim Sullivan, nothing to
- 23 add.
- 24 >>JUDGE GIBSON: Four Counties?

25

- 1 >>MR. LIST:
- 3 add.
- 4 >> JUDGE GIBSON: Four Counties.
- 5 >> MR. LIST: Robert List. Nothing.
- 6 >> JUDGE GIBSON: Nevada.
- 7 >> MR. MALSCH: Marty Malsch for Nevada.
- 8 Nothing, Your Honor, thank you.
- 9 >> JUDGE GIBSON: DOE.
- 11 Silverman. Sorry to disappoint, but I promise I will
- 12 do this in less -- far less time than the five
- 13 minutes left in the day. I do need to make a brief
- 14 comment, if I may.
- 16 >> MR. SILVERMAN: Thank you. A brief
- 17 closing comment. I wanted to note that the
- 18 discussions -- particularly this morning that
- 19 occurred in the proceeding underscored the complexity
- 20 of the regulations that the Board is dealing with and
- 21 the considerable room that there is for differing
- 22 interpretations of those regulations, as the Board,
- 23 itself, I think recognized earlier today.
- 24 I assured the Board yesterday that the
- 25 Department has proceeded in good faith in evaluating

- 1 the Petitions to intervene in this case and in making
- 2 its best judgments with respect to the admissibility
- 3 of the contentions. As I stated, we did not proceed
- 4 on the basis of a predetermined decision to challenge
- 5 all of the contentions, nor did we decide to throw
- 6 everything against the wall to see what might stick.
- 7 I want to reassure this Board as well, as to our
- 8 positions and the manner in which we arrived at them.
- 9 We take our ethical obligations seriously, as I am
- 10 sure every attorney in this room does.
- It's not at all unusual in NRC proceedings
- 12 for applicants to challenge the admissibility of
- 13 large numbers of contentions.
- In my own experience, in the Mox
- 15 proceeding, all told, there were over 80 contentions
- 16 that were proper, but only approximately 11 admitted.
- 17 And as I recall, ultimately, those 11 were either
- 18 withdrawn or dismissed on the basis of summary
- 19 disposition. Our positions in that case, on behalf
- 20 of that Applicant, which was not the Department of
- 21 Energy -- although, it was a DOE contractor -- were
- 22 reasonable and proper.
- 23 More recently, in the Indiana Point
- 24 licensing renewal proceedings, there were over 150
- 25 contentions submitted, some by sophisticated

- 1 petitioners, like the State of New York. All of the
- 2 contentions were challenged by the Applicant. And
- 3 while one petitioner was dismissed from the
- 4 proceeding, I believe for improper conduct, only
- 5 about roughly in the teens, mid teens, about 15
- 6 contentions were admitted. In this case before us,
- 7 it's no less plausible that Nevada's 200-plus
- 8 contentions are not admissible than it is that
- 9 they're all admissible as the petition alleges.
- In closing, however the matters before
- 11 these Boards, established in this proceeding are
- 12 decided, I would be remiss if I did not make it
- 13 absolutely clear that the Department has acted
- 14 professionally in good faith and with due regard for
- 15 the integrity for the NRC adjudicatory process. You
- 16 may disagree with us on individual issues, but our
- 17 credibility as -- as an honest participant in this
- 18 proceeding should not be questioned. And thank you
- 19 for taking the time.
- 20 >> JUDGE GIBSON: Thank you. NEI.
- 21 >>MR. REPKA: David Repka for NEI. Mr.
- 22 Chairman, very briefly: I withheld my comment this
- 23 morning. There has been much discussion this morning
- 24 of the preclosure performance assessment and the
- 25 postclosure assessment. NEI has a number of

- 1 contentions that go to those issues. The Department
- of Energy, the NRC staff and Nevada have opposed all
- 3 of those contentions. We believe, for the reasons
- 4 stated in our Reply, they are all admissible. There
- 5 was some discussion yesterday of whether an issue
- 6 could be material if it did not plead a violation of
- 7 NRC requirements. We believe that for a party in a
- 8 contention that would support the application and
- 9 support compliance, that materiality provision would
- 10 not apply.
- It would not have to allege a violation;
- 12 but even beyond that, our contentions did allege
- 13 violations and to that point, this morning, I heard
- 14 the Department of Energy counsel referenced, for
- example, 10 CFR 63.304, which is the reasonable
- 16 expectation requirement with respect to the
- 17 postclosure analysis, to say that DOE cannot use
- 18 bounding parameters for everything, because that
- 19 would be too conservative.
- That's precisely the argument we've made in
- 21 several of our contentions and we do believe that,
- 22 for example, our contention -- that we are -- it's
- 23 perfectly admissible to allege as we have, for
- 24 example, that the seismic design is based upon an
- 25 earthquake that is greater than anything that has

- 1 been experienced in the history of the world or as we
- 2 have with respect to the total system performance
- 3 assessment, we've alleged there is a margin of safety
- 4 that amounts in the igneious or volcanic assessment
- 5 that accounts for up to 40% of the total postclosure
- 6 dose. Those are the kinds of contentions that we do
- 7 believe are admissible based upon a violation of the
- 8 various standards discussed this morning and for
- 9 other reasons as well. We have also alleged that
- 10 those contentions relate to a lot of violations -- I
- 11 won't get into that here, that's addressed in our
- 12 pleadings, but I did want the record to reflect those
- 13 points.
- 14 >> JUDGE GIBSON: Okay. Okay. NRC staff,
- 15 anything you need to clean up that we didn't address
- 16 today?
- 17 >> MS. YOUNG: Mitzi Young for the NRC
- 18 staff, just a few statements.

- 21 emphasize that the Part 63 regulatory scheme is
- 22 risk-informed and performance-based. I believe
- 23 Nevada has always focused on the performance-based
- 24 and argued about the independent enforceability of
- 25 certain provisions in 63.

- I think when you look at the preamble to
- 2 the final rule, the Commission makes it clear that
- 3 the purpose of performance assessment and Part 63 is
- 4 to focus attention on those activities that are most
- 5 important. So, therefore, where there were concerns
- 6 about uncertainty or certain parameters, it is not a
- 7 theoretical request for a perfect calculation, but it
- 8 has to do with understanding the performance of the
- 9 repository and what things are significant
- 10 contributors to dose.
- 11 With respect to the Board statement earlier
- 12 today in terms of the staff's positions on the
- 13 filings for this proceeding, the staff would like the
- 14 Board to understand that regardless of whether -- in
- 15 the staff's view -- a contention meets contention and
- 16 admissibility requirements, if there is a significant
- 17 safety issue raised by a contention, even though it
- 18 does not satisfy the requirements for admissibility
- 19 under 10 CFR.2.309 F(1), the staff will consider that
- 20 significant safety issue in its review. Thank you.
- 21 >> JUDGE GIBSON: Thank you. Let me just
- 22 say, you all will be -- we will stand in recess until
- 23 9:00 tomorrow morning at which point, Construction
- 24 Authorization Board 1 will be here on the bench.
- 25 They will be addressing the issues that are set forth

```
in Appendix C, but I want to remind each of you about
 1
     your homework to make sure you apprise them of any
 2
     contentions that are affected by the new rulemaking.
 3
     And we stand recessed until then. Thank you.
 4
               [ Whereupon, the hearing was concluded]
 5
 6
 7
 8
 9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
```

1	CERTIFICATE OF REPORTER
2	This is to certify that the attached
3	proceedings before the United States Nuclear
4	Regulatory Commission in the matter of the U.S.
5	Department of Energy, [High Level Waste Repository]
6	Docket No. 09-HLW-CAB-02 in Las Vegas, Nevada on
7	April 1, 2009, is the original transcript thereof for
8	the file of the United Statres Nuclear Regulatory
9	Commission taken and transcribed by Caption Reporters
10	Inc., and the transcript is a true and accurate
11	record of the foregoing proceedings.
12	
13	Lorraine Carter, RPR Official Reporter
14	Caption Reporters, Inc.
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	